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FILED

June 1, 2017

INDIANA UTILITY

REGULATORY COMMISSION

June 1, 2017

Mr. Curt Gassert
Director, Water and Sewer Division
Indiana Utility Regulatory Commission
PNC Center
101 W. Washington St., Suite 1500E
Indianapolis, IN 46204

Dear Mr. Gassert:

Enclosed are documents in compliance with the Commission's May 31, 2017 Order in Cause No. 44835 for Citizens Wastewater of Westfield, LLC. This documentation includes the following:

- Tab 1 – Terms and Conditions for Sewage Disposal Service (complete clean set)
- Tab 2 – Rate Schedules (clean)
- Tab 3 – Revenue Proof/Rate Design by Class

Upon your approval, Citizens Wastewater of Westfield, LLC. is requesting that the Terms & Conditions and Rate Schedules become effective June 1, 2017 in accordance with Ordering Paragraph number 3.

If you have any questions, please contact me at 317.927.4592.

Respectfully,

Korlon L. Kilpatrick II
Director, Regulatory Affairs

Enclosures

Tab 1

**SEWAGE DISPOSAL SERVICE TARIFF
RATES, TERMS AND CONDITIONS
FOR SEWAGE DISPOSAL SERVICE WITHIN
WESTFIELD, INDIANA
AND CONTIGUOUS AREAS**

Issued By

Citizens Wastewater of Westfield, LLC

**2020 North Meridian Street
Indianapolis, Indiana 46202**

**Jeffrey A. Harrison
Chairman
Board of Directors**

**Jeffery A. Willman
President**

**SEWAGE DISPOSAL SERVICE TERMS AND CONDITIONS
I.U.R.C. CAUSE NO. 44835**

EFFECTIVE: June 1, 2017

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**RULES
APPLICATION**

The terms and conditions for service, as set forth here and as amended and supplemented from time to time, shall govern all Sewage Disposal Service rendered or to be rendered by the Utility. They shall be binding upon every Customer and the Utility, and shall constitute a part of the terms and conditions of every contract for Sewage Disposal Service.

1. DEFINITIONS

Except where the context indicates a different meaning or intent, the following terms, when used in any Section of the Utility's Rates and Terms and Conditions for Sewage Disposal Service, shall have the meanings ascribed below:

1.1 ACCIDENTAL DISCHARGE

An unintentional release of a material that could potentially violate the requirements of Rule 16 of these Terms and Conditions for Sewage Disposal Service.

1.2 APPLICANT

Any individual, partnership, association, firm, public or private corporation, limited liability company, government agency, institution or group thereof applying to receive or use the Utility's Sewage Disposal Service.

1.3 BIOCHEMICAL OXYGEN DEMAND ("BOD")

The quantity of oxygen used in the biochemical oxidation of organic matter under standard laboratory procedure in five (5) days at 20°C, (68°F) expressed in milligrams per liter. BOD measurements are used as a measure of the organic strength of wastes in water.

1.4 BUILDING DRAIN

That part of the lowest horizontal piping of a drainage system that receives the discharge from solid waste and other drainage pipes inside the walls of the building and conveys it to the Building Sewer, beginning five (5) feet (one and one-half (1.5) meters) outside the inner face of the building wall.

1.5 BUILDING SEWER

The extension from the Building Drain to the Public Sewer or other place of disposal and shall include that portion of the drain within the public right-of-way.

1.6 CITIZENS GAS OF WESTFIELD, LLC

Westfield Gas, LLC, d/b/a Citizens Gas of Westfield for the gas system, 2020 N. Meridian Street, Indianapolis, IN 46202 or any other professional management firm that has been retained by Citizens Gas of Westfield to operate its gas system and that is acting in its capacity as the agent or representative of Citizens Gas of Westfield.

1.7 CITIZENS WATER OF WESTFIELD, LLC

Citizens Water of Westfield, LLC, 2020 N. Meridian Street, Indianapolis, IN 46202, or any professional management firm that has been retained by Citizens Water of Westfield, LLC to operate its water utility facilities and that is acting as the agent or representative of the Citizens Water of Westfield, LLC.

1.8 CLEAN WATER ACT

The primary federal law in the United States governing water pollution, which is codified at 33 U.S.C. § 1251 *et seq.*

- 1.9 COMBINED BILL**
A bill issued to a Customer for any combination of more than one of the Utility Services.
- 1.10 COMMISSION**
The Indiana Utility Regulatory Commission.
- 1.11 COMMISSION'S RULES**
Rules, Regulations and Standards of Service for Utilities Rendering Sewage Disposal Service in Indiana pursuant to 170 IAC 8.5-1 et al, as revised, supplemented and replaced from time to time.
- 1.12 COOLING WATER**
The water discharged from any system of condensation, air conditioning, cooling, refrigeration or other, but which shall be free from odor and oil. Cooling Water shall not contain polluting substances that would produce BOD or Suspended Solids each in excess of ten (10) milligrams per liter.
- 1.13 CUSTOMER**
Any individual, partnership, association, firm, public or private corporation, limited liability company, government agency, institution or group that has agreed, orally or otherwise, to pay for Sewage Disposal Service from the Utility.
- 1.14 DOMESTIC WASTEWATER**
Wastewater of the type commonly introduced into Sewage Disposal System by residential users.
- 1.15 EQUIVALENT DWELLING UNIT ("EDU")**
Shall be determined in accordance with industry standards and shall reflect the greater of the actual daily flow requirements (per 327 IAC 3), the area ratio of the water meter size serving a particular user, or such means of determination deemed appropriate by the Utility. One (1) EDU shall be estimated as equal to three hundred ten (310) gallons per day.
- 1.16 FOUNDATION DRAINS**
Any network of pipes, pumps or drainage mechanism located at, near or under a footing, foundation or floor slab of any building or structure that intentionally or unintentionally conveys groundwater away from a building or structure.
- 1.17 GARBAGE**
Solid wastes from the domestic and commercial preparation, cooking and dispensing of food and from the handling, storage and sale of produce.
- 1.18 HEAT PUMP DISCHARGE**
Water discharged from a heat pump or other device that uses water as a heat source or heat sink.
- 1.19 INDUSTRIAL CUSTOMER**
Any Customer of Utility who discharges, causes or permits the discharge of nondomestic wastewater into the Sewage Disposal System.

1.20 INTERFERENCE

Any discharge that, alone or in conjunction with a discharge or discharges from other sources, both: (1) inhibits or disrupts the Sewage Disposal System, its treatment processes or operations, or its sludge processes, use or disposal; and (2) therefore is a cause of a violation of any requirement of the Sewage Disposal System's National Pollutant Discharge Elimination System ("NPDES") permit (including an increase in the magnitude or duration of a violation) or of the prevention of sewage sludge use or disposal in compliance with the following statutory provisions and regulations or permits issued thereunder (or more stringent state or local regulations): Section 405 of the Clean Water Act, the Solid Waste Disposal Act (SWDA) (including Title II, more commonly referred to as the Resource Conservation and Recovery Act (RCRA), and including state regulations contained in any state sludge management plan prepared pursuant to Subtitle D of the SWDA), the Clean Air Act, the Toxic Substances Control Act, and the Marine Protection, Research and Sanctuaries Act.

1.21 MONTH

One-twelfth (1/12) of a year, or the period between two (2) consecutive readings of the Utility's meters, as nearly every thirty (30) days as practicable.

1.22 NH3-N

Denotes ammonia nitrogen. All of the nitrogen in water, sewage or other liquid waste present in the form of ammonia, ammonia ion or in the equilibrium $\text{NH}_4^+ \rightleftharpoons \text{NH}_3 + \text{H}^+$.

1.23 NON-INDUSTRIAL CUSTOMER

All Customers of the Utility that discharge into the Sewage Disposal System Sewage Normally Discharged by a Residence.

1.24 NON-RESIDENTIAL CUSTOMER

A person being supplied with Sewage Disposal Service by the Utility that is not used for residential purposes.

1.25 NPDES PERMIT

A permit issued under the National Pollutant Discharge Elimination System for discharge of wastewaters to the navigable waters of the United States pursuant to 33USC1251.

1.26 PASS-THROUGH

A discharge that exits the Sewage Disposal System into waters of the State in quantities or concentrations that, alone or in conjunction with a discharge or discharges from other sources, is a cause of a violation of any requirement of the Sewage Disposal System's NPDES Permit (including an increase in the magnitude or duration of a violation).

1.27 PH

The logarithm of the reciprocal of the weight of hydrogen ions in grams per liter of solution.

1.28 POLLUTANT

Includes, but is not limited to, any dredged spoil, solid waste, incinerator residue, sewage, garbage, sewage sludge, munitions, chemical materials, chemical wastes, biological materials, Radioactive Materials, heat, wrecked or discharged equipment, rock, sand, cellar dirt, and industrial, municipal and agricultural waste discharged into water.

