

Duke Energy Indiana, LLC
1000 East Main Street
Plainfield, IN 46168

APPROVED BY
CONFERENCE MINUTES
30-Day Filing No. 50550
June 8, 2022
INDIANA UTILITY REGULATORY COMMISSION

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**STANDARD CONTRACT RIDER NO. 62 –
ENVIRONMENTAL COMPLIANCE ADJUSTMENT**

The applicable charges for electric service to the Company's retail electric customers shall be increased or decreased to reflect rate base treatment for environmental compliance projects, defined as qualified pollution control property, clean energy projects, and other federally-mandated environmental compliance projects in accordance with I.C. 8-1-2-6.6, I.C. 8-1-2-6.8, I.C. 8-1-8.4, I.C. 8-1-8.8 and 170 IAC 4-6, and to reflect recovery of clean energy and other federally-mandated environmental compliance project operating costs, including the cost of environmental reagents and emission allowances applicable to native load customers net of realized gains and losses from sales, in accordance with Ind. Code 8-1-8.8 and Ind. Code 8-1-8.4. The revenue adjustment to the applicable charges for electric service will be determined under the following provision:

Calculation of Adjustment

The Environmental Compliance Adjustment shall be determined no more often than every six months by multiplying the Environmental Compliance Adjustment Factor, as determined to the nearest 0.001 mill (\$0.000001) per kilowatt-hour in accordance with the following formula, by the monthly billed kilowatt-hours for the billing cycle months in the case of customers receiving metered service and by the estimated monthly kilowatt-hours used for rate determination in the case of customers receiving unmetered service.

Environmental Compliance Adjustment Factor =

$$\frac{(a \times b \times c \times h)}{i} + \frac{((d + e + f) \times g)}{k} \times j$$

where:

1. "a" is the jurisdictional cost of the Company's net invested capital applicable to environmental compliance projects and the net balance of post-in-service carrying costs, if any. For purposes of determining the value of such capital projects for this rate mechanism, the Company's costs as recorded in its books of account in accordance with the Uniform System of Accounts prescribed for Public Utilities and Licensees subject to the provisions of the Federal Power Act shall be used.
2. "b" is the Company's weighted cost of capital as of the date of valuation of the environmental compliance projects.
3. "c" is the revenue conversion factor to be used to convert return to operating revenues.
4. "d" is the Company's forecasted incremental jurisdictional operation and maintenance expense applicable to environmental compliance projects, including the cost of environmental reagents and emission allowances applicable to native load customers net of realized gains and losses from sales.
5. "e" is the Company's forecasted jurisdictional depreciation expense applicable to the investment in environmental compliance projects.

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6. “f” is the Company’s other incremental jurisdictional expense applicable to environmental compliance projects such as plan development costs, amortization of post-in-service carrying costs, and other costs or credits approved by the Commission for inclusion in this rider.
7. “g” is the revenue conversion factor used to convert operating expenses to operating revenues.
8. “h” is the individual retail rate group’s production demand allocator used for allocation purposes in the cost of service study last approved by the Commission as adjusted for migrations approved by the Commission.
9. “i” is the individual retail rate group’s adjusted billing cycle kilowatt-hour sales for the twelve months ending as of the date of valuation of the environmental compliance projects for all retail rate groups other than industrial customers served under Rate HLF. The revenue adjustment for industrial customers served under Rate HLF shall be based on demands within the HLF customer group such that “i” shall be the sum of kilowatts billed for the applicable twelve month period.
10. “j” is the individual retail rate group’s kilowatt-hour sales allocator used for allocation purposes in the cost of service study last approved by the Commission as adjusted for migrations approved by the Commission.
11. “k” is the individual retail rate group’s adjusted billing cycle kilowatt-hour sales for the applicable six month period for all retail rate groups other than industrial customers served under Rate HLF. The revenue adjustment for retail customers served under Rate HLF shall be based on demands within the HLF customer group such that “k” shall be the sum of kilowatts billed for the applicable six month period.

This factor shall be further modified to reflect the difference between estimated costs billed and costs actually experienced during the period such estimated costs were billed.

The Environmental Compliance Adjustment factor applicable to retail rate groups is as follows:

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STANDARD CONTRACT RIDER NO. 62 -
ENVIRONMENTAL COMPLIANCE
ADJUSTMENT FACTOR
APPLICABLE TO RETAIL RATE GROUPS

| <u>Line No.</u> | <u>Retail Rate Group</u> | <u>Environmental Compliance Adjustment Factor Per KWH (A)</u> | <u>Environmental Compliance Adjustment Factor Per Non-Coincident KW (B)</u> | <u>Line No.</u> |
|---------------------|--------------------------|---|---|---------------------|
| 1 | Rate RS | (\$0.001697) | | 1 |
| 2 | Rates CS and FOC | (0.001860) | | 2 |
| 3 | Rate LLF | (0.001579) | | 3 |
| 4 | Rate HLF | | (\$0.931362) | 4 |
| 5 | Customer L | (0.005284) | | 5 |
| 6 | Customer O | (0.008668) | | 6 |
| 7 | Rate WP | (0.001400) | | 7 |
| 8 | Rate SL | (0.001257) | | 8 |
| 9 | Rate MHLS | (0.001238) | | 9 |
| 10 | Rates MOLS and UOLS | (0.001382) | | 10 |
| 11 | Rates TS, FS and MS | (0.001485) | | 11 |

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STANDARD CONTRACT RIDER NO. 62 -
ENVIRONMENTAL COMPLIANCE
ADJUSTMENT FACTOR
APPLICABLE TO RETAIL RATE GROUPS

ALLOCATED SHARE OF SYSTEM PEAK DEMAND FOR RETAIL CUSTOMERS
BY RATE GROUP EXPRESSED AS A PERCENTAGE OF THE COMPANY'S
TOTAL RETAIL SYSTEM PEAK DEMAND AS DEVELOPED FOR COST OF
SERVICE PURPOSES IN CAUSE NO. 45253

| <u>Line No.</u> | <u>Rate Groups</u> | KW Share of System Peak (4CP) Per <u>Cause No. 45253</u> (A) | Percent Share Of <u>System Peak</u> (B) | <u>Line No.</u> |
|-----------------|---------------------|--|--|-----------------|
| 1 | Rate RS | 2,102,591 | 42.114% | 1 |
| 2 | Rates CS and FOC | 258,053 | 5.169% | 2 |
| 3 | Rate LLF | 1,034,546 | 20.722% | 3 |
| 4 | Rate HLF | 1,536,449 | 30.774% | 4 |
| 5 | Customer L | 14,800 | 0.296% | 5 |
| 6 | Customer O | 18,584 | 0.372% | 6 |
| 7 | Rate WP | 20,717 | 0.415% | 7 |
| 8 | Rate SL | 79 | 0.002% | 8 |
| 9 | Rate MHLS | 15 | 0.000% | 9 |
| 10 | Rates MOLS and UOLS | 5,633 | 0.113% | 10 |
| 11 | Rates TS, FS and MS | 1,141 | 0.023% | 11 |
| 12 | TOTAL RETAIL | 4,992,608 | 100.000% | 12 |

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STANDARD CONTRACT RIDER NO. 62 -
ENVIRONMENTAL COMPLIANCE
ADJUSTMENT FACTOR
APPLICABLE TO RETAIL RATE GROUPS

ALLOCATED SHARE OF MWH PLANT OUTPUT FOR RETAIL CUSTOMERS
BY RATE GROUP EXPRESSED AS A PERCENTAGE OF THE COMPANY'S
TOTAL RETAIL AS DEVELOPED FOR COST OF
SERVICE PURPOSES IN CAUSE NO. 45253

| <u>Line No.</u> | <u>Rate Groups</u> | <u>MWH Plant Output Cause No. 45253 (A)</u> | <u>Percent Share Of System Peak (B)</u> | <u>Line No.</u> |
|-----------------|---------------------|---|---|-----------------|
| 1 | Rate RS | 10,075,608 | 33.840% | 1 |
| 2 | Rates CS and FOC | 1,163,496 | 3.908% | 2 |
| 3 | Rate LLF | 5,429,725 | 18.237% | 3 |
| 4 | Rate HLF | 11,448,504 | 38.452% | 4 |
| 5 | Customer L | 119,082 | 0.400% | 5 |
| 6 | Customer O | 1,197,276 | 4.021% | 6 |
| 7 | Rate WP | 162,351 | 0.545% | 7 |
| 8 | Rate SL | 42,814 | 0.144% | 8 |
| 9 | Rate MHLS | 6,095 | 0.020% | 9 |
| 10 | Rates MOLS and UOLS | 118,444 | 0.398% | 10 |
| 11 | Rates TS, FS and MS | 10,457 | 0.035% | 11 |
| 12 | TOTAL RETAIL | 29,773,852 | 100.000% | 12 |

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STANDARD CONTRACT RIDER NO. 62 -
ENVIRONMENTAL COMPLIANCE
ADJUSTMENT FACTOR
APPLICABLE TO RETAIL RATE GROUPS

BILLING CYCLE KWH SALES FOR THE COMPANY'S
RETAIL CUSTOMERS BY RATE GROUP BASED
ON PERIOD ENDED JUNE 30, 2021

| Line No. | Rate Groups | Twelve Months Ended Billing Cycle KWH Sales (A) | Twelve Months Sum Of Monthly Non-Coincident Peak Demands (B) | Six Months Ended Billing Cycle KWH Sales (C) | Six Months Sum Of Monthly Non-Coincident Peak Demands (D) | Line No. |
|----------|---------------------|---|--|--|---|----------|
| 1 | Rate RS | 9,218,229,683 | | 4,691,775,596 | | 1 |
| 2 | Rates CS and FOC | 1,011,836,654 | | 500,302,495 | | 2 |
| 3 | Rate LLF | 5,053,737,270 | | 2,467,649,141 | | 3 |
| 4 | Rate HLF | 9,701,028,474 | 18,059,291 | 4,679,031,858 | 8,730,165 | 4 |
| 5 | Customer L | 42,579,677 | | 20,018,957 | | 5 |
| 6 | Customer O | 156,236,642 | | 78,624,000 | | 6 |
| 7 | Rate WP | 155,234,106 | | 78,294,006 | | 7 |
| 8 | Rate SL | 36,806,363 | | 18,302,233 | | 8 |
| 9 | Rate MHLS | 5,354,633 | | 2,723,762 | | 9 |
| 10 | Rates MOLS and UOLS | 101,472,808 | | 50,340,022 | | 10 |
| 11 | Rates TS, FS and MS | 9,667,284 | | 4,838,196 | | 11 |
| 12 | TOTAL RETAIL | 25,492,183,594 | | 12,591,900,266 | | 12 |

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**STANDARD CONTRACT RIDER NO. 65 –
TRANSMISSION AND DISTRIBUTION INFRASTRUCTURE IMPROVEMENT
COST ADJUSTMENT**

The applicable charges for electric service to the Company's retail electric customers shall be increased or decreased for the change in costs associated with the Company's transmission and distribution infrastructure improvement costs ("TDSIC") in accordance with I.C. 8-1-39. The revenue adjustment to the applicable charges for electric service will be determined under the following provision:

Calculation of Adjustment

The monthly billing adjustment shall be determined by multiplying the adjustment factor, as determined to the nearest 0.001 mill (\$0.000001) per kilowatt-hour calculated in accordance with the following formula, by the monthly billed kilowatt-hours in the case of customers receiving metered service and by the estimated monthly billed kilowatt-hours used for rate determinations in the case of customers receiving unmetered service.

Transmission and Distribution Infrastructure Improvement Cost Adjustment Factor =

$$\frac{((a + b) \times c) + ((d + e) \times f))}{g}$$

where:

1. "a" is the twelve month return on jurisdictional invested capital applicable to the transmission system projects. For purposes of determining the value of such capital projects for this rate mechanism, the Company's costs as recorded in its books of account in accordance with the Uniform System of Accounts prescribed for Public Utilities and Licensees subject to the provisions of the Federal Power Act shall be used. The net book value of the transmission system investment shall be multiplied by the weighted average cost of capital calculated in accordance with I.C. 8-1-39 as of the valuation date of the net transmission system investment, using the return on equity approved in the last retail base rate case. The after tax return shall be converted to before-income-tax revenue requirement by multiplying by the applicable revenue conversion factor.
2. "b" is the Company's forecasted incremental jurisdictional system TDSIC Plan costs such as depreciation, operation and maintenance, property tax and other costs approved by the Commission applicable to the transmission system projects.
3. "c" is the transmission system revenue requirement expressed as a percentage of the total transmission system revenue requirement.
4. "d" is the twelve month return on jurisdictional invested capital applicable to the distribution system projects. For purposes of determining the value of such capital projects for this rate mechanism, the Company's costs as recorded in its books of account in accordance with the Uniform System of Accounts prescribed for Public Utilities and Licensees subject to the

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TRANSMISSION AND DISTRIBUTION INFRASTRUCTURE IMPROVEMENT COST
ADJUSTMENT**

provisions of the federal Power Act shall be used. The net book value of the distribution system investment shall be multiplied by the weighted average cost of capital calculated in accordance with I.C. 8-1-39 as of the valuation date of the net distribution system investment. The after tax return shall be converted to before-income-tax revenue requirement by multiplying by the applicable revenue conversion factor.

5. "e" is the Company's forecasted incremental jurisdictional system TDSIC Plan costs such as depreciation, operation and maintenance, property tax and other costs approved by the Commission applicable to the distribution system projects.
6. "f" is the individual rate group's distribution system revenue requirement expressed as a percentage of the total distribution system revenue requirement.
7. "g" is the retail rate group's adjusted kilowatt-hour sales for the applicable twelve month period for all retail rate groups other than customers served under Rate HLF. The revenue adjustment for retail customers served under Rate HLF shall be demands within the Rate HLF customer group such that "g" shall be the sum of kilowatts billed for the applicable twelve month period.

