

STATE OF INDIANA

INDIANA UTILITY REGULATORY COMMISSION

PETITION OF CWA AUTHORITY, INC. FOR (1))	
AUTHORITY TO INCREASE ITS RATES AND)	
CHARGES FOR WASTEWATER UTILITY SERVICE)	
IN THREE PHASES AND APPROVAL OF NEW)	
SCHEDULES OF RATES AND CHARGES)	CAUSE NO. 45151
APPLICABLE THERETO; (2) APPROVAL OF A)	
LOW-INCOME CUSTOMER ASSISTANCE)	
PROGRAM; AND (3) APPROVAL OF CERTAIN)	
CHANGES TO ITS GENERAL TERMS AND)	
CONDITIONS FOR WASTEWATER SERVICE.)	

COMPLIANCE FILING

On July 29, 2019, the Commission issued its final order in this Cause (the “Final Order”) approving the Stipulation and Settlement Agreement on Less Than All Issues (the “Settlement Agreement”) between CWA Authority, Inc. (“CWA”), the Indiana Office of Utility Consumer Counselor, Citizens Action Coalition of Indiana, Inc., the Indiana Community Action Association, Inc. and CWA Authority Industrial Group. The Settlement Agreement and Final Order authorized revised schedules of rates and charges to be implemented by CWA in three phases. Pursuant to Ordering Paragraph 4 of the Final Order and Paragraph 11 of the Settlement Agreement, as soon as CWA has released the Official Statement for the 2021 bonds and any State Revolving Fund pre-closing documents, if applicable, CWA is authorized to further increase its rates and charges for wastewater service in Phase 3. The Phase 3 rates and charges were modified by the Phase 2 debt true-up compliance filing submitted to the Commission on October 16, 2020, in accordance with the Final Order. The Phase 3 rates and charges were included in the October 16, 2020 compliance filing, as Exhibit H, Revenue Proof, Phase 3. On September 16, 2021, CWA released its Official

Statement for its 2021A bonds and on September 28, 2021, CWA completed pre-closing of its Financial Assistance Agreement for its State Revolving Fund loan. Accordingly, as provided in Ordering Paragraph 7 of the Final Order, CWA hereby submits the attached revised schedule of rates and charges for Phase 3 and respectfully requests that those schedules be file-stamped approved by the Commission's Water/Wastewater Division.

CWA, by counsel, respectfully submits the foregoing information in connection with the issuance of debt contemplated in Phase 3 as a part of this rate case.

Respectfully submitted,

s/ Lauren R. Toppen

Lauren R. Toppen
Counsel for CWA Authority, Inc.

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CERTIFICATE OF SERVICE

The undersigned hereby certifies that a copy of the foregoing was served electronically on this 28th day of September, 2021 to the following:

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Respectfully submitted,

s/ Lauren R. Toppen

Lauren R. Toppen
Counsel for CWA Authority, Inc.

ATTACHMENT PNK-5 - Schedule 3
Compliance Filing: Phase 2 Debt True-Up
Proposed Phase 3 Rates