- 1.29 PREMISES**
One contiguous piece of property owned by a single Customer, which is not intersected by a public right-of-way or thoroughfare.
- 1.30 PROPERLY SHREDDED GARBAGE**
Wastes from the preparation, cooking and dispensing of food that has been shredded to such a degree that all particles will be carried freely under the flow conditions normally prevailing in Public Sewers, with no particle greater than one-half (1/2) inch (one and twenty-seven one-hundredths (1.27) centimeters) in any dimension.
- 1.31 PUBLIC SEWER**
Any combined or sanitary sewer or lift station located within the public right-of-way or a dedicated easement.
- 1.32 RADIOACTIVE MATERIAL**
Any material (solid, liquid or gas) that spontaneously emits ionizing radiation and that is regulated by the Nuclear Regulatory Commission or the Indiana State Board of Health. This may include naturally occurring radioactive material, by-product material, accelerator produced material, source material or special nuclear material.
- 1.33 RESIDENTIAL CUSTOMER**
A person being supplied with Sewage Disposal Service by the Utility exclusively for residential purposes and introduces only Domestic Wastewater into the Sewage Disposal System.
- 1.34 SEWAGE DISPOSAL SERVICE**
Utility service whereby liquid and solid waste, sewage, night soil and industrial waste (except as limited by the Rules and Regulations of the Commission) of any single territorial area is collected, treated, purified and disposed of in a sanitary manner, and includes all sewage treatment plant or plants, main Sewers, submain Sewers, local and/or lateral Sewers, intercepting Sewers, outfall Sewers; force mains, pumping stations, ejector stations and all other equipment and appurtenances necessary or useful and convenient for the rendition of such service.
- 1.35 SEWAGE DISPOSAL SYSTEM**
The system by which the Utility provides Sewage Disposal Service, which includes the sewage treatment plant or plants, main Sewers, submain Sewers, local and/or lateral Sewers, intercepting Sewers, outfall Sewers; force mains, pumping stations, ejector stations and all other equipment and appurtenances necessary or useful and convenient for the rendition of such service.
- 1.36 SEWAGE NORMALLY DISCHARGED BY A RESIDENCE**
The liquid waste contributed by a residential living unit and shall not exceed a volume of ten thousand five hundred (10,500) gallons per Month, thirty (30) pounds of BOD per month, and thirty-five (35) pounds of Suspended Solids per Month.
- 1.37 SEWER**
A pipe or conduit for carrying sewage.

1.38 SLUG

Any discharge of wastewater that, in concentrations of any given constituent, as measured by a grab sample, exceeds more than five (5) times the allowable discharge limits as specified in these Terms and Conditions for Sewage Disposal Service and/or in quantity of flow exceeds more than five (5) times the user's average flow rate as authorized in the user's industrial discharge permit, for a period of duration longer than fifteen (15) minutes.

1.39 SUSPENDED SOLID ("SS")

Solids that either float on the surface of, or are in suspension in, water, sewage or other liquids and that are removable by laboratory filtering.

1.40 UPSET

An exceptional incident in an Industrial Customer's facility, in which there is unintentional and temporary noncompliance with applicable pretreatment standards because of factors beyond the reasonable control of the Industrial Customer. An upset does not include noncompliance to the extent caused by operational error, improperly designed treatment facilities, inadequate treatment facilities, lack of preventive maintenance or careless or improper operation.

1.41 UTILITY

Citizens Wastewater of Westfield, LLC, 2020 North Meridian Street, Indianapolis, Indiana 46202 or any professional management firm that has been retained by Citizens Wastewater of Westfield, LLC to operate its Sewage Disposal System and that is acting in its capacity as the agent or representative of the Citizens Wastewater of Westfield, LLC.

1.42 UTILITY SERVICES

Shall include one or more of the following services: (1) sewage disposal service provided by the Utility; (2) water services provided by Citizens Water of Westfield, LLC; and/or (3) gas delivery and gas supply services provided by Citizens Gas of Westfield.

1.43 UTILITY'S RATE SCHEDULES

The Utility's schedules of rates and charges as approved by the Commission and as revised, supplemented, and replaced from time to time. The schedule of rates and charges is available at <http://www.citizensenergygroup.com>.

2. RATES AND UNIFORM CONDITIONS OF SERVICE

2.1 A copy of all rates and charges and these Terms and Conditions for Sewage Disposal Service is on file with the Commission and may be inspected by the public in the principal office of the Utility at 2020 North Meridian Street, Indianapolis, Indiana 46202 or on the Utility's website at www.citizensenergygroup.com.

- 2.2 All Sewage Disposal Service furnished by the Utility shall be subject to said rates and charges and these Terms and Conditions for Sewage Disposal Service, which are by reference made a part of all standard contracts for service, (except when modified by special contract approved by the Commission or as otherwise provided herein).
- 2.3 The failure of the Utility to enforce any rate and/or provision of these Terms and Conditions for Sewage Disposal Service shall not be deemed a waiver of its rights to do so.

3. WRITTEN APPLICATION OR CONTRACT FOR SERVICE MAY BE REQUIRED

- 3.1 A written application or contract properly executed in a form acceptable to the Utility, may be required from the Customer before the Utility is obligated to supply Sewage Disposal Service to the Customer, or as a condition for the continued supply of Sewage Disposal Service, provided, however, that the Utility shall have the right to reject an application for service if the applicant is unwilling or unable to comply with terms of service required by these rules.
- 3.2 No proposed connection or inlet shall be permitted, if in the opinion of the Utility, such inlet and connection would overburden such sewer. All opinions shall be validated by sound engineering judgment.
- 3.3 The taking of Sewage Disposal Service shall constitute a contract between the Customer and the Utility, obligating the Customer to pay for, and the Utility to furnish, service as specified herein and to comply with all applicable provisions of these Terms and Conditions for Sewage Disposal Service.
- 3.4 Where two or more parties join in one application for Sewage Disposal Service, such parties shall be jointly and severally liable there under, and only one bill shall be rendered for service supplied in accordance therewith.
- 3.5 No promises, agreements or representations of any agent, employee or authorized representative of the Utility, or its predecessor, shall be binding upon the Utility unless the same shall have been incorporated in a written contract or application.
- 3.6 Sewage Disposal Service furnished to any Customer is for the use of that Customer on his or her designated Premises, and shall not be resold or extended by Customer to serve additional lots, Premises or improvements as an alternative to that person or entity receiving Sewage Disposal Service from the Utility, unless otherwise specifically included in its agreement with the Utility for service.
- 3.7 Any contractor, builder or developer shall be liable for the minimum monthly charge from the time of connection until notification of occupancy, if such contractor, builder or developer fails to notify the Utility of such occupancy.
- 3.8 No person shall be allowed to connect to the Utility's system until after he has obtained a permit to do so from the Utility. If any person connects to the Sewage Disposal System without obtaining said permit, the Utility shall have the right to disconnect such Customer from its system and refuse to connect him to the Utility's system until the Utility has been reimbursed for any expense incurred in disconnecting such person from its system.

- 3.9** No person shall do any form of work on or in connection with lines or facilities owned by the Utility until he has received a lateral permit from the Utility to do such work. A lateral permit is required to construct, repair, modify, connect, or abandon any lateral within the Utility's service area. All work must be in compliance with the Utility's Sanitary District Standard Specifications.
- 3.10** An application for a new connection to the Sewage Disposal System shall be made on a form prescribed by the Utility and may require the following information:
- 3.10.1** Name and address of the owner;
- 3.10.2** Name, address and telephone number of the contractor;
- 3.10.3** Address and, if necessary, the legal description of the Premises where the work is to be done;
- 3.10.4** Plans for the Building Sewer and connections, which at a minimum must consist of drawing(s) of the building, the parcel boundaries, the connection detail, including grease interceptor connection detail where applicable, materials of construction and installation method; and
- 3.10.5** Any other information as may be deemed reasonable and necessary by the Utility.
- 3.11** Application for a connection to the Sewage Disposal System shall be made only by a plumbing contractor licensed by the State of Indiana or a contractor bonded to the Utility's satisfaction.
- 3.12** All Sewer work and other construction actually performed on or associated with the Building Drain, Building Sewer and the connection of the Building Sewer to the Sewage Disposal System shall be in accordance with the rules and regulations of the Indiana Fire Prevention and Building Safety Commission and standard specifications of the Utility.
- 3.13** The permit granted by the Utility to connect to the Sewage Disposal System shall be given in writing and expire by operation of law and shall no longer be of any force or effect if work is not initiated within one hundred eighty (180) days from the date thereof. The Utility may, however, for good cause shown in writing, extend the duration of the permit for an additional period that is reasonable under the circumstances to allow commencement of the construction activity. In no event shall the extension exceed a period of sixty (60) days.

If the construction activity has been commenced but only partially completed, and thereafter substantially no construction activity occurs on the construction site over a period of one hundred eighty (180) days, the permit shall expire by operation of law and no longer be of any force or effect; provided, however, the Utility may, for good cause shown in writing, extend the authority to connect to the Sewage Disposal System for an additional period that is reasonable under the circumstances to allow resumption of construction activity. The fee for an extension under this Rule shall be as provided for in Appendix A, and the extension shall be confirmed in writing.