This factor shall be further modified to reflect the difference between estimated costs billed and costs actually experienced during the period such estimated costs were billed.

The Transmission and Distribution Infrastructure Improvement Cost Adjustment factor applicable to retail rate groups is as follows:

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STANDARD CONTRACT RIDER NO. 65
TRANSMISSION AND DISTRIBUTION INFRASTRUCTURE IMPROVEMENT COST RATE
ADJUSTMENT APPLICABLE TO RETAIL RATE GROUPS

| Line No. | Rate Groups | Transmission and Distribution Infrastructure Improvement Cost Rate Adjustment Factor Per KWH (A) | Transmission and Distribution Infrastructure Improvement Cost Rate Adjustment Factor Per Non-Coincident KW (B) | Line No. |
|----------|--------------------------------|---|---|----------|
| 1 | Rate RS | \$0.001554 | | 1 |
| 2 | Rate CS | \$0.001953 | | 2 |
| 3 | Rate LLF - Secondary | \$0.001421 | | 3 |
| 4 | Rate LLF - Primary | \$0.000330 | | 4 |
| 5 | Rate LLF - Primary Direct | \$0.000500 | | 5 |
| 6 | Rate LLF - Transmission | \$0.000423 | | 6 |
| 7 | Rate HLF - Secondary | | \$0.641460 | 7 |
| 8 | Rate HLF - Primary | | \$0.943493 | 8 |
| 9 | Rate HLF - Primary Direct | | \$0.344568 | 9 |
| 10 | Rate HLF - Common Transmission | | \$0.230191 | 10 |
| 11 | Rate HLF - Bulk Transmission | | \$0.104207 | 11 |
| 12 | Customer L | \$0.000454 | | 12 |
| 13 | Customer O | \$0.000689 | | 13 |
| 14 | Rate WP | \$0.000888 | | 14 |
| 15 | Rate SL | \$0.001893 | | 15 |
| 16 | Rate MHLS | \$0.001180 | | 16 |
| 17 | Rates MOLS and UOLS | \$0.001287 | | 17 |
| 18 | Rates TS, FS and MS | \$0.001006 | | 18 |

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STANDARD CONTRACT RIDER NO. 65
TRANSMISSION AND DISTRIBUTION INFRASTRUCTURE IMPROVEMENT COST RATE
ADJUSTMENT APPLICABLE TO RETAIL RATE GROUPS

THE RETAIL TRANSMISSION REVENUE REQUIREMENT FOR THE COMPANY'S
RETAIL CUSTOMERS BY RATE GROUP EXPRESSED AS A PERCENTAGE
OF THE COMPANY'S TOTAL RETAIL TRANSMISSION REVENUE
REQUIREMENT FOR COST OF SERVICE PURPOSES IN IURC CAUSE NO. 45253
(Dollars in Thousands)

| Line No. | Rate Groups | Transmission Revenue Requirement (A) | Percent Transmission Revenue Requirement (B) | Line No. |
|-------------|--------------------------------|---|--|-------------|
| 1 | Rate RS | \$ 92,545,389 | 38.587% | 1 |
| 2 | Rate CS | 12,992,083 | 5.417% | 2 |
| 3 | Rate LLF - Secondary | 41,929,299 | 17.483% | 3 |
| 4 | Rate LLF - Primary | 4,045,848 | 1.687% | 4 |
| 5 | Rate LLF - Primary Direct | 2,279,755 | 0.951% | 5 |
| 6 | Rate LLF - Transmission | 1,584,609 | 0.661% | 6 |
| 7 | Rate HLF - Secondary | 34,424,839 | 14.354% | 7 |
| 8 | Rate HLF - Primary | 15,889,354 | 6.625% | 8 |
| 9 | Rate HLF - Primary Direct | 14,572,071 | 6.076% | 9 |
| 10 | Rate HLF - Common Transmission | 9,432,833 | 3.933% | 10 |
| 11 | Rate HLF - Bulk Transmission | 5,901,691 | 2.461% | 11 |
| 12 | Customer L | 443,880 | 0.185% | 12 |
| 13 | Customer O | 2,442,674 | 1.019% | 13 |
| 14 | Rate WP | 1,036,471 | 0.432% | 14 |
| 15 | Rate SL | 9,022 | 0.004% | 15 |
| 16 | Rate MHLS | 1,233 | 0.001% | 16 |
| 17 | Rates MOLS and UOLS | 209,299 | 0.087% | 17 |
| 18 | Rates TS, FS and MS | 87,870 | 0.037% | 18 |
| 19 | Total Retail | <u>\$ 239,828,220</u> | <u>100.000%</u> | 19 |

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STANDARD CONTRACT RIDER NO. 65
TRANSMISSION AND DISTRIBUTION INFRASTRUCTURE IMPROVEMENT COST RATE
ADJUSTMENT APPLICABLE TO RETAIL RATE GROUPS

THE RETAIL DISTRIBUTION REVENUE REQUIREMENT FOR THE COMPANY'S
RETAIL CUSTOMERS BY RATE GROUP EXPRESSED AS A PERCENTAGE
OF THE COMPANY'S TOTAL RETAIL DISTRIBUTION REVENUE
REQUIREMENT FOR COST OF SERVICE PURPOSES IN IURC CAUSE NO. 45253
(Dollars in Thousands)

| Line No. | Rate Groups | Distribution Revenue Requirement (A) | Percent Distribution Revenue Requirement (B) | Line No. |
|-------------|--------------------------------|---|--|-------------|
| 1 | Rate RS | \$196,598,372 | 56.535% | 1 |
| 2 | Rate CS | 19,703,090 | 5.666% | 2 |
| 3 | Rate LLF - Secondary | 60,960,464 | 17.530% | 3 |
| 4 | Rate LLF - Primary | 4,792,416 | 1.378% | 4 |
| 5 | Rate LLF - Primary Direct | 502,575 | 0.145% | 5 |
| 6 | Rate LLF - Transmission | (394) | 0.000% | 6 |
| 7 | Rate HLF - Secondary | 41,841,974 | 12.032% | 7 |
| 8 | Rate HLF - Primary | 15,525,238 | 4.465% | 8 |
| 9 | Rate HLF - Primary Direct | 2,531,784 | 0.728% | 9 |
| 10 | Rate HLF - Common Transmission | 9,568 | 0.003% | 10 |
| 11 | Rate HLF - Bulk Transmission | (3,027) | -0.001% | 11 |
| 12 | Customer L | 15,152 | 0.004% | 12 |
| 13 | Customer O | - | 0.000% | 13 |
| 14 | Rate WP | 1,979,471 | 0.569% | 14 |
| 15 | Rate SL | 1,132,932 | 0.326% | 15 |
| 16 | Rate MHLS | 115,697 | 0.033% | 16 |
| 17 | Rates MOLS and UOLS | 1,920,085 | 0.552% | 17 |
| 18 | Rates TS, FS and MS | 120,405 | 0.035% | 18 |
| 19 | Total Retail | <u>\$347,745,802</u> | <u>100.000%</u> | 19 |

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STANDARD CONTRACT RIDER NO. 65
TRANSMISSION AND DISTRIBUTION INFRASTRUCTURE IMPROVEMENT COST RATE
ADJUSTMENT APPLICABLE TO RETAIL RATE GROUPS

BILLING CYCLE KWH SALES AND HLF MONTHLY NON-COINCIDENT PEAKS
FOR THE COMPANY'S RETAIL CUSTOMERS BY RATE GROUP
BASED ON THE TWELVE-MONTH PERIOD ENDED DECEMBER 31, 2020

| Line No. | Rate Groups | KWH Sales (A) | Sum Of Monthly Non-Coincident Peak Demands (B) | Line No. |
|-------------|--------------------------------|-----------------------|---|-------------|
| 1 | Rate RS | 8,995,191,855 | | 1 |
| 2 | Rate CS | 985,073,010 | | 2 |
| 3 | Rate LLF - Secondary | 3,867,001,105 | | 3 |
| 4 | Rate LLF - Primary | 591,784,317 | | 4 |
| 5 | Rate LLF - Primary Direct | 237,176,552 | | 5 |
| 6 | Rate LLF - Transmission | 138,506,579 | | 6 |
| 7 | Rate HLF - Secondary | 3,605,627,156 | 7,494,152 | 7 |
| 8 | Rate HLF - Primary | 1,650,507,272 | 3,014,319 | 8 |
| 9 | Rate HLF - Primary Direct | 2,006,987,052 | 3,474,314 | 9 |
| 10 | Rate HLF - Common Transmission | 1,067,980,493 | 1,938,105 | 10 |
| 11 | Rate HLF - Bulk Transmission | 1,227,849,446 | 2,173,060 | 11 |
| 12 | Customer L | 70,713,118 | | 12 |
| 13 | Customer O | 156,668,642 | | 13 |
| 14 | Rate WP | 152,268,418 | | 14 |
| 15 | Rate SL | 37,210,364 | | 15 |
| 16 | Rate MHLS | 5,474,557 | | 16 |
| 17 | Rates MOLS and UOLS | 103,003,067 | | 17 |
| 18 | Rates TS, FS and MS | 9,645,219 | | 18 |
| 19 | Total Retail | <u>24,908,668,222</u> | | 19 |

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**STANDARD CONTRACT RIDER NO. 66 -
ENERGY EFFICIENCY ADJUSTMENT**

The applicable charges for electric service to the Company's retail electric customers shall include an adjustment to recover or refund energy efficiency amounts as approved by the Indiana Utility Regulatory Commission. The applicable retail electric adjustment will be determined based on the following provisions:

Calculation of Adjustment

The monthly billing adjustment shall be determined by multiplying the adjustment factor, as determined to the nearest 0.001 mill (\$0.000001) per kilowatt-hour calculated in accordance with the following formula, by the monthly billed kilowatt-hours in the case of customers receiving metered service and by the estimated monthly billed kilowatt-hours used for rate determinations in the case of customers receiving unmetered service.

Energy Efficiency Adjustment Factor =

$$\text{Residential} = \frac{(a*g)+c}{e}$$

$$\text{Non-Residential} = \frac{(b*g)+d}{f}$$

where:

1. "a" is the sum of estimated residential energy efficiency amounts excluding lost revenue.
2. "b" is the sum of estimated non-residential energy efficiency amounts excluding lost revenue.
3. "c" is the sum of estimated residential energy efficiency lost revenue.
4. "d" is the sum of estimated non-residential energy efficiency lost revenue.
5. "e" is the applicable billing cycle kilowatt-hour sales for residential customers.
6. "f" is the applicable billing cycle kilowatt-hour sales for non-residential customers.
7. "g" is the revenue conversion factor that includes the Public Utility Fee and Uncollectible Expense.

Estimated energy efficiency amounts shall be further modified to reflect the difference between estimated amounts billed and actual amounts.

Separate billing adjustments shall be determined for Qualifying Customers who have opted out from participation in energy efficiency programs under the terms of this tariff based on the effective date of such opt out. Such billing adjustments will contain only the energy efficiency amounts, consisting of program costs, lost revenues and shareholder incentives, and related reconciliations, applicable to periods prior to the effective date of opt out, as further defined herein.

Separate billing adjustments shall also be determined for Qualifying Customers who have opted out from participation in energy efficiency programs under the terms of this tariff, but subsequently opted back in to participation in energy efficiency programs under the terms of this tariff, based on the effective dates of such

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**STANDARD CONTRACT RIDER NO. 66 -
ENERGY EFFICIENCY ADJUSTMENT**

opt out and opt in. Such billing adjustments will contain only the energy efficiency amounts, consisting of program costs, lost revenues and shareholder incentives, and related reconciliations, applicable to periods prior to the effective date of opt out and subsequent to the effective date of opt in, as further defined herein.

Opt Out Provisions

In order for a Customer to qualify to opt out from participation in energy efficiency programs under the terms of this tariff, all of the following conditions must be satisfied:

1. A Qualifying Customer must receive service at a Single Site constituting more than one megawatt of electric capacity.
2. The Qualifying Customer must be able to demonstrate that at least one demand meter on its Single Site has received service of more than one megawatt of electric capacity within the previous 12 months or must be a new customer who has signed a written demand contract of greater than one megawatt for at least one meter on a Single Site.
3. If a Customer has a Single Site with Qualifying Load, it may opt out all accounts receiving service at that Single Site which are billed non-residential rates. Such accounts will be opted out provided the Customer identifies the accounts in the Customer's notice to the Company of its election to opt out and provided that at least one account at the Single Site that qualified above by virtue of having more than one megawatt of electric capacity is among the accounts identified to opt out and provided that all accounts at the Single Site on a common rate have the same opt out/opt in status.
4. The Qualifying Customer must provide written notice by completing a form provided by Duke Energy Indiana, LLC, or by providing written notice to Duke Energy Indiana, LLC, in substantially the same format as the form provided. A customer who provides written notice of its desire to opt out without using the form will be asked to complete the opt out form in a timely manner consistent with the terms of this tariff, but the notice date of the customer opt out will be the date of its original notice. The notice must:
 - a. indicate the Customer's desire to opt out of energy efficiency programs
 - b. provide a listing of all qualifying accounts for each Single Site which the Customer intends to opt out
 - i. a qualifying account is either one that is demonstrated to have received service of more than one megawatt of electric capacity at a meter at a Single Site as outlined above in item 2. or an account located on contiguous property at the same site and which is billed a non-residential rate
 - ii. at least one qualifying account which was demonstrated to have received service of more than one megawatt of electric capacity at the Single Site must opt out in order for other smaller qualifying accounts at the Single Site to opt out
 - iii. all accounts on the same rate as the qualifying account of more than one megawatt that opts out will also be required to opt out
 - iv. any other qualifying account on a different non-residential rate may also be opted out, but all accounts on the same rate at the Single Site must also opt out
 - c. contain confirmation that the signatory has authority to make that decision for the Customer

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5. Written notice must be received by Duke Energy Indiana, LLC on or before November 15 of any year to be effective January 1 of the following year.