Line No.	Description	(1)	(2)	(3)	(4)	(5)	(6)	Ref.
		Phase 3 Rates from 11/12/19 Compliance Filing	Proposed					
			Non Industrial	Self Reporter & Industrial	Satellite - Tariff	Fats, Oils, and Grease	Wastewater Haulers	
Non Industrial (a)								
1	Monthly Base Charge	\$ 21.25	\$ 21.25					
2	Minimum Charge: (Monthly)	\$ 47.85	\$ 47.69					
Volume Charge: (\$/1,000 gallons)								
3	First 7,500 gal	\$ 8.8678	\$ 8.8139					
4	Over 7,500 gal	\$ 9.5997	\$ 9.5412					
Volume Charge: (\$/Ccf)								
5	First 10 Ccf	\$ 6.6509	\$ 6.6104					
6	Over 10 Ccf	\$ 7.1998	\$ 7.1559					
Self Reporter & Industrial								
Monthly Base Charge (Based on Prior Year Average)								
7	Self Reporter & Industrial Tier 1 (450 1000 gal.)	\$ 26.73		\$ 26.61				(b)
8	Self Reporter & Industrial Tier 2 (3,600 1000 gal.)	\$ 58.35		\$ 58.09				(c)
9	Self Reporter & Industrial Tier 3 (27,000 1000 gal.)	\$ 279.05		\$ 277.82				(d)
10	Self Reporter & Industrial Tier 4 (>27,000 1000 gal.)	\$ 1,927.97		\$ 1,919.47				(e)
Minimum Charge: (Monthly)								
11	Self Reporter & Industrial Tier 1 (450 1000 gal.)	\$ 41.78		\$ 41.59				
12	Self Reporter & Industrial Tier 2 (3,600 1000 gal.)	\$ 73.40		\$ 73.07				
13	Self Reporter & Industrial Tier 3 (27,000 1000 gal.)	\$ 294.10		\$ 292.80				
14	Self Reporter & Industrial Tier 4 (>27,000 1000 gal.)	\$ 1,943.02		\$ 1,934.45				
15	Volume Charge: (\$/1,000 gallons)	\$ 4.8139		\$ 4.7916				
16	Surveillance Charge (\$/1,000 gallons)	\$ 0.2022		\$ 0.2022				
17	Volume Charge: (\$/Ccf)	\$ 3.6104		\$ 3.5937				
18	Surveillance Charge (\$/Ccf)	\$ 0.1517		\$ 0.1517				
Extra Strength Surcharge								
19	BOD Charge: (\$/lb)	\$ 0.3798		\$ 0.3798				
20	TSS Charge: (\$/lb)	\$ 0.1559		\$ 0.1559				
21	NH ₃ -N Charge: (\$/lb)	\$ 0.3867		\$ 0.3867				
22	Satellite - Tariff	\$ 3.2803			\$ 3.2654			
23	Fats, Oils, and Grease (Monthly)	\$ 30.00				\$ 30.00		
Wastewater Haulers								
24	Septic and Non-Grease Haulers: (\$/1,000 gal.)	\$ 56.24					\$ 56.24	
25	Grease Haulers: (\$/1,000 gal.)	\$ 422.08					\$ 422.08	

- (a) Non Industrial includes Commercial and Residential Unmetered customers
(b) Based on prior year total volume of 0 to 450 1,000 gal. (0 to 600 Ccf)
(c) Based on prior year total volume of 451 to 3,600 1,000 gal. (601 to 4,800 Ccf)
(d) Based on prior year total volume of 3,601 to 27,000 1,000 gal. (4,801 to 36,000 Ccf)
(e) Based on prior year total volume over 27,000 1,000 gal. (Over 36,000 Ccf)

ATTACHMENT PNK-5 - Schedule 5
Compliance Filing: Phase 2 Debt True-Up
Revenue Under Proposed Phase 3 Rates

No.	Description	(1) Rates	(2) Units	(3) Revenue
Non Industrial				
1	Monthly Base Charge	\$ 21.25 /Month	2,899,732 Bills	\$ 61,619,300
2	Metered Volumes			
3	Non Industrial (First 7,500 gal.)	\$ 8.8139 /Mgal.	11,614,948 Mgal.	\$ 102,373,000
4	Non Industrial (Over 7,500 gal.)	\$ 9.5412 /Mgal.	11,064,371 Mgal.	105,567,400
5	Subtotal		22,679,319	\$ 207,940,400
6	Total Non Industrial Revenue			\$ 269,559,700
Self Reporter & Industrial				
Monthly Base Charge				
7	Self Reporter & Industrial Tier 1 (450 1000 gal.)	\$ 26.61 /Month	1,024 Bills	\$ 27,300
8	Self Reporter & Industrial Tier 2 (3,600 1000 gal.)	\$ 58.09 /Month	1,356 Bills	\$ 78,800
9	Self Reporter & Industrial Tier 3 (27,000 1000 gal.)	\$ 277.82 /Month	1,168 Bills	324,600
10	Self Reporter & Industrial Tier 4 (>27,000 1000 gal.)	\$ 1,919.47 /Month	430 Bills	825,400
11	Subtotal		3,978	\$ 1,256,100
12	Self Reporter & Industrial Volume	\$ 4.9938 /Mgal.	4,835,851 Mgal.	24,149,300
13	Subtotal			\$ 24,149,300
14	Subtotal Industrial			\$ 25,405,400
Extra Strength Surcharge				
15	BOD in Excess of 250 mg/l	\$ 0.3798 /lbs.	28,314,146 lbs.	\$ 10,753,700
16	TSS in Excess of 300 mg/l	\$ 0.1559 /lbs.	14,795,572 lbs.	2,306,600
17	NH ₃ -N in Excess of 20 mg/l	\$ 0.3867 /lbs.	604,634 lbs.	233,800
18	Subtotal Industrial Surcharge			\$ 13,294,100
19	Total Industrial Revenue			\$ 38,699,500
20	Fats, Oils, and Grease	\$ 30.00 /Month	45,821 Bills	\$ 1,374,600
Wastewater Haulers				
21	Septic and Non-Grease Haulers	\$ 56.24 /Mgal.	2,714 Mgal.	\$ 152,600
22	Grease Haulers	\$ 422.08 /Mgal.	0 Mgal.	-
23	Total Wastewater Haulers Revenue			\$ 152,600
24	Satellite - Special Contract	\$ 1.4148 /Mgal.	7,249,565 Mgal.	\$ 10,256,700
25	Satellite - Tariff	\$ 3.2654 /Mgal.	276,088 Mgal.	\$ 901,500
26	Total Revenue			\$ 320,944,600
27	Other Operating Revenue			\$ 2,373,100
28	Total Operating Revenue			\$ 323,317,700