- 3.14** After the Utility has granted the permit, the plumbing contractor or contractor as defined in Rule 3.11 shall give prompt written notice to the Utility of any addition to or change in the information contained in the permit application.
- 3.15** After the Utility has in writing, granted authority to connect to the Sewage Disposal System, any material deviation or change in the information contained in the application or the plans shall be considered an amendment subject to approval by the Utility. Before construction has begun, the contractor shall file with the Utility a written request for amendment, including a detailed statement of the requested change and the submission of any amended plans. The Utility shall give the contractor written notice that the request for amendment has been approved or denied. The fee for the amendment of an application for connection is set forth in Appendix A.
- 3.16** A permit may be transferred with the approval of the Utility to a person, partnership or corporation that would be eligible to obtain such authority in the first instance (hereinafter called "Transferee"), after both the payment of a fee as provided in Appendix A and the execution and filing of a transfer form furnished by the Utility. Such transfer form shall contain, in substance, the following certifications, release and agreement:
- 3.16.1** The person who obtained the original connection approval from the Utility or a person who is employed by and authorized to act for the obtainer (hereinafter called "Transferor") shall:
- a. Certify under penalties for perjury that such person is familiar with the sanitary Sewer construction activity to be accomplished pursuant to the permit; such person is familiar with the construction standards and procedures of the Utility; and to the best of such person's knowledge, information and belief the construction activity, to the extent performed, is in conformity with all standards and procedures required by the Utility; and
 - b. Sign a statement releasing all rights and privileges secured under the permit granted by the Utility to the Transferee.
- 3.16.2** The Transferee shall:
- a. Certify that the Transferee is familiar with the information contained in the original application requesting authority to connect to the Sewage Disposal System, the design plans and specifications, and any other documents filed in support of the application;
 - b. Certify that the Transferee is familiar with the present condition of the Premises on which the construction activity is to be accomplished pursuant to the permit; and
 - c. Agree to adopt and be bound by the information contained in the original application, the design plans and specifications, and other documents supporting the original application; or in the alternative, agree to be bound by such application plans and documents modified by plan amendments submitted to the Utility for approval.

The Transferee shall assume the responsibilities and obligations of and shall comply with the same procedures required of the Transferor, and shall be subject to any written directives issued by the Utility. Authority granted by the Utility for construction activity at a specified location may not be transferred to construction activity at another location.

- 3.17** The Utility may revoke a permit when:
- 3.17.1** The application, plans or supporting documents contain a false statement or misrepresentation as to a material fact; or
 - 3.17.2** The application, plans or supporting documents reflect a lack of compliance with the requirements of these Terms and Conditions for Sewage Disposal Service.
- 3.18** The Utility may order the suspension of the pertinent construction activity (“Stop-Work Order”) if the Utility determines that:
- 3.18.1** Construction activity is proceeding in an unsafe manner;
 - 3.18.2** Construction activity is proceeding in violation of a requirement of these Terms and Conditions for Sewage Disposal Service;
 - 3.18.3** Construction activity is proceeding in a manner that is materially different from the application, plans, or supporting documents; or
 - 3.18.4** Construction activity for which Utility authority under this Rule is required is proceeding without such authority having been obtained. In such an instance, the Stop-Work Order shall indicate that the effect of the order terminates when the required authority is obtained.
- 3.19** The Stop-Work Order shall be in writing and shall state the reason for its issuance. The Stop-Work Order shall be posted on the property in a conspicuous place and, if conveniently possible, shall be given to the person doing the construction and to the owner of the property or his or her agent. The Stop-Work Order shall state the conditions under which construction may be resumed.

4. DEPOSITS

- 4.1** In accordance with the Rules and Regulations of the Commission pursuant to 170 IAC 8.5 et al, the Utility may require a Residential Customer or Applicant to pay a cash deposit as a condition of receiving or continuing to receive Sewage Disposal Service, if the Utility determines that the Residential Customer or Applicant does not meet the criteria for creditworthiness set forth in 170 IAC 8.5-2-3 of the Rules and Regulations of the Commission.
- 4.2** The Utility may require Non-Residential Customers or Applicants who are determined to be uncreditworthy to make a cash deposit at any time to assure payment of bills, and as a condition of receiving or continuing to receive Sewage Disposal Service.
- 4.2.1** The Utility shall determine the creditworthiness of a Non-Residential Applicant or Customer in an equitable, non-discriminatory manner.

- 4.2.2** A Non-Residential Customer shall be deemed creditworthy if it has no delinquent bills to the Utility for sewage disposal service within the last twenty-four (24) months and, within the last two (2) years has not: (a) had service disconnected for nonpayment or (b) filed a voluntary petition, has a pending petition, or has an involuntary petition filed against it, under any bankruptcy or insolvency law. For purposes of this determination, a contested bill shall not be considered delinquent.
- 4.2.3** In determining the creditworthiness of non-residential Applicants, the Utility shall consider the size of the credit exposure and the availability of objective and verifiable information about the Non-Residential Applicant. The Utility may consider the Non-Residential Applicant's payment and billing history (at least twenty-four (24) months) from other utilities and verifiable conditions, such as: Non-Residential Applicant's credit history with the Utility or independently audited annual and quarterly financial statements. The Utility will treat all financial information provided by the Non-Residential Applicant as confidential to the extent allowed under applicable law and will return or at the request of the Non-Residential Applicant destroy materials after review has been completed. If a Non-Residential Applicant refuses to provide the information above for the Utility to determine their creditworthiness, the Non-Residential Applicant will be deemed uncreditworthy.
- 4.2.4** If the Utility requires a deposit as a condition of providing service, the Utility must: (a) provide written explanation of the facts upon which the Utility based its decision; and (b) provide the Non-Residential Applicant or Customer with an opportunity to rebut the facts and show other facts determining its creditworthiness.
- 4.2.5** Such deposit shall be payable in cash and not less than forty dollars (\$40.00) nor more than an amount equal to the Non-Residential Customer's three (3) highest months' usage based upon the most recent twelve (12) months historical usage or three (3) months of projected usages for a Non-Residential Applicant. If the deposit required is in excess of \$120.00, it may be paid in equal installments over a period not to exceed three months, except where the deposit is required as a result of disconnection of service for nonpayment of bills, in which case full payment of the deposit will be required prior to reconnection. For Non-Residential Customers with multiple accounts, each account will be treated individually for the purposes of this Rule except in the case of bankruptcy under Rule 4.2.2. A Non-Residential Customer with multiple accounts that is assessed a deposit by virtue of delinquent payments on one account, will be assessed a deposit on only the delinquent accounts.
- 4.3** Any deposit held for more than thirty (30) days will earn interest calculated monthly at the authorized rate of interest for the current month from the date the deposit is paid in full to the Utility. The rate of interest will be the same as that established for gas utilities by the Commission in a general administrative order pursuant to 170 IAC 5-1-15(f)(2) for each calendar year.

- 4.4 Deposits and earned interest will be returned after Customer establishes an appropriate credit history with the Utility.
 - 4.4.1 Deposits from Residential Customers and earned interest will be refunded after the Residential Customer has established an acceptable payment record in accordance with the Commission's Rules.
 - 4.4.2 The deposit of any Non-Residential Customer that has been held for two or more years, and earned interest will be refunded after the Non-Residential Customer has established an acceptable payment record in accordance with Rule 4.2.2.
 - 4.4.3 The deposit of any Residential or Non-Residential Customer who fails to establish an acceptable payment record may be retained by the Utility until services are discontinued.
- 4.5 Upon discontinuance of Utility Services, the deposit and earned interest, if any, will be applied to the balance of any outstanding Utility Services bills or unbilled amounts. The remaining unapplied portion, if any, of the deposit and earned interest will be refunded to the Customer. The Customer will be billed for any balance due the Utility. The balance of any deposit and interest, after being applied to any outstanding bills that cannot be returned to the Customer after termination of service, shall be reported and disposed of as required by the Disclaimer of Property Interests Act (Indiana Code 32-17.5 *et seq.*).

5. BILLING AND PAYMENT OF BILLS

- 5.1 The Utility will issue bills to Customers on a Monthly basis for the applicable Utility Services. Bills are payable to the office of the Utility or to an authorized agent within seventeen (17) days from the date mailed. When the seventeenth (17th) day falls on Sunday or a legal holiday, the seventeen-day period shall be considered to end with the next business day.
 - 5.1.1 If payment for a Utility Services bill from a Customer is not received by the Utility or its agent within seventeen (17) days from the date the bill is mailed, the bill shall be considered delinquent. All charges follow the Customer and moving from one Premises to another in no way absolves the Customer from any unpaid charges incurred at a previous location. In the case of leased property, the landlord shall be responsible to the Utility for payment of the bill, even though the tenant may pay it.
 - 5.1.1.1 The Utility may add a late payment charge to a Customer's delinquent Utility Services bill as set forth in Appendix A.
 - 5.1.1.2 A single charge may be made for each visit to the Customer's Premises regarding a delinquent account; such charge to the Customer shall be pursuant to the Delinquent Account Trip Charge reflected on Appendix A.
 - 5.1.1.3 A single charge may be made for handling a single check or electronic payment (e.g., ABD) from a Customer for Utility Services returned unpaid by any financial institution; such charge shall be pursuant to the Returned Check Charge set forth in Appendix A.