Once qualification is determined by Duke Energy Indiana, LLC, the utility will not revoke the Qualifying Customer's qualification at a later date. Qualifying Customers do not need to provide additional notice or otherwise demonstrate continued eligibility annually in order to maintain the opt out status for future energy efficiency program years, except as outlined herein for Qualifying Customers who opted back in and then wish to opt out again.

As of the effective date of the opt out, the Qualifying Customer is no longer eligible to participate in any energy efficiency program for the qualified account(s) and is not eligible to receive incentive payments for energy efficiency projects previously approved but not completed as of the effective date of the opt out.

The Qualifying Customer will be billed the approved opt out rate applicable to their effective date of opt out beginning with the first bill rendered after the effective date of opt out, unless an opt out rate has not yet been approved by the Commission for the effective date of opt out. In that case, the customer will be billed the then approved opt out rate applicable to the latest opt out effective date beginning with the first bill rendered after the Qualifying Customer's effective date of opt out until an opt out rate is approved applicable to the Qualifying Customer's effective date. The Qualifying Customer will then be billed the approved opt out rate applicable to their effective date of opt out beginning with the first bill rendered after the effective date of the approved rate.

The Qualifying Customer remains liable for energy efficiency program costs, including lost revenues, shareholder incentives and related reconciliations, that accrued or were incurred or relate to energy efficiency investments made before the date on which the opt out is effective, regardless of the date on which the rates are actually assessed. Such costs may include costs related to evaluation, measurement and verification ("EM&V") required to be conducted after a customer opts out on projects completed under an Energy Efficiency Program while the customer was a participant. In addition, such costs may include costs required by contracts executed prior to the effective date of opt out but incurred after the date of the Qualifying Customer's opt out. However, these costs shall be limited to fixed, administrative costs, including costs related to EM&V. A Qualifying Customer shall not be responsible for any program costs such as the payment of energy efficiency rebates or incentives, incurred following the effective date of its opt out with the exception of incentives or rebates that are paid on applications that have not closed out at the effective date of its opt out.

Opt In Provisions for Qualifying Customers

A Qualifying Customer who opts out under the terms of this tariff may opt back in to participation in energy efficiency programs by providing written notice which must be received by Duke Energy Indiana, LLC on or before November 15 of any year for participation to be effective January 1 of the following year.

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A Qualifying Customer who opts back in is required to participate in the program for at least three years and pay related program costs including lost revenues and incentives for three years after the effective date of opting back in. The Qualifying Customer will also continue to pay for energy efficiency amounts applicable to periods prior to the effective date of their opt out.

In order to opt back in to participation, the Qualifying Customer must provide written notice by completing a form provided by Duke Energy Indiana, LLC, or by providing written notice to Duke Energy Indiana, LLC, in substantially the same format as the form provided, which:

1. unequivocally indicates the Customer's desire to opt back in to energy efficiency programs
2. provides a listing of all qualifying accounts for each Single Site which the Customer intends to opt back in to the energy efficiency programs
 - a. only the qualifying accounts/sites listed will be opted back in to the energy efficiency programs
 - b. a Customer opting back in an account at a Single Site must also opt back in all other accounts with the same common rate at the Single Site
 - c. a Customer may not opt back in the account which by virtue of having more than one megawatt of electric capacity qualified the Customer to opt out other accounts at the Single Site without also opting back in all other accounts at the Single Site
3. contains a statement that the Customer understands that by opting in, it is required to participate in the program for at least three years and pay related costs including lost revenues and incentives
4. contains confirmation that the signatory has authority to make that decision for the Customer.

The Qualifying Customer will be billed the rate applicable to the effective dates of their opt out and opt in beginning with the first bill rendered after both the effective date of the opt in and the effective date of an approved rate applicable to the effective dates of their opt out and opt in.

A Qualifying Customer who opts back in may only opt out again effective January 1 of the year following the third year of participation by providing notice on or before November 15 of the third year of participation. In Order to opt out again, the following conditions must be satisfied:

1. A Qualifying Customer must demonstrate that at least one demand meter on its Single Site has received service of more than one megawatt of electric capacity within the previous 12 months.
2. The Qualifying Customer must provide written notice by completing a form provided by Duke Energy Indiana, LLC, or by providing written notice to Duke Energy Indiana, LLC, in substantially the same format as the form provided, which:
 - a. indicates the Customer's desire to opt out of energy efficiency programs
 - b. provides a listing of all qualifying accounts for each Single Site which the Customer intends to opt out
 - i. a qualifying account is either one that is demonstrated to have received service of more than one megawatt of electric capacity at a meter at a Single Site as outlined above in item 1. or an account located on contiguous property at the same site and which is billed a non-residential rate

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- ii. at least one qualifying account which was demonstrated to have received service of more than one megawatt of electric capacity at the Single Site must opt out in order for other smaller qualifying accounts at the Single Site to opt out
- iii. all accounts on the same rate as the qualifying account of more than one megawatt that opts out will also be required to opt out
- iv. any other qualifying account on a different non-residential rate may also be opted out, but all accounts on the same rate at the Single Site must also opt out
- c. contains confirmation that the signatory has authority to make that decision for the Customer.

As of the effective date of the opt out, the Qualifying Customer is no longer eligible to participate in any energy efficiency program for the qualified account(s) and is not eligible to receive incentive payments for energy efficiency projects previously approved but not completed as of the effective date of the opt out.

A Qualifying Customer who elects to opt back out after the three-year period following opt in shall be responsible for energy efficiency program costs, including lost revenues, shareholder incentives and related reconciliations as outlined in the Opt Out Provisions section of this tariff for all periods other than the periods for which an opt out was effective.

The Qualifying Customer will be billed the rate applicable to the effective dates of their opt outs and opt in beginning with the first bill rendered after both the effective date of the opt out and the effective date of an approved rate applicable to the effective dates of their opt outs and opt in.

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The Energy Efficiency Adjustment factor applicable to retail rate schedules for customers who are not eligible to opt out or are eligible to opt out but who have not opted out under the terms of this tariff shall be as follows:

Rates for Participating Customers

| <u>Line No.</u> | <u>Rate Groups</u> | Proposed Energy Efficiency Factors <u>kWh</u> (A) | <u>Line No.</u> |
|---------------------|-----------------------------------|--|---------------------|
| 1 | Rate RS | \$0.001772 | 1 |
| 2 | Rates CS and FOC | \$0.004757 | 2 |
| 3 | Rate LLF | \$0.004757 | 3 |
| 4 | Rate HLF ^{1/} | \$0.004757 | 4 |
| 5 | Customer L ^{2/} | \$0.004757 | 5 |
| 6 | Customer O - Firm | \$0.004757 | 6 |
| 7 | Customer O - Interruptible | \$0.004757 | 7 |
| 8 | Rate WP | \$0.004757 | 8 |
| 9 | Rate SL | \$0.004757 | 9 |
| 10 | Rate MHLS | \$0.004757 | 10 |
| 11 | Rates MOLS and UOLS ^{3/} | \$0.004757 | 11 |
| 12 | Rates TS, FS and MS | \$0.004757 | 12 |

^{1/} Includes Customer C TOU.

^{2/} Includes Customer L RTP.

^{3/} Includes Rate Code XLED.

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The Energy Efficiency Adjustment factor applicable to retail rate schedules for Qualifying Customers who have opted out in accordance with the terms of this tariff with an April 1, 2014 effective date shall be as follows:

Rates for Qualifying Customers Who Opted Out Effective April 1, 2014

| <u>Line No.</u> | <u>Rate Groups</u> | Proposed Energy Efficiency Factors <u>kWh</u> (A) | <u>Line No.</u> |
|---------------------|-----------------------------------|--|---------------------|
| 1 | Rate RS | \$0.000000 | 1 |
| 2 | Rates CS and FOC | \$0.000000 | 2 |
| 3 | Rate LLF | \$0.000000 | 3 |
| 4 | Rate HLF ^{1/} | \$0.000000 | 4 |
| 5 | Customer L ^{2/} | \$0.000000 | 5 |
| 6 | Customer O - Firm | \$0.000000 | 6 |
| 7 | Customer O - Interruptible | \$0.000000 | 7 |
| 8 | Rate WP | \$0.000000 | 8 |
| 9 | Rate SL | \$0.000000 | 9 |
| 10 | Rate MHLS | \$0.000000 | 10 |
| 11 | Rates MOLS and UOLS ^{3/} | \$0.000000 | 11 |
| 12 | Rates TS, FS and MS | \$0.000000 | 12 |

^{1/} Includes Customer C TOU.

^{2/} Includes Customer L RTP.

^{3/} Includes Rate Code XLED.

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Rates for Qualifying Customers Who Opted Out Effective January 1, 2015

| <u>Line No.</u> | <u>Rate Groups</u> | Proposed Energy Efficiency Factors <u>kWh</u> (A) | <u>Line No.</u> |
|---------------------|-----------------------------------|--|---------------------|
| 1 | Rate RS | \$0.000000 | 1 |
| 2 | Rates CS and FOC | \$0.000000 | 2 |
| 3 | Rate LLF | \$0.000000 | 3 |
| 4 | Rate HLF ^{1/} | \$0.000000 | 4 |
| 5 | Customer L ^{2/} | \$0.000000 | 5 |
| 6 | Customer O - Firm | \$0.000000 | 6 |
| 7 | Customer O - Interruptible | \$0.000000 | 7 |
| 8 | Rate WP | \$0.000000 | 8 |
| 9 | Rate SL | \$0.000000 | 9 |
| 10 | Rate MHLS | \$0.000000 | 10 |
| 11 | Rates MOLS and UOLS ^{3/} | \$0.000000 | 11 |
| 12 | Rates TS, FS and MS | \$0.000000 | 12 |

^{1/} Includes Customer C TOU.

^{2/} Includes Customer L RTP.

^{3/} Includes Rate Code XLED.

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The Energy Efficiency Adjustment factor applicable to retail rate schedules for Qualifying Customers who have opted out in accordance with the terms of this tariff with a January 1, 2016 effective date shall be as follows:

Rates for Qualifying Customers Who Opted Out Effective January 1, 2016

| <u>Line No.</u> | <u>Rate Groups</u> | Proposed Energy Efficiency Factors <u>kWh</u> (A) | <u>Line No.</u> |
|---------------------|-----------------------------------|--|---------------------|
| 1 | Rate RS | \$0.000000 | 1 |
| 2 | Rates CS and FOC | \$0.000073 | 2 |
| 3 | Rate LLF | \$0.000073 | 3 |
| 4 | Rate HLF ^{1/} | \$0.000073 | 4 |
| 5 | Customer L ^{2/} | \$0.000073 | 5 |
| 6 | Customer O - Firm | \$0.000073 | 6 |
| 7 | Customer O - Interruptible | \$0.000073 | 7 |
| 8 | Rate WP | \$0.000073 | 8 |
| 9 | Rate SL | \$0.000073 | 9 |
| 10 | Rate MHLS | \$0.000073 | 10 |
| 11 | Rates MOLS and UOLS ^{3/} | \$0.000073 | 11 |
| 12 | Rates TS, FS and MS | \$0.000073 | 12 |

^{1/} Includes Customer C TOU.

^{2/} Includes Customer L RTP.

^{3/} Includes Rate Code XLED.

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The Energy Efficiency Adjustment factor applicable to retail rate schedules for Qualifying Customers who have opted out in accordance with the terms of this tariff with a January 1, 2017 effective date shall be as follows:

Rates for Qualifying Customers Who Opted Out Effective January 1, 2017

| <u>Line No.</u> | <u>Rate Groups</u> | Proposed Energy Efficiency Factors <u>kWh</u> (A) | <u>Line No.</u> |
|---------------------|-----------------------------------|--|---------------------|
| 1 | Rate RS | \$0.000000 | 1 |
| 2 | Rates CS and FOC | \$0.000117 | 2 |
| 3 | Rate LLF | \$0.000117 | 3 |
| 4 | Rate HLF ^{1/} | \$0.000117 | 4 |
| 5 | Customer L ^{2/} | \$0.000117 | 5 |
| 6 | Customer O - Firm | \$0.000117 | 6 |
| 7 | Customer O - Interruptible | \$0.000117 | 7 |
| 8 | Rate WP | \$0.000117 | 8 |
| 9 | Rate SL | \$0.000117 | 9 |
| 10 | Rate MHLS | \$0.000117 | 10 |
| 11 | Rates MOLS and UOLS ^{3/} | \$0.000117 | 11 |
| 12 | Rates TS, FS and MS | \$0.000117 | 12 |

^{1/} Includes Customer C TOU.

^{2/} Includes Customer L RTP.

^{3/} Includes Rate Code XLED.

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The Energy Efficiency Adjustment factor applicable to retail rate schedules for Qualifying Customers who have opted out in accordance with the terms of this tariff with a January 1, 2018 effective date shall be as follows:

Rates for Qualifying Customers Who Opted Out Effective January 1, 2018

| <u>Line No.</u> | <u>Rate Groups</u> | Proposed Energy Efficiency Factors <u>kWh</u> (A) | <u>Line No.</u> |
|---------------------|-----------------------------------|--|---------------------|
| 1 | Rate RS | \$0.000000 | 1 |
| 2 | Rates CS and FOC | (\$0.000225) | 2 |
| 3 | Rate LLF | (\$0.000225) | 3 |
| 4 | Rate HLF ^{1/} | (\$0.000225) | 4 |
| 5 | Customer L ^{2/} | (\$0.000225) | 5 |
| 6 | Customer O - Firm | (\$0.000225) | 6 |
| 7 | Customer O - Interruptible | (\$0.000225) | 7 |
| 8 | Rate WP | (\$0.000225) | 8 |
| 9 | Rate SL | (\$0.000225) | 9 |
| 10 | Rate MHLS | (\$0.000225) | 10 |
| 11 | Rates MOLS and UOLS ^{3/} | (\$0.000225) | 11 |
| 12 | Rates TS, FS and MS | (\$0.000225) | 12 |

^{1/} Includes Customer C TOU.