ATTACHMENT PNK-5 - Schedule 6
Compliance Filing: Phase 2 Debt True-Up
Comparison of Phase 3 Adjusted Cost of Service with Revenue under Proposed Phase 3 Rates

		(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)	(11)
				Revenue	Revenue	Cost of	Proposed	Indicated		Phase 3	Revenue	Phase 3
		Phase 3	Under Phase 2	Under Phase 3	Under	Service	Phase 3	Rev Inc	Revenue	Revenue Under	Under Phase	Revenue Under
Line	Customer Class	Adjusted Cost	Rates	Cost of Service	Proposed	as a	Revenue	Over	Under 2018	Proposed Rates	3 Settlement	Proposed Rates
No.		of Service		Rates	Phase 3 Rates	Percent of	as a % of	Phase 2	Rates	Inc / Dec From	Rates	Inc / Dec From
		\$	\$	\$	\$	COS	COS	Rates	Rates	2018 Rates	Rates	Settlement
						%	%	%	\$	\$	\$	\$
						(3)/(1)	(4)/(1)	(4)/(2)		(4) - (8)		(4) - (10)
Wastewater												
1	NON INDUSTRIAL	270,204,600	260,150,100	270,207,000	269,559,700	100.00	99.76	3.62	220,283,400	49,276,300	272,065,700	(2,506,000)
2	SELF REPORTER & INDUSTRIAL	25,405,100	24,633,600	25,404,900	25,405,400	100.00	100.00	3.13	22,939,500	2,465,900	25,634,600	(229,200)
EXTRA STRENGTH SURCHARGE												
3	BOD	11,151,800	10,753,700	11,152,900	10,753,700	100.01	96.43	0.00	12,192,100	(1,438,400)	10,779,200	(25,500)
4	TSS	2,382,000	2,306,600	2,382,100	2,306,600	100.00	96.83	0.00	2,285,900	20,700	2,311,100	(4,500)
5	NH ₃ -N	241,800	233,800	241,800	233,800	100.00	96.69	0.00	280,600	(46,800)	234,600	(800)
6	SEPTIC AND NON-GREASE HAULERS	137,100	152,600	137,100	152,600	100.00	111.31	0.00	152,600	-	152,600	-
7	GREASE HAULERS	-	-	-	-	0.00	0.00	0.00	-	-	-	-
8	FATS, OILS, AND GREASE	264,000	1,374,600	264,000	1,374,600	100.00	520.68	0.00	1,374,600	-	1,374,600	-
9	Subtotal	309,786,400	299,605,000	309,789,800	309,786,400	100.00	100.00	3.40	259,508,700	50,277,700	312,552,400	(2,766,000)
10	SATELLITE - SPECIAL CONTRACT	10,256,700	8,497,200	10,256,700	10,256,700	100.00	100.00	20.71	5,769,900	4,486,800	10,256,700	-
11	SATELLITE - TARIFF	901,600	868,100	901,500	901,500	99.99	99.99	3.85	686,100	215,400	932,600	(31,100)
12	Total System	320,944,700	308,970,300	320,948,000	320,944,600	100.00	100.00	3.88	265,964,700	54,979,900	323,741,700	(2,797,100)
13	OTHER OPERATING REVENUE	2,373,100	2,373,100	2,373,100	2,373,100				2,373,100	-	2,373,100	-
14	Total Operating Revenue	323,317,800	311,343,400	323,321,100	323,317,700	100.00	100.00	3.85	268,337,800	54,979,900	326,114,800	(2,797,100)