6. DISCONNECTION OF SERVICE

6.1 Water and/or Sewage Disposal Service rendered under any application, contract, agreement or otherwise may be discontinued by the Utility without request by the Customer and without notice, and the Utility may remove any of its property from the Customer's Premises without legal process for any one of the following reasons:

6.1.1 Where a condition dangerous or hazardous to life, physical safety, or property exists.

6.1.2 Upon order by any Court, the Commission, or other duly authorized public authority, or upon written instruction by a law enforcement agency acting within its jurisdiction pursuant to Indiana Code 35-45-5-4(c).

6.1.3 A fraudulent or unauthorized use of Sewage Disposal Service is detected and the Utility has reasonable grounds to believe the affected Customer is responsible for such use, including when the Utility has reasonable evidence that a Customer who is indebted to the Utility for Sewage Disposal Service at his present or other location is receiving Sewage Disposal Service under the same or a different name.

6.1.4 Where the Utility's equipment has been tampered with and the Utility has reasonable grounds to believe that the affected Customer is responsible for such tampering.

6.1.5 Detection of a device or scheme that has been used to avoid or attempt to avoid full payment for Sewage Disposal Service as defined by Indiana Code 35-43-5-6.

6.1.6 The Customer fails to meet the terms of the Utility's 24-hour payment arrangement set forth in Rule 6.17.

6.2 Water and/or Sewage Disposal Service rendered under any application, contract, agreement or otherwise may be discontinued by the Utility with notice as provided in this Rule of these Terms and Conditions for Sewage Disposal Service for any of the following reasons:

6.2.1 For failure to protect and maintain the Customer service pipe or other fixtures on the Customer's property in a condition satisfactory to the Utility, and consistent with Rule 21 of these Terms and Conditions for Sewage Disposal Service and the provisions of the Indiana Plumbing Code.

6.2.2 For violation of the Sewage Restrictions set forth in Rules 14, 15 and 16 of these Terms and Conditions for Sewage Disposal Service.

6.2.3 For failure to provide the Utility's employees free and reasonable access to the Premises or property served, or for obstructing the way of ingress to Customer or Utility Sewer laterals, fixtures, or other appliances.

6.2.4 Nonpayment of a delinquent bill.

- 6.2.5** For failure of the Customer to make a cash deposit as provided for in Rule 4 of these Terms and Conditions for Sewage Disposal Service, or failure to pay for the same class of service rendered at a different meter point, residence, or location, provided such bill has remained unpaid for at least forty-five (45) days. A Residential Customer shall not be disconnected for indebtedness incurred for Sewage Disposal Service at a different location if such bill has remained unpaid for less than forty-five (45) days.
- 6.2.6** In case of vacancy of the Premises by the Customer, when no one has assumed responsibility for payment of the bill for Sewage Disposal Service to the Premises.
- 6.2.7** For material misrepresentation in an application as to the Premises or property to be supplied service or type of service to be supplied or failure to report a change in the type of service.
- 6.2.8** When continuation of Sewage Disposal Service to the Customer creates conditions that jeopardize the integrity of the service provided to other Customers.
- 6.3** A Residential Customer may request the Utility notify a predesignated third party of a Utility Service disconnection notice issued to the Residential Customer. Such request shall be made in writing in the form of a Duplicate Notice Protection Plan Enrollment Application. When requested, the Utility shall notify the predesignated third party, by mail, of the pending Utility Service disconnection at the same time the Utility renders the disconnection notice to the Residential Customer as provided. The Utility may restrict the use of the Duplicate Notice Protection Plan to its Residential Customers who are elderly, handicapped, ill, or otherwise unable to act upon a service disconnection notice, as determined by the Utility.
- 6.4** Customers requesting temporary discontinuance of Sewage Disposal Service for repairs within their property will be charged a sum equal to the costs to the Utility for disconnecting and restoring service.
- 6.5** Discontinuance of the water or Sewage Disposal Service to a property or Premises under the provisions of these Terms and Conditions for Sewage Disposal Service shall not prevent the Utility from pursuing any lawful remedy by action at law or otherwise for the collection of moneys due.
- 6.6** The Utility shall postpone the disconnection of water or Sewage Disposal Service for ten (10) days if, prior to the disconnect date specified in the disconnect notice, the Residential Service Customer provides the Utility with a medical statement from a licensed physician or public health official, which states that a disconnection would be a serious and immediate threat to the health or safety of a designated person in the household of the Residential Customer. The postponement of disconnection shall be continued for one additional ten (10) day period upon the provisions of an additional such medical statement.
- 6.7** The Utility may not disconnect water or Sewage Disposal Services to a Residential Customer: (a) Upon his or her failure to pay for the service rendered at a different metered point, residence or location if such bill has remained unpaid for less than forty-five (45) days; or (b) Upon his or her failure to pay for services to a previous occupant of the Premises to be served, unless the Utility has good reason to believe the Customer is attempting to defraud the Utility by using another name; or (c) Upon his failure to pay for a different form or class of Sewage Disposal Service.

- 6.8** The Utility may not disconnect Utility Services to the Residential Customer if he or she shows cause for his or her inability to pay the full amount due (financial hardship shall constitute cause) and (a) the Customer pays a reasonable portion (not to exceed \$10.00 or one tenth of the bill, whichever is less, unless the Customer agrees to a greater portion) of the bill; and (b) he or she agrees to pay the remainder of the outstanding bill within three (3) Months; and (c) he or she agrees to pay all undisputed future bills for service as they become due, and (d) he or she has not breached a similar agreement with the Utility made pursuant to this rule within the past twelve (12) Months. Such agreement shall be put in writing. The Utility may add to the Customer's outstanding bill a Late Payment Charge in the amount prescribed in the schedule set forth in Appendix A.
- 6.9** If a Customer is unable to pay a bill that is unusually large due to (1) prior incorrect reading of the meter, (2) incorrect application of the rate schedule, (3) incorrect connection or functioning of the meter, (4) prior estimates where no actual reading was taken for over two Months, (5) stopped or slow water meter, or (6) any human or mechanical error of the Utility, the Utility shall not disconnect the Customer provided the Customer (a) pays a reasonable portion of the bill, not to exceed an amount equal to the Customer's average bill for the twelve bills immediately preceding the bill in question; (b) agrees to pay the remainder within three months; and (c) agrees to pay all undisputed future bills for service as they become due. Any such agreement shall be put in writing. In case of such an agreement, no late fee shall be assessed.
- 6.10** If a Customer requests a review pursuant to the Commission's Rules, the Utility will disconnect only as provided in Rule 9.3 of these Terms and Conditions for Sewage Disposal Service.
- 6.11** The Utility shall disconnect water or Sewage Disposal Service only between the hours of 8:00 a.m. and 3:00 p.m., prevailing local time. Disconnections pursuant to Rule 6.1 are not subject to this limitation.
- 6.12** The Utility shall not disconnect water or Sewage Disposal Service for nonpayment on any day on which the Utility office is closed to the public, or after 12:00 noon of the day immediately preceding any day when the Utility office is not open to the public.
- 6.13** Except as otherwise provided by these Terms and Conditions for Sewage Disposal Service, water and/or Sewage Disposal Service to any Non-Industrial Customer shall not be disconnected for a violation of these Terms and Conditions for Sewage Disposal Service or for the nonpayment of a bill, except after fourteen (14) days prior written notice to the Customer by either:
- 6.13.1** Mailing the notice to such Residential Customer at the address shown on the records of the Utility; or
 - 6.13.2** Personal delivery of the notice to the Residential Customer or a responsible member of his or her household at the address shown on the records of the Utility. No disconnect notice for nonpayment may be rendered by the Utility prior to the date on which the account becomes delinquent.
 - 6.13.3** To alert the Customers that they are in danger of losing service, disconnection notices mailed or hand delivered to Residential Customers shall be in envelopes that are appropriately marked and distinguishable from envelopes used for other purposes.