^{2/} Includes Customer L RTP.

^{3/} Includes Rate Code XLED.

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The Energy Efficiency Adjustment factor applicable to retail rate schedules for Qualifying Customers who have opted out in accordance with the terms of this tariff with a January 1, 2019 effective date shall be as follows:

Rates for Qualifying Customers Who Opted Out Effective January 1, 2019

| <u>Line No.</u> | <u>Rate Groups</u> | Proposed Energy Efficiency Factors <u>kWh</u> (A) | <u>Line No.</u> |
|---------------------|-----------------------------------|--|---------------------|
| 1 | Rate RS | \$0.000000 | 1 |
| 2 | Rates CS and FOC | \$0.000157 | 2 |
| 3 | Rate LLF | \$0.000157 | 3 |
| 4 | Rate HLF ^{1/} | \$0.000157 | 4 |
| 5 | Customer L ^{2/} | \$0.000157 | 5 |
| 6 | Customer O - Firm | \$0.000157 | 6 |
| 7 | Customer O - Interruptible | \$0.000157 | 7 |
| 8 | Rate WP | \$0.000157 | 8 |
| 9 | Rate SL | \$0.000157 | 9 |
| 10 | Rate MHLS | \$0.000157 | 10 |
| 11 | Rates MOLS and UOLS ^{3/} | \$0.000157 | 11 |
| 12 | Rates TS, FS and MS | \$0.000157 | 12 |

^{1/} Includes Customer C TOU.

^{2/} Includes Customer L RTP.

^{3/} Includes Rate Code XLED.

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The Energy Efficiency Adjustment factor applicable to retail rate schedules for Qualifying Customers who have opted out in accordance with the terms of this tariff with a January 1, 2020 effective date shall be as follows:

Rates for Qualifying Customers Who Opted Out Effective January 1, 2020

| <u>Line No.</u> | <u>Rate Groups</u> | Proposed Energy Efficiency Factors <u>kWh</u> (A) | <u>Line No.</u> |
|---------------------|-----------------------------------|--|---------------------|
| 1 | Rate RS | \$0.000000 | 1 |
| 2 | Rates CS and FOC | \$0.000558 | 2 |
| 3 | Rate LLF | \$0.000558 | 3 |
| 4 | Rate HLF ^{1/} | \$0.000558 | 4 |
| 5 | Customer L ^{2/} | \$0.000558 | 5 |
| 6 | Customer O - Firm | \$0.000558 | 6 |
| 7 | Customer O - Interruptible | \$0.000558 | 7 |
| 8 | Rate WP | \$0.000558 | 8 |
| 9 | Rate SL | \$0.000558 | 9 |
| 10 | Rate MHLS | \$0.000558 | 10 |
| 11 | Rates MOLS and UOLS ^{3/} | \$0.000558 | 11 |
| 12 | Rates TS, FS and MS | \$0.000558 | 12 |

^{1/} Includes Customer C TOU.

^{2/} Includes Customer L RTP.

^{3/} Includes Rate Code XLED.

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The Energy Efficiency Adjustment factor applicable to retail rate schedules for Qualifying Customers who opt out in accordance with the terms of this tariff with a January 1, 2021 effective date shall be as follows:

Rates for Qualifying Customers Who Opt Out Effective January 1, 2021

| <u>Line No.</u> | <u>Rate Groups</u> | Proposed Energy Efficiency Factors <u>kWh</u> (A) | <u>Line No.</u> |
|---------------------|-----------------------------------|--|---------------------|
| 1 | Rate RS | \$0.000000 | 1 |
| 2 | Rates CS and FOC | \$0.000700 | 2 |
| 3 | Rate LLF | \$0.000700 | 3 |
| 4 | Rate HLF ^{1/} | \$0.000700 | 4 |
| 5 | Customer L ^{2/} | \$0.000700 | 5 |
| 6 | Customer O - Firm | \$0.000700 | 6 |
| 7 | Customer O - Interruptible | \$0.000700 | 7 |
| 8 | Rate WP | \$0.000700 | 8 |
| 9 | Rate SL | \$0.000700 | 9 |
| 10 | Rate MHLS | \$0.000700 | 10 |
| 11 | Rates MOLS and UOLS ^{3/} | \$0.000700 | 11 |
| 12 | Rates TS, FS and MS | \$0.000700 | 12 |

^{1/} Includes Customer C TOU.

^{2/} Includes Customer L RTP.

^{3/} Includes Rate Code XLED.

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The Energy Efficiency Adjustment factor applicable to retail rate schedules for Qualifying Customers who opt out in accordance with the terms of this tariff with a January 1, 2022 effective date shall be as follows:

Rates for Qualifying Customers Who Opt Out Effective January 1, 2022

| <u>Line No.</u> | <u>Rate Groups</u> | Proposed Energy Efficiency Factors <u>kWh</u> (A) | <u>Line No.</u> |
|-----------------|-----------------------------------|---|-----------------|
| 1 | Rate RS | \$0.000000 | 1 |
| 2 | Rates CS and FOC | \$0.001249 | 2 |
| 3 | Rate LLF | \$0.001249 | 3 |
| 4 | Rate HLF ^{1/} | \$0.001249 | 4 |
| 5 | Customer L ^{2/} | \$0.001249 | 5 |
| 6 | Customer O - Firm | \$0.001249 | 6 |
| 7 | Customer O - Interruptible | \$0.001249 | 7 |
| 8 | Rate WP | \$0.001249 | 8 |
| 9 | Rate SL | \$0.001249 | 9 |
| 10 | Rate MHLS | \$0.001249 | 10 |
| 11 | Rates MOLS and UOLS ^{3/} | \$0.001249 | 11 |
| 12 | Rates TS, FS and MS | \$0.001249 | 12 |

^{1/} Includes Customer C TOU.

^{3/} Includes Rate Code XLED.

^{2/} Includes Customer L RTP.

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The Energy Efficiency Adjustment factor applicable to retail rate schedules for Qualifying Customers who have, in accordance with the terms of this tariff, opted out with an April 1, 2014 effective date and opted in with a January 1, 2017 effective date shall be as follows:

**Rates for Qualifying Customers Who Opted Out Effective April 1, 2014
And Opted Back In Effective January 1, 2017**

| <u>Line No.</u> | <u>Rate Groups</u> | Proposed Energy Efficiency Factors <u>kWh</u> (A) | <u>Line No.</u> |
|---------------------|-----------------------------------|--|---------------------|
| 1 | Rate RS | \$0.000000 | 1 |
| 2 | Rates CS and FOC | \$0.004670 | 2 |
| 3 | Rate LLF | \$0.004670 | 3 |
| 4 | Rate HLF ^{1/} | \$0.004670 | 4 |
| 5 | Customer L ^{2/} | \$0.004670 | 5 |
| 6 | Customer O - Firm | \$0.004670 | 6 |
| 7 | Customer O - Interruptible | \$0.004670 | 7 |
| 8 | Rate WP | \$0.004670 | 8 |
| 9 | Rate SL | \$0.004670 | 9 |
| 10 | Rate MHLS | \$0.004670 | 10 |
| 11 | Rates MOLS and UOLS ^{3/} | \$0.004670 | 11 |
| 12 | Rates TS, FS and MS | \$0.004670 | 12 |

^{1/} Includes Customer C TOU.

^{2/} Includes Customer L RTP.

^{3/} Includes Rate Code XLED.

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The Energy Efficiency Adjustment factor applicable to retail rate schedules for Qualifying Customers who have, in accordance with the terms of this tariff, opted out with a January 1, 2015 effective date and opted in with a January 1, 2017 effective date shall be as follows:

**Rates for Qualifying Customers Who Opted Out Effective January 1, 2015
And Opted Back In Effective January 1, 2017**

| <u>Line No.</u> | <u>Rate Groups</u> | Proposed Energy Efficiency Factors <u>kWh</u> (A) | <u>Line No.</u> |
|---------------------|-----------------------------------|--|---------------------|
| 1 | Rate RS | \$0.000000 | 1 |
| 2 | Rates CS and FOC | \$0.004818 | 2 |
| 3 | Rate LLF | \$0.004818 | 3 |
| 4 | Rate HLF ^{1/} | \$0.004818 | 4 |
| 5 | Customer L ^{2/} | \$0.004818 | 5 |
| 6 | Customer O - Firm | \$0.004818 | 6 |
| 7 | Customer O - Interruptible | \$0.004818 | 7 |
| 8 | Rate WP | \$0.004818 | 8 |
| 9 | Rate SL | \$0.004818 | 9 |
| 10 | Rate MHLS | \$0.004818 | 10 |
| 11 | Rates MOLS and UOLS ^{3/} | \$0.004818 | 11 |
| 12 | Rates TS, FS and MS | \$0.004818 | 12 |

^{1/} Includes Customer C TOU.

^{2/} Includes Customer L RTP.

^{3/} Includes Rate Code XLED.

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Plainfield, Indiana 46168

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**STANDARD CONTRACT RIDER NO. 66 -
ENERGY EFFICIENCY ADJUSTMENT**

The Energy Efficiency Adjustment factor applicable to retail rate schedules for Qualifying Customers who have, in accordance with the terms of this tariff, opted out with a January 1, 2015 effective date and opted in with a January 1, 2018 effective date shall be as follows:

**Rates for Qualifying Customers Who Opted Out Effective January 1, 2015
And Opted Back In Effective January 1, 2018**

| <u>Line No.</u> | <u>Rate Groups</u> | <u>Proposed Energy Efficiency Factors kWh (A)</u> | <u>Line No.</u> |
|---------------------|-----------------------------------|---|---------------------|
| 1 | Rate RS | \$0.000000 | 1 |
| 2 | Rates CS and FOC | \$0.004886 | 2 |
| 3 | Rate LLF | \$0.004886 | 3 |
| 4 | Rate HLF ^{1/} | \$0.004886 | 4 |
| 5 | Customer L ^{2/} | \$0.004886 | 5 |
| 6 | Customer O - Firm | \$0.004886 | 6 |
| 7 | Customer O - Interruptible | \$0.004886 | 7 |
| 8 | Rate WP | \$0.004886 | 8 |
| 9 | Rate SL | \$0.004886 | 9 |
| 10 | Rate MHLS | \$0.004886 | 10 |
| 11 | Rates MOLS and UOLS ^{3/} | \$0.004886 | 11 |
| 12 | Rates TS, FS and MS | \$0.004886 | 12 |

^{1/} Includes Customer C TOU.

^{2/} Includes Customer L RTP.

^{3/} Includes Rate Code XLED.

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**STANDARD CONTRACT RIDER NO. 66 -
ENERGY EFFICIENCY ADJUSTMENT**

The Energy Efficiency Adjustment factor applicable to retail rate schedules for Qualifying Customers who have, in accordance with the terms of this tariff, opted out with a January 1, 2015 effective date and opted in with a January 1, 2019 effective date shall be as follows:

**Rates for Qualifying Customers Who Opted Out Effective January 1, 2015
And Opted Back In Effective January 1, 2019**

| <u>Line No.</u> | <u>Rate Groups</u> | Proposed Energy Efficiency Factors <u>kWh</u> (A) | <u>Line No.</u> |
|---------------------|-----------------------------------|--|---------------------|
| 1 | Rate RS | \$0.000000 | 1 |
| 2 | Rates CS and FOC | \$0.004468 | 2 |
| 3 | Rate LLF | \$0.004468 | 3 |
| 4 | Rate HLF ^{1/} | \$0.004468 | 4 |
| 5 | Customer L ^{2/} | \$0.004468 | 5 |
| 6 | Customer O - Firm | \$0.004468 | 6 |
| 7 | Customer O - Interruptible | \$0.004468 | 7 |
| 8 | Rate WP | \$0.004468 | 8 |
| 9 | Rate SL | \$0.004468 | 9 |
| 10 | Rate MHLS | \$0.004468 | 10 |
| 11 | Rates MOLS and UOLS ^{3/} | \$0.004468 | 11 |
| 12 | Rates TS, FS and MS | \$0.004468 | 12 |

^{1/} Includes Customer C TOU.

^{2/} Includes Customer L RTP.

^{3/} Includes Rate Code XLED.

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**STANDARD CONTRACT RIDER NO. 66 -
ENERGY EFFICIENCY ADJUSTMENT**

The Energy Efficiency Adjustment factor applicable to retail rate schedules for Qualifying Customers who have, in accordance with the terms of this tariff, opted out with a January 1, 2020 effective date after opting out with an April 1, 2014 effective date and opting in with a January 1, 2017 effective date shall be as follows:

**Rates for Qualifying Customers Who Opted Out Effective January 1, 2020
After Opting Out Effective April 1, 2014 and Opting In Effective January 1, 2017**

| Line No. | Rate Groups | Proposed Energy Efficiency Factors kWh (A) | Line No. |
|-------------|-----------------------------------|---|-------------|
| 1 | Rate RS | \$0.000000 | 1 |
| 2 | Rates CS and FOC | (\$0.002010) | 2 |
| 3 | Rate LLF | (\$0.002010) | 3 |
| 4 | Rate HLF ^{1/} | (\$0.002010) | 4 |
| 5 | Customer L ^{2/} | (\$0.002010) | 5 |
| 6 | Customer O - Firm | (\$0.002010) | 6 |
| 7 | Customer O - Interruptible | (\$0.002010) | 7 |
| 8 | Rate WP | (\$0.002010) | 8 |
| 9 | Rate SL | (\$0.002010) | 9 |
| 10 | Rate MHLS | (\$0.002010) | 10 |
| 11 | Rates MOLS and UOLS ^{3/} | (\$0.002010) | 11 |
| 12 | Rates TS, FS and MS | (\$0.002010) | 12 |

^{1/} Includes Customer C TOU.