CWA Authority, Inc.
2020 North Meridian Street
Indianapolis, Indiana 46202

~~Fifth-Sixth~~ Revised Page No. 101
Superseding ~~Fourth-Fifth~~ Revised Page No. 101

SEWER RATE NO. 1

NONINDUSTRIAL SEWAGE DISPOSAL SERVICE

AVAILABILITY:

The Nonindustrial rates and charges shall be applied to all Nonindustrial Customers of the Utility as defined in Section 1 of the Utility's Terms and Conditions for Sewage Disposal Service. The Monthly Base Charge, together with the variable Treatment Charges, are subject to the Monthly Minimum Charge as noted in the table below.

RATE:

All Nonindustrial Customers of the Utility shall pay a fixed Monthly Base Charge per connection and a variable Treatment Charge as shown in the table below.*

Metered Monthly Rates	Phase 23 Eff. 1/1/219/29/21
Monthly Minimum Charge	\$46.33 47.69
Monthly Base Charge	\$21.25
Treatment Charges:	
First 7,500 gallons (\$/1,000 gal.)	\$8.36 68139
First 10 CCF (\$/CCF)	\$6.27 26104
Over 7,500 gallons (\$/1,000 gal.)	\$9.05 445412
Over 10 CCF (\$/CCF)	\$6.78 67.1559

In addition to the charges above, the Environmental Compliance Plan Recovery Mechanism rate from Rider A, the System Integrity Adjustment rate from Rider B, and the Low Income Customer Assistance Program rate from Rider C shall apply.

MINIMUM BILL PER MONTH:

Each Nonindustrial Customer will pay a Monthly Minimum Charge if the combined Base Charge, Treatment Charges, and Rider A charge are less than the Monthly Minimum Charge. Seasonal customers will receive bills during all Months of the year even when only the Monthly Minimum Charge is due.

***BILLING FOR RESIDENTIAL CUSTOMERS FROM MAY THROUGH NOVEMBER:**

In the case of Residential Customers, the monthly billing for Sewage Disposal Service for the Months of May through November shall be based upon the monthly average of the water billed during the previous Months December through March *or* the Customer's actual usage, whichever is lower. In the event the monthly average of the water billed during such previous Months December through March is less than 3,000 gallons (4 CCF), the Customer will pay the Monthly Minimum Charge reflected in the above table. This would apply to new customers that did not have usage billed in any or all of the Months December through March. CCF refers to 100 cubic feet and is approximately equivalent to 750 gallons.

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SEWER RATE NO. 1 - NONINDUSTRIAL SEWAGE DISPOSAL SERVICE (Cont'd.)

UNMETERED SEWER CUSTOMERS:

All Residential Customers of the Utility who have an unmetered water source shall pay a monthly charge based on the number of occupants in the household. The charge will be calculated using a fixed Monthly Base Charge per connection and a variable Treatment Charge based on 1,800 gallons per occupant.

Unmetered Monthly Rates	Phase 23 Eff. 1/1/21 9/29/21
1 occupant (Monthly Minimum Charge)	\$46.33 47.69
2 occupants (3,600 gallons)	\$51.35 52.98
3 occupants (5,400 gallons)	\$66.40 68.85
4 or more occupants (7,200 gallons)	\$81.45 84.71

All other Nonindustrial Customers of the Utility who have an unmetered water source shall pay a monthly charge based on their estimated Monthly discharge. The charge will be calculated using a fixed Monthly Base Charge per connection and a variable Treatment Charge based on tiers below.