- 6.14** The language of a disconnect notice must be clear, concise and easily understandable to a layman and shall state in separately numbered large type or printed paragraphs:
- 6.14.1** The date of the proposed disconnection;
 - 6.14.2** The specific actual basis and reason for the proposed disconnection;
 - 6.14.3** The telephone number of the Utility office at which the Customer may call during regular business hours in order to question the proposed disconnection or seek information concerning his or her rights; and
 - 6.14.4** A reference to these Terms and Conditions for Sewage Disposal Service furnished to the Customer for information as to the Customer's rights, including appropriate website address.
- 6.15** Immediately preceding the actual disconnection of Utility Services, the employee of the Utility designated to perform such function shall make a reasonable attempt to identify himself to the Customer or any other responsible person then upon the Premises and shall make a record thereof to be maintained for at least thirty (30) days.
- 6.16** The employee shall have in his or her possession information sufficient to enable him or her to inform the Customer or other responsible person of the reason for the disconnection, including the amount of any delinquent bill of the Customer, and shall request from the Customer any available verification that the outstanding bill has been satisfied or is currently in dispute pursuant to review under the Commission's Rules. Upon the presentation of such credible evidence, service shall not be disconnected.
- 6.17** Through its employee, the Utility may offer the Utility's 24-hour payment arrangement as an alternative to disconnection. Upon presentation of satisfactory evidence, or acceptance by the Customer or other responsible party of the Utility's 24-hour payment arrangement, Utility Services will not be disconnected. The Utility employee is not required to offer the Utility's 24 hour payment arrangement as an alternative to disconnection. When the employee has disconnected the service, the employee will give to a responsible person at the Residential Customer's Premises, or if no one is at home, will leave at an entry way on the Premises, a notice stating that service has been disconnected and the telephone number of the Utility where the Customer may arrange to have service reconnected.
- 6.18** When the employee has disconnected Utility Services, he or she shall give a responsible person on the Premises, or if no one is at home, shall leave at a conspicuous place on the Premises, a notice stating which Utility Services have been disconnected and stating the address, telephone number and business hours of the Utility where the Customer may arrange to have the Utility Services reconnected.
- 6.19** Restoration of Sewage Disposal Service or reconnection of a Customer Sewer lateral connection will be made at the Utility's discretion as soon as reasonably possible but at least within five (5) working days after requested if conditions permit after the Customer has:
- 6.19.1** Paid all unpaid bills for Sewage Disposal Service;
 - 6.19.2** Made a required deposit to ensure future payment of Sewage Disposal Service bills;

- 6.19.3 Reimbursed the Utility the greater of a) any labor, material and associated restoration costs involved in reconnecting Sewage Disposal Service or b) the Reconnection Charges are set forth in Appendix A); and
- 6.19.4 Corrected any condition found in violation of any applicable provision of these Terms and Conditions for Sewage Disposal Service.

7. METERS

7.1 The Utility shall measure usage and bill Industrial Customers in the following manner:

- 7.1.1 The Utility may require any Industrial Customer to construct at the Industrial Customer's own expense, facilities to allow inspection, sampling and flow measurement and may also require sampling or metering equipment to be provided, installed and operated at the Industrial Customer's expense. When required by the Utility any Industrial Customer served by a building sewer carrying industrial wastes shall install a suitable control manhole in the building sewer to facilitate observation, sampling and measurement of the wastes. Such manhole, when required, shall be accessible and safely located and shall be constructed in accordance with plans approved by the Utility is Rule 19.5. The manhole shall be installed so as to be safe and accessible at all times.
- 7.1.2 To the extent the Utility does not require installation of metering equipment as provided in Rule 7.1.1, each Industrial Customer shall report to the Utility by the twenty-fifth (25th) day of the following Month on a form prescribed by the Utility an estimate of the volume discharged in the prior Month and a representative value of the strength of the waste including, but not limited to, BOD, SS and NH₃-N, unless alternate reporting procedures are otherwise specified in writing by the Utility. All measurements, tests and analyses of the characteristics of such waste shall be determined in accordance with the latest edition of "Standard Methods for the Examination of Water and Sewage" as published jointly by the American Public Health Association and the Water Pollution Control Federation consistent with 40 CFR Part 136 or by other methods generally accepted under established sanitary engineering practices and approved by the Utility. The reports submitted shall be subject to verification by the Utility but may serve as the basis for billing with all necessary adjustments in the amounts to be made after verification. In the event an analysis and volume of the industrial waste are not furnished to the Utility by the aforementioned time, the charges shall be based upon estimates made by the Utility, in the manner provided in Rule 5.2.2.
- 7.1.3 In the event that a self reporting Industrial Customer described in Rule 7.1.2 fails to submit the report required by Rule 7.1.2 by the twenty-fifth (25th) day of the following Month, the Industrial Customer shall pay late reporting charges according to the schedule set forth in Appendix A.

- 7.1.4** The Utility shall have the right to enter upon the land of any Industrial Customer to access the control manhole and to set up such equipment as is necessary to certify the reports submitted. It shall be the duty of the Industrial Customer to provide all necessary clearance before entry and not to unnecessarily delay or hinder the Utility in carrying out the measuring and sampling. The right of entry shall exist during any time the Industrial Customer is operating or open for business. In the event that a control manhole has not been required, the control manhole shall be considered to be the nearest downstream manhole in the public sewer to the point at which the building sewer is connected.
- 7.1.5** In cases where measurements are difficult to make, or the industrial waste composition changes frequently, or representative samples are difficult to get, or where other methods of measurement are necessitated for other sound engineering reasons as determined by the Utility, the Utility shall have the authority to use such other basis for determining such charges as shall be reliably indicative of volume and BOD, SS and NH₃-N strengths of particular industrial waste, such as, but not limited to, water purchase or usage, character of products, comparisons between the Industrial Customer data and collected data from like industries.
- 7.1.6** The cost of all tests, measurements and analyses taken by the Utility pursuant to the above Rules or otherwise shall be charged to the Industrial Customer tested in an amount equal to the actual average cost of such test, measurement or analysis as determined at the close of each Year. These costs shall be due and payable as provided in Rule 5.1.
- 7.1.7** No statement contained herein shall be construed as preventing any special agreement or arrangement between the Utility and any Industrial Customer whereby an industrial waste of unusual strength or character may be accepted by the Utility for treatment, subject to payment by the Industrial Customer pursuant to a special agreement which has been approved by the Commission.
- 7.2** The Utility may make adjustments to bills for Sewage Disposal Service as described below:
- 7.2.1** If any meter, on which a Sewage Disposal Service bill is based, shall be found to have a percentage of error greater than two percent (2%), the following provisions for the adjustment of bills shall be observed:
- 7.2.1.1** When a meter is found to have a positive average error, *i.e.*, is fast, in excess of two percent (2%), the Utility shall refund or credit the Customer's account with the amount in excess of that determined to be an average charge for one-half of the time elapsed since the previous meter test, or one (1) year, whichever period is shorter. This average charge shall be calculated on the basis of units registered on the meter over corresponding periods either prior or subsequent to the period for which the meter is determined to be fast. No part of a minimum service charge shall be refunded.

- 7.2.1.2** When a meter is stopped or has a negative average error, *i.e.*, is slow, in excess of two percent (2%), the Utility will charge the Customer an amount estimated to be an average charge for one-half of the time elapsed since the previous meter test or one (1) year, whichever period is shorter. The average charge shall be calculated on the basis of units registered on the meter over corresponding periods either prior or subsequent to the period for which the meter is determined to be slow or stopped. Such action may be taken only in cases where the Utility is not at fault for allowing the stopped or slow meter to remain in service.
- 7.2.1.3** In the event the Customer's service is interrupted for a reason other than the act of the Customer or the condition of Customer-controlled equipment, and the service remains interrupted for more than two (2) days after being reported or found to be out of order, appropriate adjustments or refunds may be made to the Customer.
- 7.2.2** When an error is discovered in any billing or when billing is omitted, the Utility may adjust such error to the known date of error, but in any event within not more than twelve (12) Months from the date of such billing.
- 7.2.3** Upon detecting a device or scheme which has been utilized to avoid or attempted to avoid full payment for Sewage Disposal Service, the Utility may, after estimating the volume of waste:
- 7.2.3.1** Immediately disconnect water or Sewage Disposal Service without notice pursuant to Rule 6.1.3.
- 7.2.3.2** Bill and demand immediate payment from the person benefiting from such device or scheme the actual cost of the volume of waste, corrections and repairs, or two hundred dollars (\$200.00), whichever is more.
- 7.2.3.3** Bill any and all damages as provided by Indiana Code 34-24-3-1 et seq. based upon the Utility's reasonable and customary estimate thereof.
- 7.2.4** Where a metered water supply is used for fire protection as well as for other uses, the Utility may, at its sole discretion, make adjustments in the Sewage Disposal Service charge as may be equitable. In such cases the burden of proof as to the type of water usage shall be upon the Customer. Where a metered water supply is used for fire protection only, the sewer user charge shall not apply.

8. UTILITY LIABILITY

- 8.1** The Utility shall not be liable for damages of any kind or character for any deficiency or failure of Sewage Disposal Service, for the blockage or breaking or Sewer overload of any collection Sewer, wherever located, for any deficiency in any Utility or Customer lateral, attachment or fixtures to any collection Sewer, or any other facility used by the Utility, or for any other interruption of Sewage Disposal Service caused by breaking of machinery, stopping for repairs or for any reason or occurrence beyond the reasonable control of the Utility. The Utility shall not be liable for any damage to any property caused by any of the foregoing reasons or for any other cause beyond the reasonable control of the Utility.

- 8.2 The Utility shall not be held liable for any failure or delay in performing any of the things undertaken by it under any service contract when such failure or delay is caused by strike, acts of God, unavoidable accident, or other contingencies beyond its control, and in no manner due to its fault, neglect, or omission. Nor shall Utility be liable for damage caused by interruption in, or failure of service, or by sewage disposal escaping from piping on Customer's property.
- 8.3 The Utility shall not be liable for the failure, interruption or malfunction, including backup, of its system and service caused by flood, earthquake, high water, war, riot, or civil commotion, vandalism, acts of others, or acts or failure of action of any local governmental authority to enforce or provide proper surface drainage or ditches for surface runoff, or other circumstance over which Utility has no control, where the Utility has used reasonable care in installing and maintaining its system in accordance with acceptable standards in the sewer utility business.