^{2/} Includes Customer L RTP.

^{3/} Includes Rate Code XLED.

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**STANDARD CONTRACT RIDER NO. 66 -
ENERGY EFFICIENCY ADJUSTMENT**

The Energy Efficiency Adjustment factor applicable to retail rate schedules for Qualifying Customers who have, in accordance with the terms of this tariff, opted out with a January 1, 2020 effective date after opting out with a January 1, 2015 effective date and opting in with a January 1, 2017 effective date shall be as follows:

**Rates for Qualifying Customers Who Opted Out Effective January 1, 2020
After Opting Out Effective January 1, 2015 and Opting In Effective January 1, 2017**

| Line No. | Rate Groups | Proposed Energy Efficiency Factors kWh (A) | Line No. |
|-------------|-----------------------------------|---|-------------|
| 1 | Rate RS | \$0.000000 | 1 |
| 2 | Rates CS and FOC | (\$0.001362) | 2 |
| 3 | Rate LLF | (\$0.001362) | 3 |
| 4 | Rate HLF ^{1/} | (\$0.001362) | 4 |
| 5 | Customer L ^{2/} | (\$0.001362) | 5 |
| 6 | Customer O - Firm | (\$0.001362) | 6 |
| 7 | Customer O - Interruptible | (\$0.001362) | 7 |
| 8 | Rate WP | (\$0.001362) | 8 |
| 9 | Rate SL | (\$0.001362) | 9 |
| 10 | Rate MHLS | (\$0.001362) | 10 |
| 11 | Rates MOLS and UOLS ^{3/} | (\$0.001362) | 11 |
| 12 | Rates TS, FS and MS | (\$0.001362) | 12 |

^{1/} Includes Customer C TOU.

^{2/} Includes Customer L RTP.

^{3/} Includes Rate Code XLED.

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**STANDARD CONTRACT RIDER NO. 66 -
ENERGY EFFICIENCY ADJUSTMENT**

The Energy Efficiency Adjustment factor applicable to retail rate schedules for Qualifying Customers who have, in accordance with the terms of this tariff, opted out with a January 1, 2021 effective date after opting out with an April 1, 2014 effective date and opting in with a January 1, 2016 effective date shall be as follows:

Rates for Qualifying Customers Who Opted Out Effective January 1, 2021
After Opting Out Effective April 1, 2014 and Opting In Effective January 1, 2016

| <u>Line No.</u> | <u>Rate Groups</u> | Proposed Energy Efficiency Factors <u>kWh</u> (A) | <u>Line No.</u> |
|---------------------|-----------------------------------|--|---------------------|
| 1 | Rate RS | \$0.000000 | 1 |
| 2 | Rates CS and FOC | \$0.000542 | 2 |
| 3 | Rate LLF | \$0.000542 | 3 |
| 4 | Rate HLF ^{1/} | \$0.000542 | 4 |
| 5 | Customer L ^{2/} | \$0.000542 | 5 |
| 6 | Customer O - Firm | \$0.000542 | 6 |
| 7 | Customer O - Interruptible | \$0.000542 | 7 |
| 8 | Rate WP | \$0.000542 | 8 |
| 9 | Rate SL | \$0.000542 | 9 |
| 10 | Rate MHLS | \$0.000542 | 10 |
| 11 | Rates MOLS and UOLS ^{3/} | \$0.000542 | 11 |
| 12 | Rates TS, FS and MS | \$0.000542 | 12 |

^{1/} Includes Customer C TOU.

^{2/} Includes Customer L RTP.

^{3/} Includes Rate Code XLED.

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**STANDARD CONTRACT RIDER NO. 66 -
ENERGY EFFICIENCY ADJUSTMENT**

The Energy Efficiency Adjustment factor applicable to retail rate schedules for Qualifying Customers who have, in accordance with the terms of this tariff, opted out with a January 1, 2021 effective date after opting out with an April 1, 2014 effective date and opting in with a January 1, 2017 effective date shall be as follows:

Rates for Qualifying Customers Who Opted Out Effective January 1, 2021
After Opting Out Effective April 1, 2014 and Opting In Effective January 1, 2017

| <u>Line No.</u> | <u>Rate Groups</u> | Proposed Energy Efficiency Factors <u>kWh</u> (A) | <u>Line No.</u> |
|---------------------|-----------------------------------|--|---------------------|
| 1 | Rate RS | \$0.000000 | 1 |
| 2 | Rates CS and FOC | \$0.000522 | 2 |
| 3 | Rate LLF | \$0.000522 | 3 |
| 4 | Rate HLF ^{1/} | \$0.000522 | 4 |
| 5 | Customer L ^{2/} | \$0.000522 | 5 |
| 6 | Customer O - Firm | \$0.000522 | 6 |
| 7 | Customer O - Interruptible | \$0.000522 | 7 |
| 8 | Rate WP | \$0.000522 | 8 |
| 9 | Rate SL | \$0.000522 | 9 |
| 10 | Rate MHLS | \$0.000522 | 10 |
| 11 | Rates MOLS and UOLS ^{3/} | \$0.000522 | 11 |
| 12 | Rates TS, FS and MS | \$0.000522 | 12 |

^{1/} Includes Customer C TOU.

^{2/} Includes Customer L RTP.

^{3/} Includes Rate Code XLED.

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**STANDARD CONTRACT RIDER NO. 66 -
ENERGY EFFICIENCY ADJUSTMENT**

The Energy Efficiency Adjustment factor applicable to retail rate schedules for Qualifying Customers who have, in accordance with the terms of this tariff, opted out with a January 1, 2021 effective date and opt in with a January 1, 2022 effective date shall be as follows:

**Rates for Qualifying Customers Who Opted Out Effective January 1, 2021
And Opt Back In Effective January 1, 2022**

| <u>Line No.</u> | <u>Rate Groups</u> | Proposed Energy Efficiency Factors <u>kWh</u> (A) | <u>Line No.</u> |
|---------------------|-----------------------------------|--|---------------------|
| 1 | Rate RS | \$0.000000 | 1 |
| 2 | Rates CS and FOC | \$0.004208 | 2 |
| 3 | Rate LLF | \$0.004208 | 3 |
| 4 | Rate HLF ^{1/} | \$0.004208 | 4 |
| 5 | Customer L ^{2/} | \$0.004208 | 5 |
| 6 | Customer O - Firm | \$0.004208 | 6 |
| 7 | Customer O - Interruptible | \$0.004208 | 7 |
| 8 | Rate WP | \$0.004208 | 8 |
| 9 | Rate SL | \$0.004208 | 9 |
| 10 | Rate MHLS | \$0.004208 | 10 |
| 11 | Rates MOLS and UOLS ^{3/} | \$0.004208 | 11 |
| 12 | Rates TS, FS and MS | \$0.004208 | 12 |

^{1/} Includes Customer C TOU.

^{2/} Includes Customer L RTP.

^{3/} Includes Rate Code XLED.

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Plainfield, Indiana 46168

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**STANDARD CONTRACT RIDER NO. 66 -
ENERGY EFFICIENCY ADJUSTMENT**

The Energy Efficiency Adjustment factor applicable to Qualifying Customers new to the system who have executed a demand contract of greater than 1 MW and have opted out under the terms of this tariff shall be as follows:

Rates for New Demand Contract Qualifying Customers Who Opt Out

| <u>Line No.</u> | <u>Rate Groups</u> | Proposed Energy Efficiency Factors <u>kWh</u> (A) | <u>Line No.</u> |
|-----------------|-----------------------------------|---|-----------------|
| 1 | Rate RS | \$0.000000 | 1 |
| 2 | Rates CS and FOC | \$0.000000 | 2 |
| 3 | Rate LLF | \$0.000000 | 3 |
| 4 | Rate HLF ^{1/} | \$0.000000 | 4 |
| 5 | Customer L ^{2/} | \$0.000000 | 5 |
| 6 | Customer O - Firm | \$0.000000 | 6 |
| 7 | Customer O - Interruptible | \$0.000000 | 7 |
| 8 | Rate WP | \$0.000000 | 8 |
| 9 | Rate SL | \$0.000000 | 9 |
| 10 | Rate MHLS | \$0.000000 | 10 |
| 11 | Rates MOLS and UOLS ^{3/} | \$0.000000 | 11 |
| 12 | Rates TS, FS and MS | \$0.000000 | 12 |

^{1/} Includes Customer C TOU.

^{2/} Includes Customer L RTP.

^{3/} Includes Rate Code XLED.

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Plainfield, Indiana 46168

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**STANDARD CONTRACT RIDER NO. 66 -
ENERGY EFFICIENCY ADJUSTMENT**

BILLING CYCLE kWh SALES FOR THE COMPANY'S
RETAIL CUSTOMERS BY RATE SCHEDULE FOR
THE TWELVE MONTHS ENDED DECEMBER 31, 2020

| <u>Line No.</u> | <u>Rate Groups</u> | <u>Billing Cycle kWh Sales (A)</u> | <u>Line No.</u> |
|---------------------|-----------------------------------|--|---------------------|
| 1 | Rate RS | 8,995,191,855 | 1 |
| 2 | Rates CS and FOC | 985,548,333 | 2 |
| 3 | Rate LLF | 4,834,468,553 | 3 |
| 4 | Rate HLF ^{1/} | 9,657,237,656 | 4 |
| 5 | Customer L ^{2/} | 166,733,882 | 5 |
| 6 | Customer O | 1,121,301,985 | 6 |
| 7 | Rate WP | 152,268,418 | 7 |
| 8 | Rate SL | 37,210,364 | 8 |
| 9 | Rate MHLS | 4,317,169 | 9 |
| 10 | Rates MOLS and UOLS ^{3/} | 103,003,067 | 10 |
| 11 | Rates TS, FS and MS | 9,169,977 | 11 |
| 12 | Total Retail | <u>26,066,451,259</u> | 12 |

^{1/} Includes Customer C TOU.

^{2/} Includes Customer L RTP.

^{3/} Includes Rate Code XLED.

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1000 E. Main Street
Plainfield, IN 46168

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**STANDARD CONTRACT RIDER NO. 68 -
REGIONAL TRANSMISSION OPERATOR ("RTO") NON-FUEL COSTS AND REVENUE
ADJUSTMENT**

The applicable charges for electric service to the Company's retail electric customers shall be increased or decreased for operation and maintenance expense treatment of RTO Non-Fuel Costs and Revenues. The revenue adjustment to the applicable charges for electric service will be determined under the following provision:

Calculation of Adjustment

- A. The RTO Non-Fuel Costs and Revenue Adjustment by Rate Group shall be determined by multiplying the RTO Non-Fuel Costs and Revenue Adjustment Factor, as determined to the nearest 0.001 mill (\$0.000001) per kilowatt-hour in accordance with the following formula, by the monthly billed kilowatt-hours for the applicable billing cycle months in the case of customers receiving metered service and by the estimated monthly kilowatt-hours used for rate determination in the case of customers receiving unmetered service. RTO Non-Fuel Costs and Revenue Adjustment Factor Per Rate Group =

$$\frac{(NFC - (a - b) c) d}{s}$$

where:

1. "NFC" is the net Non-Fuel Costs and Credits forecasted to be billed Duke Energy Indiana, LLC, or a designee of Duke for mandated participation in regional transmission organizations under the Open Access Transmission and Energy Markets Tariff for the MISO ("MISO TEMT") or any successor Tariff, including applicable PJM non-fuel charges and credits related to the operation of Duke Energy Indiana's Madison Generating Station.
2. "a" is the annual level of forecasted RTO Non-Fuel Costs included in the determination of basic charges for service in Cause No. 45253 (\$59,998,000).
3. "b" is the annual level of forecasted RTO transmission revenues included in the determination of basic charges for service in Cause No. 45253 (\$23,540,000).
4. "c" is the individual retail rate group's allocated share of the Company's retail peak demand developed for cost of service purposes in Cause No. 45253 expressed as a percentage of the Company's total retail peak demand.
5. "d" is the revenue conversion factor used to convert the applicable charges to operating revenues.
6. "s" is the individual retail rate group's reported kilowatt-hour sales for the twelve-month period from July through June as a proxy for the relevant billing cycle months for all retail rate groups other than retail customers served under Rate HLF. The revenue adjustment for retail customers served under Rate HLF shall be based on demands within the Rate HLF customer group such that "s" shall be the sum of kilowatts billed for the applicable twelve-month period.
7. The RTO Non-Fuel Costs and Revenue Adjustment Factor per Rate Group shall be further modified to reflect the difference between the incremental base monthly fees actually charged or credited to the retail electric customers and the incremental base monthly fees to be charged or credited to the retail electric customers during billing cycle months, as determined above.