Unmetered Monthly Rates	Phase 23 Eff. 1/1/21 9/29/21
Small Flat Rate (5-10 ccf)	\$52.61 54.30
Large Flat Rate (11 ccf and above)	\$111.12 115.98

In addition to the charges above, the Environmental Compliance Plan Recovery Mechanism rate from Rider A, the System Integrity Adjustment from Rider B, and the Low Income Customer Assistance Program rate from Rider C shall apply to Unmetered Sewer Customers.

WATER USED FOR FIRE PROTECTION:

Where a metered water supply is used for fire protection as well as for other uses, the Utility may, at its sole discretion, make adjustments in the sewer user charge as may be equitable. In such cases the burden of proof as to the type of water usage shall be upon the Customer.

Where a metered water supply is used for fire protection only, the sewer user charge shall not apply.

PAYMENT:

If the bill is not paid within seventeen days after its date of issue, a Late Payment Charge will be added as provided in Appendix A.

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SEWER RATE NO. 2

INDUSTRIAL SEWAGE DISPOSAL SERVICE

AVAILABILITY:

The Industrial rates and charges shall be applied to all Industrial Customers of the Utility as defined in Section 1 of the Utility's Terms and Conditions for Sewage Disposal Service. The Monthly Base Charge, together with the variable Treatment Charges, are subject to the Monthly Minimum Charge as noted in the table below and billed according to Rule 7.1.

RATE:

All Industrial Customers of the Utility shall pay a fixed Monthly Base Charge per connection, in addition to variable Treatment and Surveillance Charges as shown in the table below.

Metered Monthly Rates:	Phase 32 Eff. 1/1/219/29/21
Monthly Minimum charge	
Tier 1	\$40.18 <u>41.59</u>
Tier 2	\$70.71 <u>73.07</u>
Tier 3	\$283.76 <u>292.80</u>
Tier 4	\$1,875.54 <u>1,934.45</u>
Monthly Base charge	
Tier 1	\$25.80 <u>26.61</u>
Tier 2	\$56.33 <u>58.09</u>
Tier 3	\$269.38 <u>277.82</u>
Tier 4	\$1,861.16 <u>1,919.47</u>
Treatment charges	
Per 1,000 gallons	\$4.59 <u>46.79</u> <u>16</u>
Per CCF	\$3.44 <u>373.59</u> <u>37</u>
Industrial Surveillance Rate	
Per 1,000 gallons	\$0.2022
Per CCF	\$0.1517
Total Treatment and Surveillance Rate	
Per 1,000 gallons	\$4.79 <u>384.99</u> <u>38</u>
Per CCF	\$3.59 <u>543.74</u> <u>54</u>

In addition, the Environmental Compliance Plan Recovery Mechanism rate from Rider A and the Low Income Customer Assistance Program rate from Rider C shall apply.

CWA Authority, Inc.
2020 North Meridian Street
Indianapolis, Indiana 46202

~~Fifth-Sixth~~ Revised Page No. 105
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SEWER RATE NO. 5

SELF-REPORTING SEWAGE DISPOSAL SERVICE

AVAILABILITY:

The Self-Reporting rates and charges shall be applied to all Self-Reporting Customers of the Utility as defined in Section 1 of the Utility's Terms and Conditions for Sewage Disposal Service.

RATE:

All Self-Reporting Customers of the Utility shall pay a fixed Monthly Base Charge per discharge meter or outfall, in addition to variable Treatment and Surveillance Charges and Excessive Strength Surcharges as shown in the table below.