9. COMPLAINTS AND REVIEW

- 9.1 Complaint. A Customer may complain at any time prior to disconnection to the Utility about any Utility Services bill, a security deposit, a disconnection notice, or any other matter relating to the Utility Services and may request a conference about such matters. The complaints may be made in person, in writing, or by completing a form available from either the Commission or from the Utility at its business office located at 2020 N. Meridian Street,

Indianapolis, Indiana 46202, or the Utility's website at www.citizensenergygroup.com. A complaint shall be considered filed upon receipt by the Utility, except mailed complaints shall be considered filed as of the postmark date. In making a complaint or requesting a conference (hereinafter "complaint"), the Customer shall state his/her name, service address and the general nature of his/her complaint. The Utility will continue Utility Services to Customer pending disposition of a complaint.

- 9.2 Investigation of Complaint and Notification of Proposed Disposition. Upon receiving each such complaint, the Utility will investigate the matter, confer with the Customer when requested and notify him/her, in writing, of its proposed disposition of the matter. Such written notification will advise the Customer that he/she may, within seven (7) days following the date on which such notification is mailed, request a review of the Utility's proposed disposition by the Commission. If the Customer requests a special Meter reading, the first reading of the Customer's Meter by the Utility during its investigation shall not be subject to the charge for a special Meter reading prescribed in the Utility's Rate Schedules. Subsequent readings, however, if requested by the Customer, will be subject to the charge.
- 9.3 Service During Review of Complaint. If the Customer is receiving Utility Services at the time the complaint is received by the Utility, his/her Utility Services will not be disconnected until at least ten days after the date on which the Utility mails the notification of its proposed disposition of the matter to the Customer.

If the Customer desires review of the Utility's proposed disposition, he must submit a written request to the Commission within seven days after the mailing by the Utility of its proposed disposition of the matter. In the event that the Commission supports the Utility's proposed disposition of the matter, the Utility will not disconnect the Customer's Utility Services, except as provided under these Rules.

- 9.4 **Record of Complaints.** The Utility's record of complaints under this rule will be available during normal business hours (as set forth on www.citizenswater.com) upon request by the concerned Customer, his agent possessing written authorization, or the Commission.
- 9.5 This rule does not preclude the right to file a complaint with the Commission as permitted by the Commission's rules and/or by statute.

10. MAIN EXTENSIONS

10.1 DEFINITIONS

The following terms as used in Rule 10 of these Terms and Conditions for Sewage Disposal Service have the following meanings:

- 10.1.1 "Applicant" means a Person requesting the Main Extension in order to receive sewer utility service from the Utility.
- 10.1.2 "Completion Date of the Main Extension" means the date the Utility declares the Main Extension to be in service and releases it for Taps.
- 10.1.3 "Cost of Connecting" means the average of the Utility's costs for the same size service connection incurred during the preceding calendar year including, if provided by the Utility, the Service Pipe, Tap, and installation thereof or portions thereof; however, the Cost of Connecting shall not be applicable under Rule 10 of these Terms and Conditions for Sewage Disposal Service for those portions of such cost recovered from an Applicant by the Utility in the form of a Tap or similar charge.
- 10.1.4 "Cost of the Main Extension" means the cost of installing the Main as determined in Rules 10.5 through 10.7 of these Terms and Conditions for Sewage Disposal Service.
- 10.1.5 "Customer" means a Person being supplied with sewer utility service.
- 10.1.6 "Deposit" means the amount required to be deposited by or on behalf of each Applicant or Prospective Customer for a Main Extension prior to the Utility commencing construction of the Main Extension.
- 10.1.7 "Estimated Annual Revenue" for an Applicant connecting to the Main means the Utility's average annual revenue per applicant from comparable Customers in the calendar year preceding such connection, adjusted to reflect any changes in the applicable rates and charges of the Utility for such service.
- 10.1.8 "Frontage" means the footage, ten (10) feet minimum length, of a Lot or tract (but not an easement) boundary that is parallel to or curvilinear to, and immediately adjacent to a Main Extension in a Public Thoroughfare or easement.
- 10.1.9 "Immediate Revenue Allowance" means the amount of three (3) times the Estimated Annual Revenue less the Cost of Connecting for an Applicant.

- 10.1.10** “Lot” means a parcel of land as platted, or if the area to be served is not platted, the equivalent of a parcel of land as determined in accordance with Rule 10.4 of these Terms and Conditions for Sewage Disposal Service.
- 10.1.11** “Main” means a pipe owned by the Utility that connects to Service Pipes for transmitting sewage effluent.
- 10.1.12** “Main Extension” means the Mains and appurtenances installed by the Utility to provide the sewer utility service requested by or on behalf of the Applicant or Prospective Customer, but does not include the Service Pipes.
- 10.1.13** “Original Depositor” means an Applicant who enters into a Main Extension agreement and makes a Deposit with the Utility.
- 10.1.14** “Person” means an individual, firm, corporation, governmental agency, or other entity.
- 10.1.15** “Prospective Customer” means a Person who is not an Original Depositor, but whose Lot or Frontage directly abuts the Main Extension between its original beginning and its original end point.
- 10.1.16** “Public Thoroughfare” means a road, street, or way that has been dedicated for use by the public and accepted by the appropriate governmental authority.
- 10.1.17** “Refund” means the Subsequent Connector's Fees, Subsequent Connector's Revenue Allowances, and Revenue Allowances from Depositor-Authorized Connections of Lots included in the Original Depositor's Main Extension agreement that must be paid by the Utility to the Original Depositor for ten (10) years after the Completion Date of the Main Extension.
- 10.1.18** “Revenue Allowance from Depositor-Authorized Connection” means the amount of three (3) times the Estimated Annual Revenue less the Cost of Connecting that the Utility may refund to Original Depositor for connections for Lots or unplatted areas owned, controlled, or designated by the Original Depositor and does not include an Immediate Revenue Allowance.
- 10.1.19** “Service Pipe” means a sanitary sewer line leading directly from the Premises to the Main adjacent to such Premises.
- 10.1.20** “Subsequent Connector” means a Person who was not an Original Depositor but subsequently applies for sewer service and who connects to the Main within ten (10) years after the Completion Date of the Main Extension.
- 10.1.21** “Subsequent Connector's Fee” means the cash fee equal to the cost per lot of the Main Extension determined in accordance with Rules 10.4 through 10.6 of these Terms and Conditions for Sewage Disposal Service, multiplied by the number of Lots for which service is requested.

10.1.22 “Subsequent Connector's Revenue Allowance” means three (3) times the Estimated Annual Revenue for the Subsequent Connector less the Cost of Connecting.

10.1.23 “Tap” means a fitting owned by the Utility and inserted by it into a Main to which a Service Pipe is attached.

10.1.24 “Total Required Deposit” means the amount by which the Cost of the Main Extension exceeds the Immediate Revenue Allowance for the Original Depositor.

10.2 WRITTEN AGREEMENT

Persons desiring Main Extensions shall apply therefore in writing to the Utility. All Main Extensions require a prior written agreement between the Utility and the prospective Customer or Customers, who shall contract to connect to the Main within nine months after the completion date of the Main Extension and receive service from the Main Extension for a period not less than three years.

10.3 FREE EXTENSION

The Utility shall extend a Main and connect the Applicant free of charge to provide the service requested if:

10.3.1 The Cost of the Main Extension does not exceed the Immediate Revenue Allowance for the Applicant; and

10.3.2 The Applicant agrees to take service within nine (9) months following the Completion Date of the Main Extension.

10.4 MAIN EXTENSION; EXCEPTION TO COMMISSION APPROVAL

If the Cost of the Main Extension is greater than the free extension cost, that extension shall be made, upon receipt by the Utility of a signed agreement and a Deposit from the Applicant, without specific approval by the Commission.

10.5 EXTENSION EXCEPTION

The Utility shall not be required to make Main Extensions unless the Applicants to be initially served by those extensions contract to use the service for a period of three (3) years. A bond may be required of the Applicant in this situation.

10.6 SPECIAL CONTRACT

The Utility may require a special contract when: (a) the requested Main Extension is of such length and the prospective business to be developed by it is so meager as to make it doubtful whether the business from the extension would ever pay a fair return on the Utility investment involved in such extension; (b) the prospects are that the patronage and demand will not be of such permanency as to warrant the capital expenditure involved; (c) there are industrial installations requiring extensive sewer utility investment and where the demand for sewer service is expected to be slight, irregular, or of unknown quantity; or (d) there are other abnormal or extraordinary circumstances.

10.7 MAIN EXTENSION ROUTE

- 10.7.1** The Utility shall use good engineering and sewer utility practices in determining the route for all Main Extensions. Any facilities installed in connection with Main Extensions shall become the property of the Utility.
- 10.7.2** The Utility shall determine the total length of the Extension from its existing Main to serve the extension to the end of the Lot or Frontage of the most remote Applicant to be served.
- 10.7.3** If the end Lot or Frontage is a corner Lot or Frontage abutting an intersecting street in which no Main is located, the end of the new extension may not extend beyond the intersecting street corner of that Lot.
- 10.7.4** If the street in which the Main is to be laid dead ends in a cul-de-sac or appears to be permanently dead ended against a railroad, creek, river, or other major physical or natural barrier, the end point of the Main Extension, if serving the most remote Lot or Frontage, shall be the point of the most remote Service Pipe connection, which connection point shall be at least ten (10) feet beyond the Lot line.