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STANDARD CONTRACT RIDER NO. 68 -
REGIONAL TRANSMISSION OPERATOR ("RTO") NON-FUEL COSTS AND REVENUE ADJUSTMENT
APPLICABLE TO RETAIL RATE GROUPS

ALLOCATED SHARE OF SYSTEM PEAK DEMAND FOR RETAIL CUSTOMERS
BY RATE GROUP EXPRESSED AS A PERCENTAGE OF THE COMPANY'S
TOTAL RETAIL SYSTEM PEAK DEMAND AS DEVELOPED FOR COST OF
SERVICE PURPOSES IN CAUSE NO. 45253

| Line No. | Rate Groups | KW Share of System Peak (4CP) Per Cause No. 45253 (A) | Percent Share Of System Peak (B) | Line No. |
|-------------|---------------------|---|---|-------------|
| 1 | Rate RS | 2,102,591 | 42.114% | 1 |
| 2 | Rates CS and FOC | 258,053 | 5.169% | 2 |
| 3 | Rate LLF | 1,034,546 | 20.722% | 3 |
| 4 | Rate HLF | 1,536,449 | 30.774% | 4 |
| 5 | Customer L | 14,800 | 0.296% | 5 |
| 6 | Customer O | 18,584 | 0.372% | 6 |
| 7 | Rate WP | 20,717 | 0.415% | 7 |
| 8 | Rate SL | 79 | 0.002% | 8 |
| 9 | Rate MHLS | 15 | 0.000% | 9 |
| 10 | Rates MOLS and UOLS | 5,633 | 0.113% | 10 |
| 11 | Rates TS, FS and MS | 1,141 | 0.023% | 11 |
| 12 | TOTAL RETAIL | 4,992,608 | 100.000% | 12 |

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APPROVED BY
CONFERENCE MINUTES
30-Day Filing No. 50550
June 8, 2022
INDIANA UTILITY REGULATORY COMMISSION

Duke Energy Indiana, LLC
1000 East Main Street
Plainfield, Indiana 46168

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STANDARD CONTRACT RIDER NO. 68 -
REGIONAL TRANSMISSION OPERATOR ("RTO") NON-FUEL COSTS AND REVENUE ADJUSTMENT
APPLICABLE TO RETAIL RATE GROUPS

| Line No. | Retail Rate Group | RTO Non-Fuel Cost and Revenue Adjustment Factor Per KWH (A) | RTO Non-Fuel Cost and Revenue Adjustment Factor Per Non-Coincident KW (B) | Line No. |
|-------------|---------------------|---|--|-------------|
| 1 | Rate RS | \$0.000172 | | 1 |
| 2 | Rates CS and FOC | 0.000246 | | 2 |
| 3 | Rate LLF | 0.000215 | | 3 |
| 4 | Rate HLF | | \$0.106044 | 4 |
| 5 | Customer L | 0.001522 | | 5 |
| 6 | Customer O | 0.000028 | | 6 |
| 7 | Rate WP | 0.000109 | | 7 |
| 8 | Rate SL | (0.000151) | | 8 |
| 9 | Rate MHLS | (0.000096) | | 9 |
| 10 | Rates MOLS and UOLS | 0.000042 | | 10 |
| 11 | Rates TS, FS and MS | (0.000164) | | 11 |

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GENERAL TERMS AND CONDITIONS FOR ELECTRIC SERVICE

1. Definitions

For the purpose of better understanding this tariff, the words and expressions listed below shall have the following meanings:

Abbreviations: The following abbreviations will be used:

| | |
|-----------------------------------|---------|
| Horsepower | - HP |
| Kilovolt-ampere(s) | - kVA |
| Kilowatt(s) | - kW |
| Kilowatt-hour(s) | - kWh |
| Load Factor | - LF |
| Overhead System | - OH |
| Power Factor | - PF |
| Reactive Kilovolt-ampere(s) | - kVAr |
| Reactive Volt-ampere(s) | - VAr |
| Reactive Kilovolt-ampere(s) Hours | - rKVAh |
| Underground System | - UG |
| Volt-ampere(s) | - VA |
| Watt(s) | - W |

Add Consumption: The algebraic sum of readings of multiple metering points for one customer at one premise as though the customer's energy delivery were through one meter.

Agreement or Application: A contract or service request for a supply of electric service.

Apartment: Premises containing two or more individual residential dwelling units. Hotels, tourist camps, motels, hospitals, nursing homes, *etc.*, consisting primarily of guest rooms and/or transient accommodations, are not included.

Auxiliary Service: Service supplied for a part of Customer's load requirements, the wiring for which is entirely separate and apart from the wiring to the remainder of Customer's electric requirements when the latter are furnished by Customer's privately-owned generating equipment.

Billing kW or Billing kVA: Customer's maximum load expressed in kW or kVA (as adjusted in accordance with the applicable rate) which will be used in the calculation of the bill.

Billing Cycle: Company's schedule for meter reading and billing which distributes the starting dates for billing periods throughout the month.

Billing Period or Month: The interval between two consecutive meter readings that are taken for billing purposes. Such readings will be taken as nearly as practicable every 30 days. All rate schedules are on the basis of charges per month unless otherwise specifically stated in the rate schedule.

Breakdown, Reserve or Standby Capacity: Service available for Customer's load requirements in whole, or in part, for use in the event of temporary failure of Customer's privately-owned generating equipment.

Commission: Indiana Utility Regulatory Commission - the regulatory body in Indiana.

Company: Duke Energy Indiana, LLC, sometimes referred to as Duke Energy Indiana or Duke Energy.

GENERAL TERMS AND CONDITIONS FOR ELECTRIC SERVICE

Contract Year: Twelve consecutive billing periods used in the application of rate schedules.

Contracted Capacity: Customer's specified load requirements expressed in kW or kVA for which Customer contracts and Company is obligated to supply.

Cost of underground service extension: The actual incremental cost of establishing underground service to a customer from the Company's standard for service.

Cost of modifications to service extensions: The Company's cost of altering any service extension after initial installation and before the expiration of its useful life.

Customer: Any person, corporation, municipality, governmental agency or other entity receiving, and agreeing to pay for, electric service from the Company.

Delivery Point: The point of the physical connection between Company's and Customer's facilities beyond which point Customer receives and assumes responsibility and liability for the service rendered.

Delivery Voltage: The voltage of Company's facilities at the delivery point.

Distribution Line: Any electric line of Company operated at a nominal voltage of 34,500 volts or less.

Distribution Network: The underground distribution system and/or the overhead distribution system.

Energy: The active component of the quantity of supply expressed in kWh.

Horsepower: A unit of delivered power typically used to rate the nominal size of a motor and the load or demand which such motor imposes on an electric supply system. The horsepower rating of a motor can be converted into kilowatts, as follows:

$$1 \text{ HP} = 0.746 \text{ kW}$$

kVa: 1,000 volt-amperes (VA). The kW of a given load divided by the corresponding power factor expressed as a decimal is equal to the kVa, for example:

$$600 \text{ kVA} = \frac{540 \text{ kW}}{0.90 \text{ PF}}$$

kVAr: 1,000 reactive volt-amperes (VAr)

kW: 1,000 Watts

kWh: The use of the active component of power where 1 kWh is equal to 1 kW used for 1 hour.

GENERAL TERMS AND CONDITIONS FOR ELECTRIC SERVICE

For example, a 10 Watt light bulb used continuously for 730 hours is equal to 7.3 kWhs:

$$\begin{aligned} 10 \text{ Watts} &= 0.01 \text{ kW and} \\ 0.01 \text{ kW} \times 730 \text{ Hours} &= 7.3 \text{ kWhs} \end{aligned}$$

Load Factor: Monthly kWh consumed divided by the product of the average hours per month (730 hours) times the kW maximum load in the month, expressed as a percentage.:

$$\frac{1000 \text{ kWh}}{5 \text{ kW} \times 730 \text{ Hrs per Month}} = 27.40\%$$

Maximum Load: The maximum integrated rate of use of power during a specified time interval as provided in the rate schedule, expressed in kW or kVa

Meter: The complete installation of equipment needed to measure the maximum load and/or energy supplied to Customer.

Meter Voltage: The voltage at which service is metered irrespective of the delivery voltage.

Nominal Voltage: The designated voltage assigned to a circuit or system of a given voltage class for the purpose of convenient identification.

Overhead System: Those parts of Company's distribution system which are constructed on and supported primarily by wooden poles.

Power Factor (PF): The ratio of active power expressed in kW to apparent power expressed in kVA:

$$0.90 \text{ PF} = \frac{540 \text{ kW}}{600 \text{ kVA}}$$

Premises: A distinct portion of contiguous real estate on which is located the living quarters for the use of a single family, or the main building or main operation of a commercial or industrial Customer and which may include the immediate outlying or adjacent buildings used by the same Customer, provided the use of service in the immediate outlying or adjacent buildings is supplemental and is similar to the type of service used in the main residence, main building or main operation.

Primary Direct Service: Service at a delivery voltage of 2,400 to 34,000 volts provided directly from a distribution substation. Service may come from a dedicated substation, or from a proximate distribution circuit that does not serve other primary and/or secondary served customers. Applicability is subject to Duke Energy Indiana's determination.

Primary Line: Any distribution line of the Company operated at a nominal voltage between 2,400 volts and 34,500 volts.

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Rate Schedule: A part of the tariff which sets forth the availability and charges for service supplied to a particular class of customers.

Reactive Volt-Amperes (VAR): Represents the product of volts and amperes that are out of phase with each other known as the reactive component of power.

Residential Dwelling Unit: An individual residence including mobile homes and trailers, or a room or combination of rooms with facilities for private living for a single family.

Rider: A part of the tariff setting forth supplemental provisions applicable to specific rate schedules.

rKVAh: The metered use of the reactive component of power.

Secondary Line: Any distribution line of Company operated at a voltage under 600 volts.

Service: The supply of electric energy delivered by Company to Customer.

Service Conductors: Company's wires extending from the point of connection with Company's supply line to the delivery point.

Substation: The electric equipment and structures, including transformers, switches, protective devices and other apparatus necessary to transform energy from a transmission or primary line voltage.

Supplemental Service: Service which is normally supplied from Company's facilities in addition to service supplied by Customer's privately-owned generating equipment.

Tariff: The entire body of rate schedules, riders, general terms and conditions for electric service.

Transmission Line: Any electric line of Company operated at a voltage above 34,500 volts.

Underground System: Those parts of Company's distribution system which are constructed and installed underground.

Volt-Amperes (VA): Apparent power and equal to the vectorial sum of the active and reactive components of power.

Volt-Amperes (VAR): Reactive component of power.

Watt (W): Active component of power.

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2. Tariff on File

- 2.1 A copy of the Tariff is on file with the Commission, available on the internet at address <https://www.duke-energy.com>. A copy of the Rules and Regulations of Service for Electrical Utilities in Indiana is also available on the internet at http://www.in.gov/legislative/iac/iac_title?iact=170.
- 2.2 The Commission has continuing jurisdiction over the Tariff in its entirety. The Tariff, or any part thereof, may be revised, amended, or otherwise changed from time to time in the manner prescribed by the Public Service Commission Act of Indiana (as amended) or by other applicable laws, and any such changes, when approved by the Commission, will supersede the present Tariff.
- 2.3 The General Terms and Conditions for Electric Service sets forth the conditions under which service is to be rendered, and governs all classes of service to the extent applicable. In case of conflict between any provision of a Rate Schedule and/or Rider and the General Terms and Conditions for Electric Service, the provisions of the Rate Schedule and/or Rider shall prevail.
- 2.4 Company shall have the right to execute contracts for service under any Rate Schedule and Rider. Company shall also have the right to execute other contracts for service which may contain provisions not included in the Tariff, provided, however, specific approval by the Commission of such contracts shall be obtained by Company.
- 2.5 Company may implement programs which contain provisions that deviate from the General Terms and Conditions for Electric Service, Rate Schedules, and/or Riders with Commission approval.

3. Application, Service Request or Contract

- 3.1 An application in the form of Company's service request or a contract, and a service deposit as provided for in Section 4 hereof, may be required by Company before service will be provided.
- 3.2 Company shall have the right to reject any application for service made by, or for the benefit of, a former Customer who is indebted to Company for the same class of service previously supplied at any premises in the Company's service area, or for any other valid or legal reason.
- 3.3 Certain rate schedules specify a minimum term of contract. In the absence of such requirement in any rate schedule, Company may require a term of contract commensurate with the size of Customer's load which Company is obligated to serve and/or the cost to Company of making service available.
- 3.4 No agent or employee of Company has the authority to amend, modify, alter or waive any part of the Tariff.
- 3.5 In written contracts, no promises, agreements or representations of an agent or an employee of Company shall be binding unless such promises, agreements or representations were incorporated in the contract before its execution and approval.

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- 3.6. The benefit and obligations under any service request or contract shall inure to and be binding upon the successors and assigns, survivors and executors or administrators (as the case may be) of the original parties thereto; provided, however, that no assignment shall be made by Customer without first obtaining Company's written consent. Company may require the successor either to execute with Company an assignment agreement wherein the successor-Customer assumes and agrees to be bound by the original contract, or to execute a new contract for service.
- 3.7 Whether manually or remotely initiated the act of taking service shall constitute an application for service and not relieve a customer from making a service deposit.