Monthly Rates:	Phase 32 Eff. 1/1/21 <u>9/29/21</u>
Monthly Minimum Charge	
Tier 1	\$40.18 <u>\$41.59</u>
Tier 2	\$70.71 <u>\$73.07</u>
Tier 3	\$283.76 <u>\$292.80</u>
Tier 4	\$1,875.54 <u>\$1,934.45</u>
Monthly Base charge	
Tier 1	\$25.80 <u>\$26.61</u>
Tier 2	\$56.33 <u>\$58.09</u>
Tier 3	\$269.38 <u>\$277.82</u>
Tier 4	\$1,861.16 <u>\$1,919.47</u>
Treatment charges	
Per 1,000 gallons	\$4.59 <u>\$4.79</u>
Per CCF	\$3.44 <u>\$3.59</u>
Industrial Surveillance Rate	
Per 1,000 gallons	\$0.2022
Per CCF	\$0.1517
Total Treatment and Surveillance Rate	
Per 1,000 gallons	\$4.79 <u>\$4.99</u>
Per CCF	\$3.59 <u>\$3.74</u>
Excessive Strength Surcharges-- Per pound:	
BOD in excess of 250 mg/l (\$/lb.)	\$0.3798
TSS in excess of 300 mg/l (\$/lb.)	\$0.1559
NH ₃ -N in excess of 20 mg/l (\$/lb.)	\$0.3867

In addition, the Environmental Compliance Plan Recovery Mechanism rate from Rider A and the Low Income Customer Assistance Program rate from Rider C shall apply.

Current base rates effective pursuant
to I.U.R.C. Order in Cause No. 45151

Effective: ~~January 1, 2021~~ September 29, 2021

CWA Authority, Inc.
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Indianapolis, Indiana 46202

~~Third-Fourth~~ Revised Page No. 106
Superseding ~~Second-Third~~ Revised Page No. 106

SEWER RATE NO. 6

WHOLESALE SEWAGE DISPOSAL SERVICE

AVAILABILITY:

Wholesale Sewage Disposal Service shall be available to all municipalities, conservancy districts and any other entities that own and operate facilities for the collection of wastewater ("Collection Systems") from retail customers in geographic areas located adjacent to the Utility's Sewage Disposal System that transport wastewater to the Utility's Sewage Disposal System for treatment and disposal, subject to satisfying each of the Special Provisions set forth below (collectively referred to below as "Communities," and individually "Community").

RATE:

1. Variable Treatment Charge

All Communities receiving Wholesale Sewage Disposal Service shall pay the Variable Treatment as shown in the table below:

Treatment Charge	Phase 3 <u>2</u> Eff. 10/23/209/29/21
Per 1,000 Gallons	\$ 3.1444 <u>2654</u>

2. Excessive Strength Surcharges

In addition, all Communities receiving Wholesale Sewage Disposal Service shall pay the Excessive Strength Surcharges shown in the table below:

Excessive Strength Surcharges – per pound	Phase 3 <u>2</u> Eff. 10/23/209/29/21
BOD in excess of 250 mg/l	\$0.3798
TSS in excess of 300 mg/l	\$0.1559
NH3-N in excess of 20 mg/l	\$0.3867

3. Rates Subject to Change and Other Riders

The foregoing rates are subject to change, with Commission approval. In addition, the Environmental Compliance Plan Recovery Mechanism rate from Rider A, the System Integrity Adjustment rate from Rider B, and any other changes in rates or charges due to adjustment mechanisms approved by the Commission, shall apply. Notwithstanding Ind. Code § 8-1-2-42, whenever the Utility petitions the Commission for a change in rates or charges that affects its rates or charges under this Sewer Rate No. 6, the Utility shall notify each Community in writing thirty (30) days prior to the filing of the petition of its intention to request a change in rates or charges and the estimated amount of the proposed change in rates or charges.

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Sixth Revised Page No. 101
Superseding Fifth Revised Page No. 101

SEWER RATE NO. 1

NONINDUSTRIAL SEWAGE DISPOSAL SERVICE

AVAILABILITY:

The Nonindustrial rates and charges shall be applied to all Nonindustrial Customers of the Utility as defined in Section 1 of the Utility's Terms and Conditions for Sewage Disposal Service. The Monthly Base Charge, together with the variable Treatment Charges, are subject to the Monthly Minimum Charge as noted in the table below.

RATE:

All Nonindustrial Customers of the Utility shall pay a fixed Monthly Base Charge per connection and a variable Treatment Charge as shown in the table below.*

Metered Monthly Rates	Phase 3 Eff. 9/29/21
Monthly Minimum Charge	\$47.69
Monthly Base Charge	\$21.25
Treatment Charges:	
First 7,500 gallons (\$/1,000 gal.)	\$8.8139
First 10 CCF (\$/CCF)	\$6.6104
Over 7,500 gallons (\$/1,000 gal.)	\$9.5412
Over 10 CCF (\$/CCF)	\$7.1559

In addition to the charges above, the Environmental Compliance Plan Recovery Mechanism rate from Rider A, the System Integrity Adjustment rate from Rider B, and the Low Income Customer Assistance Program rate from Rider C shall apply.