10.8 NUMBER OF LOTS SERVED BY MAIN EXTENSION

A determination shall be made of the number of Lots to be served by the Main Extension. The determination may include only Lots that directly abut the Main Extension between its original beginning and its original end point. If any part of the Main Extension is located within an area platted or to be platted, the number of Lots shown within the plat to be served shall be included in the determination. If any part of the Main Extension is located in an unplatted area, the number of Lots to be included shall be determined by dividing the total Frontage of the Main Extension within the unplatted area on either or both sides of the Public Thoroughfare or easement in which the Main is located by one hundred (100) feet and rounded to the nearest whole number of Lots, provided either or both sides are available for future development and not restricted against usage because of limited access or other reasons. The determination of the number of Lots for a particular extension may include a combination of platted and unplatted Lots as defined in this Rule. Any further Main Extension subsequently connected to the original Main Extension shall, for all purposes under Rule 10 of these Terms and Conditions for Sewage Disposal Service, constitute a separate Main Extension.

10.9 MAIN EXTENSION COST

- 10.9.1** The Cost of the Main Extension may, as determined by the Utility, be either:
- 10.9.1.1** The estimated cost of the extension; or
 - 10.9.1.2** The actual cost of a developer-installed extension.
- 10.9.2** For any special construction, or for any other facility involved in a Main Extension, the cost shall be the Utility's best estimate of the cost of the Main, special construction, or related facilities based upon current available information.

- 10.9.3** If the Utility's future extension plans require a larger Main than is reasonably necessary to serve the Applicants and Prospective Customers, the difference in the cost for the larger Main size and increased material and installation cost, if any, shall be borne by the Utility.
- 10.9.4** The estimated cost shall be adjusted to the actual cost by the Utility, in which event the actual cost as finally determined shall constitute the Cost of the Main Extension. If the Main Extension agreement provides for the adjustment of the estimated Cost of the Main Extension to the actual cost, the adjustment shall be made upon completion of the Main Extension. If the actual cost of the extension is less than the estimated cost, the Utility shall refund the difference to the Original Depositor as soon as the actual cost has been determined. If the actual cost of the extension exceeds the estimated cost, then the Utility shall bill the Original Depositor for, and such depositor shall pay, the difference between the estimated cost and the actual cost.
- 10.9.5** For the Main Extension, the Applicant shall be required to pay the Cost of the Main Extension, and the full gross-up any applicable state and federal taxes associated with the cost of the extension and the Applicant shall receive Refunds as provided in Rule 10.9 of these Terms and Conditions for Sewage Disposal Service.

10.10 COST PER LOT

The cost per lot shall be determined by:

- 10.10.1** The total number of Lots to be served by the Main Extension divided into the Cost of the Main Extension; or
- 10.10.2** The Cost of the Main Extension shall be divided proportionately on the basis of respective Lot Frontage for all Lots to be served by the Main Extension.

10.11 TOTAL REQUIRED DEPOSIT

- 10.11.1** The Total Required Deposit for a Main Extension may either be made in a cash payment or it may be secured by an irrevocable letter of credit acceptable to the Utility and issued by a national banking association or a bank chartered under the laws of the state. The Deposit may also be secured in any other manner that is mutually acceptable to the parties and that guarantees payment of the Deposit immediately upon completion of the Main Extension.
- 10.11.2** If permitted by the Utility, the Main Extension may be installed by the developer or the developer's contractor according to the extension and installation policies of the Utility, and the actual cost of the developer-installed extension shall be considered the Total Required Deposit.
- 10.11.3** The Utility may allocate, or permit Original Depositors to allocate, the Total Required Deposit on the basis of the number of Lots, the respective Lot Frontage, or any other basis mutually acceptable to the Original Depositors.

10.12 SUBSEQUENT CONNECTOR FEE

- 10.12.1** Within ten (10) years after the Completion Date of the Main Extension, the Utility shall not permit a Subsequent Connector to connect to a Main Extension until after the Subsequent Connector has paid the required Subsequent Connector's Fee to the Utility.
- 10.12.2** Applicants for service connections for Lots in subdivision and tract developments that are included in the Original Depositor's Main Extension agreement, are not required to pay a Subsequent Connector's Fee, unless otherwise specifically provided for in the Main Extension agreement.
- 10.12.3** If a Prospective Customer with Frontage land that was unplatted on one (1) or both sides of the street at the time the Main Extension was installed later subdivides this Frontage prior to the expiration of the ten (10) years after the Completion Date of the Main Extension in such a manner that some or all Lots will not require service directly from that Main Extension, the Customer is considered to have requested another extension from that Main Extension to serve the Customer's land. The Utility in that case shall collect from the Prospective Customer prior to installing the requested second extension, a Subsequent Connector's Fee for each equivalent Lot of the Frontage land used in determining the Main Extension cost per lot and which will not be served directly by the original Main Extension.

10.13 REFUNDS

- 10.13.1** Refunds shall be paid for a period of ten (10) years after the Completion Date of the Main Extension to the Original Depositor in proportion to the respective Deposits. A Deposit shall be held by the Utility as a Customer's advance for construction. Any Deposit that is not subject to refund because of the running of the ten (10) year period shall be transferred by the Utility to contributions in aid of construction.
- 10.13.2** However, no Refunds shall be required to be made by the Utility until the number of Customers actually connected to the Main Extension equals the number of Applicants for which an Immediate Revenue Allowance was included in computing the Total Required Deposit for the Main Extension. The Refunds shall be paid annually or more frequently at regular intervals at the discretion of the Utility.
- 10.13.3** Total Refunds to any Original Depositor shall not exceed the amount of the original Deposit except in the case of a phased residential real estate development. In this situation the preliminary plat must be submitted to the Utility at the time of the first request for a Main Extension. During the ten (10) year period beginning with the completion date of the first Main Extension, the amount of any Refunds generated in excess of the Deposit made on any phase of the development must be applied against the Deposit made for any other phase of the development, so long as the total amount of Refunds to the Original Depositor shall not at any time exceed the total amount of his Deposits during the period. The Utility shall not require any Subsequent Connector's Fee that is in excess of the unrefunded balance of the aggregate of Deposits received from all Original Depositors.

10.13.4 The Refund shall be made by mailing the payment to the Original Depositor's last known address as shown on the books and records of the Utility. Any Refund distribution that cannot be returned to an Original Depositor after the Refund becomes due and payable must be reported as required by Indiana Code 32-17.5, et seq.

10.14 BASIS FOR COST

If the applicant is required to make any payment, the utility shall, upon request, make the following available to the applicant:

- (1) The information used to establish the basis for the cost of the main extension.
- (2) The information used to establish the basis for the estimated annual revenue for a period of three (3) years to be realized by the utility from permanent and continuing customers on main extensions as required by this rule.

11. SEWER CONSTRUCTION REQUIREMENTS

11.1 Except for Building Sewers serving single- or double-family residences (i.e. stand alone) or single-owner industrial facilities, connection permits will not be issued by the Utility for Building Sewers exceeding six hundred (600) feet in length as measured from the outside of the building to the center of the Public Sewer, unless the Sewer is constructed in a dedicated easement or right-of-way. No more than one hundred (100) feet of a Building Sewer shall exist within a public right-of-way.

11.2 No more than one (1) building will be permitted to connect to a Building Sewer. Sewers with more than one (1) connection must be constructed as a Public Sewer in a dedicated easement, unless the Utility determines that an exception is justified.

11.3 It shall be the responsibility of the property owner(s) whose property is benefitted to provide for, install and make private connections for the use of their Premises to an existing Public or Building Sewer. As further provided in Rule 21 of these Terms and Conditions for Sewage Disposal Service, it shall be the responsibility of the owner to make all necessary repairs, extensions, relocations, changes or replacements thereof, and of any accessories thereto.

12. MANDATORY INSPECTION AND RIGHT OF ENTRY

12.1 Upon completion of the work described in a permit, it shall be the duty of the permit holder to notify the Utility that the work is available for inspection before backfilling the Building Sewer trench. The Utility will conduct inspections on Building Sewer connections from 8:00 a.m. to 3:00 p.m., local time, Monday through Friday, except for observed holidays. The Building Sewer, in its entirety from the foundation to the connection with the Public Sewer or existing lateral, must be exposed for inspection and be properly bedded in accordance with the Utility's standard specifications to one-half (½) the diameter of the Building Sewer.

It is further the duty of the permit holder to install safety barricades, fences or other safety measures while waiting for an inspection. The permit holder may backfill the Building Sewer trench if the Utility has not made an inspection within a twenty-four (24) hour period after notice has been given to the Utility. In the event the Building Sewer is not completed and ready for inspection upon the inspector's arrival or if the notification is made after 1:00 p.m., local time, Monday through Friday, the permit holder shall make the Building Sewer and connection available for a four (4) hour period on the following Utility work day. An inspection may be waived with or without conditions with the approval of the Utility.