4. Service Deposit

- 4.1 Customers applying for residential service (Rate RS) may be required to pay a deposit if they fail to establish their credit worthiness as determined by the Rules and Regulations of Service for Electrical Utilities in Indiana as promulgated by the Commission. For all other service customers, a service deposit, irrevocable letter of credit or surety bond equal to one-sixth (1/6) of the Customer's expected annual billing may be required.
- 4.2 A new or additional deposit may be required from a present residential customer pursuant to the Rules and Regulations of Service for Electrical Utilities in Indiana as promulgated by the Commission, or when (1) the customer has been mailed disconnect notices for two (2) consecutive months; (2) the customer has been mailed disconnect notice for any three (3) months within the preceding twelve (12) month period; or (3) the service to the customer has been disconnected within the past four (4) years pursuant to 170 IAC 4-1-16. A new or additional deposit may be required from existing non-residential customer based on the customer's overall financial condition or creditworthiness, which may include external information obtained from credit reporting agencies and public records, provided such new deposit will not exceed one-sixth (1/6) of the customer's estimated annual revenue. A residential or non-residential customer may contact the Company's customer service center for questions about the deposit.
- 4.3 No deposit will exceed one-sixth (1/6) of a residential customer's expected annual billings at the address at which service is rendered. A new residential customer may make the payment in equal installments over a period of eight weeks if the amount of the deposit is more than seventy dollars \$70. A residential customer already receiving service may pay a deposit which exceeds \$70 over two billing cycles, or approximately 60 days.
- 4.4 Service deposits shall earn simple interest at a rate of 2% from the date of deposit until service is discontinued or Company makes a refund of such deposit.
- 4.5 Such service deposit plus accrued interest minus the amount of any unpaid bills shall be returned to Customer upon discontinuance of service for which such deposit was made. For residential customers, such service deposit plus accrued interest shall be refunded, without request by the Customer, upon satisfactory payment by the Customer for a period of either 9 successive months or 10 out of any 12 consecutive months, provided the Customer did not make late payments for any 2 consecutive months.

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5. Service to be Furnished

- 5.1 When requested by the Company, Customer shall advise Company fully with respect to (1) the location of premises where service is desired and (2) all equipment to be operated.
- 5.2 Company shall advise Customer concerning the character of service to be supplied, and shall determine the location of the delivery point, and the location of the meter.
- 5.3 As the facilities provided by Company for supplying service to Customer have definite capacity limitations, Customer shall not make any significant increase in requirements without sufficient advance notice to Company in order to provide a reasonable time in which Company may increase the capacity of its facilities. Failure to provide such notice to Company shall make Customer liable for damages which may be occasioned to the meters or other facilities by overload.
- 5.4 Before Company will make any changes in its facilities to increase capacity to a customer, a new application or contract for service may be required by the Company.
- 5.5 Non-Residential Customers may elect to receive enhanced service analytics through voluntary participation in the Energy Profiler Online (EPO) program. For \$15 per meter per month EPO provides configurable interval data to customers on a day behind basis to help them make informed energy decisions.

6. Character of Service

6.1 Available Voltages and Transformer Size Limits

| Voltage | Transformer Size Limits | Availability |
|--|-------------------------|--------------|
| Single Phase - 120/240 Volts | 167 KVA | OH & UG |
| Network and/or Designated Areas 120/208 Volts 3 Wire | 40 KVA | OH & UG |

Voltages listed below are not available at all locations. The Company must be consulted regarding their availability at any particular location.

When Customer's load requirements are greater than the maximum listed below, the Company will supply additional facilities at the same location, which facilities at the Company's option may be considered excess facilities.

| Voltage | Transformer Size Limits | Service Availability |
|---|---------------------------|----------------------|
| Three Phase - 120/208 Volts Wye | 1,000 KVA 300 KVA | UG OH |
| Three Phase - 120/240 Volts 4 Wire Open Delta | 1 - 50 KVA 1 - 100 KVA | (1) OH |
| Three Phase - | | |

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240 Volts Open Delta 2 - 50 KVA (2) OH

Three Phase -
277/480 Volts Wye 2000 KVA UG
500 KVA OH

(1) Customer Size Limit 150 KVA (1 - 100 KVA and 1 - 50 KVA Transformers).

(2) Customer Size Limit 100 KVA (2 - 50 KVA Transformers).

6.2 Single Phase

Appliances or devices with a rating of greater than 20 amps shall be connected at 240 volts.

Single phase motors up to but not exceeding 10 horsepower may be connected to a single phase "lighting service" under the following conditions:

- (a) Single phase motors not in excess of 3/4 horsepower may be wound for 120 or 240 volts and may be operated from a lighting branch circuit.
- (b) Single phase motors 1 horsepower to 10 horsepower, inclusive, must be wound for 240 volts, be connected across the 240 volt legs of a 120/240 volts, 3 wire service, and be operated from a branch circuit separate from any lighting.
- (c) Upon approval by Company, single phase service will be made available to a phase converter where system conditions permit and where the name plate rating of the largest three phase motor does not exceed 50 horsepower and where the combined name plate ratings of all three phase motors does not exceed 75 horsepower.
- (d) Service to any intermittent or highly fluctuating load must be reviewed and approved by the Company prior to the installation of such equipment.

Service to any motor rated greater than 10 horsepower must be reviewed and approved by the Company prior to the installation of such equipment. Upon approval, service to any motor rated greater than 10 horsepower will be considered a power installation and will be served under the Company's applicable power rates, with the exception of a "soft-start" single phase motor in excess of 10 horsepower where the starting current of such a motor does not exceed the starting current of an equivalent conventional 10 horsepower single phase motor and where system conditions permit.

6.3 Three Phase

The Company supplies different voltages and types of polyphase service in various locations. In all cases, the Company must be consulted regarding the character of service available at any particular location.

The Company will supply single phase or polyphase service for power in accordance with the following general provisions:

- (a) Installations having a motor load aggregating 10 horsepower or less will ordinarily be supplied with single phase service. Customers requiring polyphase service within this rating should consult the Company regarding the availability of such service for their particular application.
- (b) Installations having a motor load aggregating more than 10 horsepower may be supplied either single phase or polyphase service, depending upon the particular application and the availability of polyphase service at the Customer's premises.

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- (c) All customer loads shall be energized in such a manner that the Company's system will not experience undue disturbances, unbalance or voltage fluctuations. To determine customer compliance, the Company may use applicable standards such as ANSI C84.1-1995, IEEE 519, USDA Handbook 696, IEC 61000-3-7 and IEC 61000-4-15, their successor standards or other standards as they may apply.
- (d) In cases where a customer's load may cause undue disturbance, unbalance or voltage fluctuation on the Company's system, the Company shall be consulted prior to installation. The Company may require, at its discretion, mitigation devices including, but not limited to, motor starting devices, static VAR compensators or filters as may be necessary for use with any device (e.g., motor, welder, electric furnace, heating devices, etc.) to prevent undue disturbance, unbalance or voltage fluctuation on the Company's system.

6.4 Service at Primary Distribution Line Voltages

Voltages listed below are not available at all locations:

Three Phase 2400/4160 Volts Wye
Three Phase 7200/12470 Volts Wye Three
Phase 8000/13800 Volts Wye Three
Phase 19900/34500 Volts Wye

Company Engineering personnel must be consulted in each case relative to service availability and transformer size limits.

6.5 Service at Transmission Line Voltages

Customers may be supplied at the following voltages as determined and specified by Company:

Three Phase 69 KV
Three Phase 138 KV
Three Phase 230 KV
Three Phase 345 KV

The Company Engineering Department at the Corporate Offices must be consulted in each case relative to service available and transformer size limits.

6.6 Point of Service Connection

A. Overhead Service

The Company will designate the point at which the overhead service lines will be connected to the Customer's facilities. The Customer's wires, at the point of connection with the Company's lines, shall extend at least three feet beyond the outer end of any conduit, weatherproof fitting, or insulator in order to facilitate this connection.

B. Underground Service

Underground service is subject to special conditions and policies making it necessary to consult the Company before wiring or rewiring the premises. When underground service is supplied, the Company will designate the point at which Company underground lines will be connected to Customer's Facilities.

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C. Underground Network Services

In areas where service is supplied from an underground Distribution Network system the Customer shall make arrangements with the Company for the Company to install the service connection. The Company will install, own and maintain a continuous run of cable conductors, including necessary ducts from the manhole or connection box, which is located adjacent to Customer's premises, to the meter location. In cases where the service connection extends more than ten feet inside the Customer's premises, the Customer shall reimburse the Company for the amount of the cost of such additional extension on Customer's premises. The right and title to all equipment so furnished by Company shall be and remain in Company.

D. Change of Service

Any changes made in service connections (either overhead or underground) at the Customer's request, after the original installations, shall be at the Customer's expense.

7. Predication of Rates

7.1 Company's rate schedules, except as provided for in items (1), (2), and (3) hereunder, are predicated upon the supply of service to one premises, at one standard voltage, at one delivery point and through one meter for the ultimate use by one Customer.

- (1) When service is supplied to an individual residential dwelling unit primarily for serving one family and where boarders or roomers are accommodated for incidental income, the service will be provided under the residential rate schedule.
- (2) When service is supplied to a residential dwelling unit where the use is primarily for the accommodations of roomers or boarders, the service will be provided under a nonresidential rate schedule, unless separate circuits are furnished by Customer to permit Company to separately meter and bill the residential and nonresidential uses.
- (3) When the principal use of service supplied to a residential dwelling unit is for residential purposes, but a small amount of energy will be used for nonresidential purposes, such nonresidential use will be permitted only when the equipment for such use is within the capacity of a 120 volt, 30 ampere branch circuit (or is less than 3,000 watts capacity) and the nonresidential use is less than the residential use on the premises. When the nonresidential equipment and/or use exceeds the above stated limits, the Customer will be required to separate his wiring so that the nonresidential use may be metered separately, and the nonresidential use will be billed under the appropriate nonresidential rate schedule or the entire service will be billed under the appropriate nonresidential rate schedule.
- (4) Where Company has already supplied a service to a primary residential dwelling unit and when the principal use of a second service to a secondary residential dwelling unit (i.e., garages, storage buildings, pool houses, etc.) on the same premise, is for residential purposes, then such second service will be provided under the residential rate schedule as a separate account. Add consumption, in such situations, is not permitted. However, if the energy used will be for nonresidential purposes and exceeds the parameters of section (3) above, the nonresidential use will be billed under the appropriate nonresidential rate schedule.

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- 7.2 Except for the provisions of subdivision 7.1 above, when service supplied on one premise involves more than (1) one service classification, or (2) one standard voltage, or (3) one delivery point, each such service shall be separately metered and billed unless the rate schedule or rider specifically provides for more than one voltage and the combining of the meter readings, or when the service is supplied in such manner for Company's operating convenience or to meet legal requirements.
- 7.3 Add consumption is not permitted for customers served at primary and higher voltages except in such cases where it is impractical to electrically serve the customer through one delivery point.
- 7.4 Secondary customers, who are taking service at a location where multiple customers were previously served, may have their meter readings added together, up to a maximum of nine (9) meters. Customer will pay the monthly connection charge of the appropriate rate schedule for each meter. Other secondary customer add consumption situations are not permitted except in such cases where it is impractical to electrically serve the customer through one delivery point.

8. Rate Schedule Selection

- 8.1 When more than one rate schedule is available for the service requested, Customer shall designate the rate schedule on which the application or contract shall be based. Company will assist Customer in the selection of the rate schedule best adapted to Customer's service requirements, provided, however, that Company does not assume responsibility for the selection or that Customer will at all times be served under the most favorable rate schedule.
- 8.2 Customer may change his rate schedule selection to another applicable rate schedule after twelve (12) months participation on the prior rate by either submitting written notice to Company and/or by executing a new contract for service. All rate changes must conform to the terms of the desired rate. In no case will the Company refund any monetary difference between the rate schedule under which service was billed in prior periods and the newly selected rate schedule.

9. Service Extensions/Modifications

- 9.1 Unless otherwise provided in the Company's rate schedules or rules and regulations, the Company will extend its lines and facilities in accordance with the Rules and Regulations of Service for Electrical Utilities in Indiana as promulgated by the Commission.
- 9.2 Whenever, in the opinion of the Company, the necessary expenditure to make connection to an applicant for service is not warranted by the Company's estimate of prospective revenues to be derived therefrom, or whenever, in the opinion of the Company, the permanence of the Customer's load is questionable, the Company may require the applicant to make an advance deposit for line construction or service connection in accordance with the applicable Standard Contract Rider No. 52 - Line Extension - Advance Deposit.
- 9.3 If a customer, person, corporation, municipality, government agency or other entity request for their convenience, or by their actions, requires that utility facilities be redesigned, reengineered, relocated, removed, modified or reinstalled, the utility may require the customer to make payment to it of the full cost of performing such service.

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10. Company Equipment on Customer's Premises

- 10.1 Customer shall furnish Company a satisfactory location for and provide safe access to Company's meters and other equipment necessary to provide and measure service, and shall also furnish Company the rights on, over or under Customer's premises necessary to install, operate and maintain Company's other facilities required to supply service to Customer. Company reserves the right to make the final decision as to the location of the meter on Customer's premises.
- 10.2 When Customer is not the owner of the premises and/or of the adjacent premises, Customer shall furnish Company with satisfactory easements for the location of Company's facilities on the premises and/or on the adjacent premises.
- 10.3 When Company's transformers, meters, or other facilities are to be installed indoors on Customer's premises, Customer shall furnish without cost to Company a suitable room or vault for housing the equipment; provided, however, that Company shall reserve the right to make the final decision as to the location of such room or vault. Such space shall meet the requirements (1) of the National Electrical Code, (2) of any Federal, state or local laws or regulations, and (3) of any policies of the Company in effect at the time of the installation.
- 10.4 Company may change the location of any or all of its facilities upon request of Customer, provided (1) such change will not interfere with or jeopardize Company's service either to Customer requesting the change or to other customers of Company, and (2) Customer shall be required to bear all or a portion of the expense of such change.
- 10.5 Customer shall provide reasonable protection from loss or damage to Company property and may be liable to Company in the event of such loss or damage caused by the negligence of Customer or any agent or employee of Customer.
- 10.6 Customer shall not disconnect, change connections or otherwise interfere with Company's meters or other property and shall be responsible to Company for permitting anyone who is not an agent or employee of Company to tamper with Company's property.
- 10.7 All facilities installed by Company shall be and remain the property of Company unless a contract expressly otherwise provides, and Company shall operate and maintain its property.
- 10.8 Properly authorized employees or agents of Company shall have the right to enter upon the Customer's premises at all reasonable times for the purpose of meter reading, inspecting, testing, repairing or replacing any or all of Company's property used in supplying any service to the Customer.
- 10.9 Upon termination of a contract or discontinuance of service, Company shall have the right to remove all of its property from Customer's premises.