MINIMUM BILL PER MONTH:

Each Nonindustrial Customer will pay a Monthly Minimum Charge if the combined Base Charge, Treatment Charges, and Rider A charge are less than the Monthly Minimum Charge. Seasonal customers will receive bills during all Months of the year even when only the Monthly Minimum Charge is due.

***BILLING FOR RESIDENTIAL CUSTOMERS FROM MAY THROUGH NOVEMBER:**

In the case of Residential Customers, the monthly billing for Sewage Disposal Service for the Months of May through November shall be based upon the monthly average of the water billed during the previous Months December through March *or* the Customer's actual usage, whichever is lower. In the event the monthly average of the water billed during such previous Months December through March is less than 3,000 gallons (4 CCF), the Customer will pay the Monthly Minimum Charge reflected in the above table. This would apply to new customers that did not have usage billed in any or all of the Months December through March. CCF refers to 100 cubic feet and is approximately equivalent to 750 gallons.

CWA Authority, Inc.
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Sixth Revised Page No. 101A
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SEWER RATE NO. 1 - NONINDUSTRIAL SEWAGE DISPOSAL SERVICE (Cont'd.)

UNMETERED SEWER CUSTOMERS:

All Residential Customers of the Utility who have an unmetered water source shall pay a monthly charge based on the number of occupants in the household. The charge will be calculated using a fixed Monthly Base Charge per connection and a variable Treatment Charge based on 1,800 gallons per occupant.

Unmetered Monthly Rates	Phase 3 Eff. 9/29/21
1 occupant (Monthly Minimum Charge)	\$47.69
2 occupants (3,600 gallons)	\$52.98
3 occupants (5,400 gallons)	\$68.85
4 or more occupants (7,200 gallons)	\$84.71

All other Nonindustrial Customers of the Utility who have an unmetered water source shall pay a monthly charge based on their estimated Monthly discharge. The charge will be calculated using a fixed Monthly Base Charge per connection and a variable Treatment Charge based on tiers below.

Unmetered Monthly Rates	Phase 3 Eff. 9/29/21
Small Flat Rate (5-10 ccf)	\$54.30
Large Flat Rate (11 ccf and above)	\$115.98

In addition to the charges above, the Environmental Compliance Plan Recovery Mechanism rate from Rider A, the System Integrity Adjustment from Rider B, and the Low Income Customer Assistance Program rate from Rider C shall apply to Unmetered Sewer Customers.

WATER USED FOR FIRE PROTECTION:

Where a metered water supply is used for fire protection as well as for other uses, the Utility may, at its sole discretion, make adjustments in the sewer user charge as may be equitable. In such cases the burden of proof as to the type of water usage shall be upon the Customer.

Where a metered water supply is used for fire protection only, the sewer user charge shall not apply.

PAYMENT:

If the bill is not paid within seventeen days after its date of issue, a Late Payment Charge will be added as provided in Appendix A.

CWA Authority, Inc.
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Indianapolis, Indiana 46202

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SEWER RATE NO. 2

INDUSTRIAL SEWAGE DISPOSAL SERVICE

AVAILABILITY:

The Industrial rates and charges shall be applied to all Industrial Customers of the Utility as defined in Section 1 of the Utility's Terms and Conditions for Sewage Disposal Service. The Monthly Base Charge, together with the variable Treatment Charges, are subject to the Monthly Minimum Charge as noted in the table below and billed according to Rule 7.1.

RATE:

All Industrial Customers of the Utility shall pay a fixed Monthly Base Charge per connection, in addition to variable Treatment and Surveillance Charges as shown in the table below.