11. Customer's Installation

- 11.1 Customer shall install and maintain suitable entrance equipment, switches, and protective devices to afford reasonably adequate protection to Company's property and system against fault originating beyond the delivery point to Customer.
- 11.2 All such Customer's equipment shall be constructed and maintained subject to approval by authorized inspection and in accordance with the National Electric Code, any Federal, state or local law, and Company requirements in effect at the time of installation.
- 11.3 The use of Customer's equipment shall not adversely affect Company's system or service supplied by Company to other customers.

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- 11.4 Power factor correction equipment, owned and installed by Customers served under a rate schedule where kVA is used to determine the Billing Maximum Load, shall be installed either on the load side of the delivery point or on the load side of the metering point, whichever point determines the Customer's equipment ownership.
- 11.5 Company does not under any circumstances assume any responsibility in connection with Customer's installation, and Customer shall at all times be responsible for the character and condition of such equipment installations.
- 11.6 Subsequent to installation of Company's facilities, Customer shall not make changes to the location which create violations of the National Electrical Code, any Federal, State or Local laws or that creates unsafe conditions for operation of Company or Customer equipment.

12. Rendering and Payment of Bills

- 12.1 Bills for service will be rendered monthly at intervals of approximately thirty days and will be based on the charges set forth in the rate schedules and are payable using any of the Company's current payment options.
- 12.2 All bills are rendered as "net" bills which will be subject to a late payment charge of 3% of net bill when not paid within 17 days following the mailing of the bill; provided, however, that any Customer requesting an Adjusted Due Date shall be allowed an additional period of time for payment of the net bill as hereinafter provided. Company may, at its option, forego the assessment of a late payment charge.

Pick Your Own Due Date allows a customer to choose what day their bill is due each month. This change in the due date may result in one billing period with a longer or shorter cycle, which could affect the amount of the first bill the customer receives.

Pick Your Own Due Date Eligibility: All active, eligible residential and non-residential accounts with an AMI-MDM certified meter will be able to request enrollment and select their preferred due date. Account status must be Active or Pending with no active installment plan or regulated charges more than 23 days past due. Consolidated billing accounts are not eligible for this program.

Pick Your Own Due Date Program Details:

- Customers who enroll in the program can pick their monthly due date.
- Due dates can be changed once every 12 months.
- If the due date falls on a holiday or weekend, the payment will be due the next business day.
- Customers should visit the program's webpage for additional details or to enroll:
Pick Your Due Date – Billing – Duke Energy (duke-energy.com)

Preference Pay is available to residential customers without a smart metering/AMI-MDM requirement. A customer's bill due date can be adjusted a maximum of 10 days (e.g., if a customer's actual due date is the 5th, they will have the option to change their due date up to 10 days – giving them the option to change to a due date between the 6th and the 15th (excluding weekends and holidays). Customers must contact the company for additional details or to enroll.

- 12.3 Failure to receive a bill shall not entitle Customer to pay the net bill after the designated date has passed. Upon request, Company will inform Customer of the approximate date on which Customer should receive the bill each month and, if the bill is lost, Company will issue a

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duplicate.

- 12.4 Initial or final bills for service supplied for not less than 26 days or for not more than 34 days will be calculated on the basis of the applicable rate schedule. A billing for a period covering a shorter period than 26 days or a longer period than 34 days will be calculated on the basis of the proportion that the number of days of actual service bears to the number of days in the bill cycle.
- 12.5 Final bills will be due and payable at the time of discontinuance of service.
- 12.6 When Company is unable to obtain the reading of a meter after reasonable effort, it may estimate the reading and render a bill, so marked.
- 12.7 In the event Company's meter fails to register properly for any reason, Company shall estimate Customer's energy use and/or maximum load during the period of failure based on such factors as Customer's normal load and energy usage during a like corresponding period.
- 12.8 When Company has discontinued service for nonpayment of a bill, as provided for in subdivision 14.2(a), a reconnection charge is required. When possible, service will be reconnected remotely at a cost of \$6. If manual reconnection is required a reconnection charge of \$37 will be charged.
- 12.9 When customer requests Company to provide non-emergency service, including manual reconnection of customers for disconnection for non-payment, outside of normal working hours (7:30 AM through 3:30 PM) Monday through Friday, customer will be charged \$250 per trip.
- 12.10 When Company is required to reprocess a check rendered for payment of a Customer bill, a handling charge of \$20.00 shall be added to the Customer's billing.

13. Customer's Request to Discontinue Service

- 13.1 Customer who has not contracted for service for a specified term may have service discontinued by giving notice to the Company of the date on which Customer desires that service be discontinued. Company will endeavor to obtain the final meter reading on the date Customer specifies their notice, but shall not be obligated to do so unless Customer's notice provides Company at least three working days. Customer shall be obligated to pay for service rendered to the premises until the final meter reading is obtained by Company.
- 13.2 Customer who has contracted for service for a specified time may have service discontinued by giving notice to the Company and agreeing to pay for service used to the date of disconnection. Customer shall also be liable for the minimum charges which would be due Company for the remaining period of the contract in accordance with the contract provisions.

14. Company's Right to Protect Public Safety Through Theft Deterrence Charges and/or Refuse or Discontinue Service

- 14.1 Company may refuse or discontinue service to any Customer (and refuse to serve any other member of the same household or firm at the same premises) without notice for any of the following reasons:
- (a) When, in the Company's opinion, a condition exists that is dangerous or hazardous to life, physical safety or property;
 - (b) When emergency repairs must be made to Company's facilities or system;

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- (c) Due to the threat to public safety tampering with Company equipment creates, the detection of tampering with Company equipment (e.g. metering), can result in a \$200 charge for residential customers or a \$1,000 charge for non-residential customers in addition to required payment of the lost revenues the Company incurred for estimated tampering. At the Company's discretion particularly dangerous or repeated instances of tampering may result in both the assessment of charges and the discontinuance of future service.
 - (d) When ordered to do so by a court, the Commission, another duly authorized public authority or authorized governmental agency.
- 14.2 Company may discontinue service to any Customer (and refuse to serve any other member of the same household or firm at the same premises) with reasonable notice for any of the following reasons in accordance with the Rules and Regulations of Service for Electrical Utilities in Indiana as promulgated by the Commission:
- (a) When any bill remains unpaid;
 - (b) When planned repairs are to be made to Company's facilities or system;
 - (c) When Customer denies access by employees of Company to its meter or other facilities;
 - (d) When Customer uses equipment in such a manner as to adversely affect Company's system or service supplied by Company to other customers; or
 - (e) When Customer fails to comply with the provisions of (1) the Tariff, or (2) the contract for service.
- 14.3 Discontinuance of service in accordance with the provisions of subdivisions 14.1 and 14.2 above shall not constitute a breach of any obligation of Company under any contract for service with Customer, and Company shall not in any case be liable to Customer for any damages resulting from such discontinuance of service.

15. Meter Accuracy - Adjustment

- 15.1 All service supplied by Company will be measured by meters of standard manufacture which are owned, installed and maintained by Company, except under rate schedules in which the charges for service are on an unmetered rate predicated on an estimated use of either Company's or Customer's equipment such as street and outdoor lighting.
- 15.2 Meter accuracy and periodic tests for accuracy shall be maintained in accordance with the Rules and Regulations of Service for Electrical Utilities in Indiana as promulgated by the Commission.
- 15.3 When a meter is not recording within the limits of accuracy prescribed by the rules referred to in subdivision 15.2, an adjustment to billings may be made in accordance with such rules.

16. Interruptions, Variations in Service Characteristics

- 16.1 Company will, at all times, endeavor to provide regular and uninterrupted service, but does not guarantee against variations in service characteristics, such as frequency, voltage, phase angle, phase balance, system neutral to ground voltage differentials, momentary outages and single phasing (loss of phase) of three-phase systems, occasioned by acts of God, orders of public authorities, fires, strikes, casualties, terrorism, and necessity for making repairs or replacements

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of Company's facilities.

- 16.2 In case the supply of service is interrupted or sustains other variations such as high or low voltage, single phasing (loss of phase) of three-phase service, phase reversals, system neutral to ground voltage differentials, or trouble resulting from defects in Customer's wiring or other equipment, Company shall not be liable to Customer for damages or losses resulting from such interruption or variation in service, unless due to the gross negligence of Company.
- 16.3 Such interruptions or variations shall not constitute a breach of any obligations of Company under any contract for service with Customer.

17. Non Permanent Service

- 17.1 When in the opinion of the Company the use of service will not be of a permanent nature, Customer shall pay (1) the estimated cost of constructing the facilities to serve Customer, including labor, material, stores freight & handling, and overhead, plus (2) the estimated cost of removing said facilities and returning same to Company storeroom, minus (3) the estimated salvage value of material returned to the Company storeroom.
- 17.2 If Customer takes service beyond a twelve (12) month continuous period, Customer will be eligible to receive a refund of the Customer's payment under subdivision 17.1, as outlined in the applicable Standard Contract Rider No. 52 - Line Extension - Advance Deposit.
- 17.3 Service supplied to a non permanent connection will be billed under the applicable rate schedule.
- 17.4 Customer may be required to make a deposit to insure payment of charges as specified in division 4 hereof.

18. Customer's Use of Service - Resale and Redistribution

- 18.1 Service shall be used by Customer only for the purposes specified in the applicable agreement and in accordance with the applicable rate schedule, and except as provided under 170 IAC 4-5, no customer shall resell such service to a third Party by submetering such service.
- 18.2 As of April 2, 1980, service delivered to new multi unit buildings containing units that are separately rented, leased or owned, shall be individually metered for each such occupied unit except for:
- (i) Service used in hotels, motels and other similar transient lodging.
 - (ii) When the customer proves the cost of purchasing and installing the wiring and equipment necessary for individual metering exceed the long run benefits resulting from energy conservation and efficient utilization of facilities.
- 18.3 In the event master metering is approved by the Company, the customer shall own all equipment necessary to take service at one location.
- 18.4 Existing buildings or premises which were constructed prior to April 2, 1980, or for which a local building permit or a Certificate of Compliance from the Administrative Building Council was issued prior to April 2, 1980, and which are individually metered, shall remain individually metered for each such occupancy unit separately rented, leased or owned.

GENERAL TERMS AND CONDITIONS FOR ELECTRIC SERVICE

19. Auxiliary Service, Supplementary, Back-Up, and Maintenance Power

- 19.1 Company will supply service to Customer operating privately-owned generating equipment based on the manner in which Customer makes use of such Company service.
- (a) Auxiliary Service will be supplied on a firm-use basis under the applicable rate schedule for a designated part of Customer's load requirements when (i) the wiring to the part of the Customer's requirements is completely segregated from the wiring which furnishes the requirements from Customer's generating equipment and (ii) there are no means for interconnecting the two separate wiring systems.
 - (b) Supplementary, Back-Up, and/or Maintenance Power will be provided to "qualifying" generating facilities in accordance with Standard Contract Rider No. 50, Parallel Operation for Qualifying Facilities.
 - (c) Supplementary and Back-Up Power will be provided to "nonqualifying" generating facilities in accordance with Standard Contract Rider No. 51, Parallel Operation of Customer Owned Generation Capacity and Energy Credits

20. Customer's Inability to Operate

- 20.1 When a fire or other casualty shall render the physical plant or premises of Customer unfit for the purpose of conducting Customer's normal business operations, or makes the premises uninhabitable, the minimum charge of the applicable rate schedule shall, commencing with the first billing period or portion thereof in which normal business operations cease, be waived until the beginning of the subsequent billing period or portion thereof in which the plant or premises shall have been reconstructed and reoccupied by Customer.
- 20.2 When a strike or lockout of employees of Customer causes the temporary suspension of Customer's business, the minimum charge of the applicable rate schedule shall, commencing with the first billing period or portion thereof in which normal business operations cease, be waived for each billing period, or portion thereof during the continuance of the strike or lockout at the plant involved.
- 20.3 In either event, subdivisions 20.1 and 20.2 above, Customer shall be billed under the rate schedule in effect at the time of the occurrence for the electric requirements used during each such billing period.

21. Tax Adjustment

The rates provided herein include in the cost of service the federal and state income tax, property tax and payroll tax rates applicable to the forecasted test period as known at the time the rates were developed. Customer bills will be computed using these rates and then the applicable revenue related tax rates and Indiana sales tax rates effective for the billing period will be applied to obtain the total bill to the customer.

22. Service Contracts

Customer may contract with Company to provide energy related services not specifically contained within this electric tariff. Such contract services may include, but not be limited to, maintenance of Customer owned electrical facilities, installation of electrical facilities on Customer's premise, and engineering/construction related services. Customer and Company shall enter into a contract specifying the terms and conditions under which such contract services will be provided. The cost of providing such contract services will be based on a similar work order methodology used by Company

Duke Energy Indiana, LLC
1000 East Main Street
Plainfield, Indiana 46168

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APPROVED BY
CONFERENCE MINUTES
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June 8, 2022
INDIANA UTILITY REGULATORY COMMISSION

IURC No. 15
Second Revised Sheet No. 5
Cancels and Supersedes
First Revised Sheet No. 5
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to establish costs of supplying similar services under this electric tariff, including applicable administrative and overhead charges. The terms of payment for such contract services will be mutually agreed to by Customer and Company. The payment for such services may appear as a separate item on the Customer's bill for electric service. Contracting for such services under this Section will in no way affect Customer's and Company's respective obligations regarding the rendering of and payment for electric service under this electric tariff and the applicable rate schedule.

Issued:

Effective: July 1, 2022