Metered Monthly Rates:	Phase 3 Eff. 9/29/21
Monthly Minimum charge	
Tier 1	\$41.59
Tier 2	\$73.07
Tier 3	\$292.80
Tier 4	\$1,934.45
Monthly Base charge	
Tier 1	\$26.61
Tier 2	\$58.09
Tier 3	\$277.82
Tier 4	\$1,919.47
Treatment charges	
Per 1,000 gallons	\$4.7916
Per CCF	\$3.5937
Industrial Surveillance Rate	
Per 1,000 gallons	\$0.2022
Per CCF	\$0.1517
Total Treatment and Surveillance Rate	
Per 1,000 gallons	\$4.9938
Per CCF	\$3.7454

In addition, the Environmental Compliance Plan Recovery Mechanism rate from Rider A and the Low Income Customer Assistance Program rate from Rider C shall apply.

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SEWER RATE NO. 5

SELF-REPORTING SEWAGE DISPOSAL SERVICE

AVAILABILITY:

The Self-Reporting rates and charges shall be applied to all Self-Reporting Customers of the Utility as defined in Section 1 of the Utility's Terms and Conditions for Sewage Disposal Service.

RATE:

All Self-Reporting Customers of the Utility shall pay a fixed Monthly Base Charge per discharge meter or outfall, in addition to variable Treatment and Surveillance Charges and Excessive Strength Surcharges as shown in the table below.

Monthly Rates:	Phase 3 Eff. 9/29/21
Monthly Minimum Charge	
Tier 1	\$41.59
Tier 2	\$73.07
Tier 3	\$292.80
Tier 4	\$1,934.45
Monthly Base charge	
Tier 1	\$26.61
Tier 2	\$58.09
Tier 3	\$277.82
Tier 4	\$1,919.47
Treatment charges	
Per 1,000 gallons	\$4.7916
Per CCF	\$3.5937
Industrial Surveillance Rate	
Per 1,000 gallons	\$0.2022
Per CCF	\$0.1517
Total Treatment and Surveillance Rate	
Per 1,000 gallons	\$4.9938
Per CCF	\$3.7454
Excessive Strength Surcharges-- Per pound:	
BOD in excess of 250 mg/l (\$/lb.)	\$0.3798
TSS in excess of 300 mg/l (\$/lb.)	\$0.1559
NH ₃ -N in excess of 20 mg/l (\$/lb.)	\$0.3867

In addition, the Environmental Compliance Plan Recovery Mechanism rate from Rider A and the Low Income Customer Assistance Program rate from Rider C shall apply.

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SEWER RATE NO. 6

WHOLESALE SEWAGE DISPOSAL SERVICE

AVAILABILITY:

Wholesale Sewage Disposal Service shall be available to all municipalities, conservancy districts and any other entities that own and operate facilities for the collection of wastewater ("Collection Systems") from retail customers in geographic areas located adjacent to the Utility's Sewage Disposal System that transport wastewater to the Utility's Sewage Disposal System for treatment and disposal, subject to satisfying each of the Special Provisions set forth below (collectively referred to below as "Communities," and individually "Community").

RATE:

1. Variable Treatment Charge

All Communities receiving Wholesale Sewage Disposal Service shall pay the Variable Treatment as shown in the table below:

Treatment Charge	Phase 3 Eff. 9/29/21
Per 1,000 Gallons	\$3.2654

2. Excessive Strength Surcharges

In addition, all Communities receiving Wholesale Sewage Disposal Service shall pay the Excessive Strength Surcharges shown in the table below:

Excessive Strength Surcharges – per pound	Phase 3 Eff. 9/29/21
BOD in excess of 250 mg/l	\$0.3798
TSS in excess of 300 mg/l	\$0.1559
NH3-N in excess of 20 mg/l	\$0.3867

3. Rates Subject to Change and Other Riders

The foregoing rates are subject to change, with Commission approval. In addition, the Environmental Compliance Plan Recovery Mechanism rate from Rider A, the System Integrity Adjustment rate from Rider B, and any other changes in rates or charges due to adjustment mechanisms approved by the Commission, shall apply. Notwithstanding Ind. Code § 8-1-2-42, whenever the Utility petitions the Commission for a change in rates or charges that affects its rates or charges under this Sewer Rate No. 6, the Utility shall notify each Community in writing thirty (30) days prior to the filing of the petition of its intention to request a change in rates or charges and the estimated amount of the proposed change in rates or charges.