- 12.2** The Utility shall have the right of entry to, upon or through any Premises for purposes of inspection of Sewer work and any other construction activity performed on or associated with the connection of the Building Sewer to the Sewage Disposal System including inspection for clear water discharges into the Sewage Disposal System.
- 12.3** An Industrial Customer shall permit periodic inspection by the Utility, to take place while the Industrial Customer is operating or open for business to allow the Utility to determine that the Industrial Customer is not discharging effluent that would cause a violation of the Utility's permits or the permits of an entity ultimately treating the Industrial Customer's waste. The Utility shall provide reasonable notice of such inspection. However, if the Utility has reason to suspect or believe that a discharge poses an immediate threat of Upset, Interference or a Pass-Through, the Utility reserves the right to access outside normal business hours.

13. INTERRUPTION OF SERVICE

- 13.1** Whenever the service is intentionally interrupted for any purpose, except in emergencies, such interruption shall be made during regular working hours of the Utility and at a time to cause the least inconvenience to Customers. Customers who will be affected by such interruption shall, to the extent practical, be notified in advance of the interruption of service.

14. PROHIBITION AGAINST CLEAR WATER DISCHARGES

- 14.1** Except as specifically provided in this Rule, no person shall cause or allow the connection of a Building Sewer to the Sewage Disposal System or other Building Sewer when such Building Sewer has any of the following sources of clear water connected to it:
- 14.1.1** Foundation/footing drains;
 - 14.1.2** Sump pumps with Foundation Drains connected;
 - 14.1.3** Roof drains;
 - 14.1.4** Heat Pump Discharge;
 - 14.1.5** Cooling Water; or
 - 14.1.6** Any other sources of clear water.

14.2 In addition to any other provision provided herein, any person found violating any provision listed in Rule 14.1 above may be required to correct such connections at his expense.

14.3 In the event an industrial or commercial entity finds it necessary to discharge clear water consisting of Cooling Water and/or steam condensate into the Sewage Disposal System and the Sewage Disposal System has capacity to receive such clear water without affecting existing or future Customers, the Utility may enter into an agreement for such discharge that will define a merging system and any other requirement deemed necessary to measure the flow. The rate for such discharge shall be calculated as set forth in the Utility's applicable Rate Schedules.

15. DEWATERING DISCHARGE

15.1 No person shall discharge the water resulting from dewatering activity to the Sewage Disposal System, whether such activity is temporary or permanent, without a valid connection permit issued by the Utility. As a condition to the issuance of a permit, the Applicant shall install, maintain and operate at the Customer's expense a metering device to measure the flow associated with such discharge.

15.2 Based upon the volumes determined by the measurements, the Customer will be charged appropriate fees as set forth in the Utility's applicable Rate Schedules.

15.3 The Customer shall be required to submit Monthly reports, subject to verification by the Utility, to serve as the basis for billing, with any necessary adjustments in the amount made after verification.

16. SEWAGE RESTRICTIONS

16.1 No person shall discharge or cause to be discharged into any sanitary Sewer any wastewater or Pollutants, which cause, threaten to cause or are capable of causing, either alone or by interaction with other substances:

16.1.1 Fire or explosion hazard;

16.1.2 Corrosive structural damage to the Sewage Disposal System, but in no case water with a pH lower than 5.0 or higher than 9.0;

16.1.3 Obstruction to the flow in the Sewers or other disruption to the proper operation of the Sewage Disposal System;

16.1.4 An Interference; or

16.1.5 A Pass-through.

- 16.2** No person shall discharge or cause to be discharged any of the following described waters or wastes into any sanitary Sewer:
- 16.2.1** A Slug or a flow rate and/or Pollutant discharge rate which is excessive over a relatively short time period so that there is a treatment process Upset and subsequent loss of treatment efficiency;
 - 16.2.2** Heat in amounts which will inhibit biological activity at the wastewater treatment plant but in no case greater than sixty-five (65) degrees centigrade (one hundred fifty (150) degrees Fahrenheit) or heat in such quantities that the temperature at the wastewater treatment plant exceeds forty (40) degrees centigrade (one hundred four (104) degrees Fahrenheit);
 - 16.2.3** Waste products of septic tanks, cesspools, dry wells, privies, or other waste disposal systems;
 - 16.2.4** Any wastewater containing toxic Pollutants or any discharge which could result in toxic gases, fumes or vapors in sufficient quantity, either singly or by interaction with other Pollutants, to injure or interfere with any wastewater treatment process, constitute a hazard to humans or animals, create a toxic effect in the receiving waters of the Sewage Disposal System, or to exceed applicable categorical pretreatment standards;
 - 16.2.5** A wastewater with a closed cup flash point of less than one hundred forty (140) degrees Fahrenheit or any liquids, solids or gases which, by reason of their nature or quantity, are or may be sufficient, either alone or by interaction with other substances, to cause fire or explosion or be injurious or hazardous in any other way to the Sewage Disposal System or to the operation of the wastewater treatment plant. At no time shall a discharge cause a reading on a meter capable of reading L.E.L. (lower explosive limit) to be greater than ten (10) percent at the point of discharge to the Sewage Disposal System or at any point in the Sewage Disposal System;
 - 16.2.6** Any noxious or malodorous liquids, gases or solids which, either singly or by interaction with other wastes, are sufficient to create a public nuisance or hazard to life or are sufficient to prevent entry into the Sewers for maintenance and repair;
 - 16.2.7** Solid or viscous substances and/or other Pollutants which may cause obstruction to the flow in a Sewer or other Interference with the operation of the Sewage Disposal System such as, but not limited to, grease, Garbage other than Properly Shredded Garbage, animal guts or tissues, paunch manure, bones, hair, hides or fleshings, entrails, whole blood, feathers, ashes, cinders, sand, spent lime, stone or marble dust, metal, glass, straw, shavings, grass clippings, rags, spent grains, spent hops, wastepaper, wood, plastics, tar, asphalt residues from refining or processing of fuel or lubricating oil, mud or glass grinding or polishing wastes, or tumbling and deburring stones;

- 16.2.8** Any substance that may cause the Sewage Disposal System's effluent or any other product of the wastewater works such as residues, sludges or scums to be unsuitable for reclamation and reuse or to interfere with the reclamation process. In no case shall a substance discharged to the Sewage Disposal System cause the Sewage Disposal System to be in noncompliance with sludge use or disposal criteria, guidelines or regulations developed under section 405 of the Federal Water Pollution Control Act;
- 16.2.9** Any substance that will cause the Sewage Disposal System to violate its NPDES permit or the receiving stream's water quality standards;
- 16.2.10** Any wastewater with objectionable color not removed in the treatment process, such as, but not limited to, dye wastes, inks and vegetable tanning solutions;
- 16.2.11** Any wastewater containing Radioactive Material above limits contained in regulations, licenses or orders issued by the appropriate authority having control over their use. The disposal of any licensed Radioactive Material must meet applicable local, state or federal requirements;
- 16.2.12** Any wastewater containing a total petroleum hydrocarbons concentration as determined by a procedure deemed appropriate by the Utility in excess of two hundred (200) mg/l. This limitation shall apply at the point of discharge to the Sewage Disposal System and is the maximum concentration allowed in any single grab sample collected from the waste stream;
- 16.2.13** Any gasoline, kerosene, naphtha, benzene, toluene, xylene, ethers, alcohols, ketones, aldehydes, peroxides, chlorates, perchlorates, carbides, hydrides, stoddard solvents, sulfides, epoxides, esters, amines, polynuclear aromatic hydrocarbons, pyridines, new and used motor oil, or antifreeze, except at concentrations that do not exceed levels of such substances that are routinely present in the normal wastewater discharge and do not otherwise violate the conditions of an industrial discharge permit or a special agreement; or
- 16.2.14** Polychlorinated biphenyls (PCBs) in any detectable concentrations.
- 16.2.15** Any waters or waters containing strong acid iron pickling wastes, or concentrated plating solutions whether neutralized or not.
- 16.2.16** Unusual concentrations of inert, suspended solids (such as but not limited to Fullers earth, lime slurries and lime residues), or dissolved solids (such as but not limited to sodium chloride and sodium sulfate).

- 16.3** No person shall discharge or cause to be discharged a wastewater that has a twenty-four-hour composite value in excess of the values shown below:

Parameter	Instantaneous Grab Sample (mg/l)	(Composite Samples) Daily Maximum Concentration (mg/l)	(Average of Composite Samples) 30 Day Average Concentration (mg/l)
Cyanide (Amendable)	0.40	0.20	0.08
Cyanide (Total)	1.28	0.64	0.24
Chromium (V1)	0.50	0.25	0.09
Chromium (Total)	8.4	4.2	1.6
Copper	4.0	2.0	1.0
Nickel	7.2	3.6	1.8
Zinc	6.8	3.4	1.5
Lead	1.6	0.8	0.4
Cadmium	2.0	1.0	0.5
Tin	4.0	2.0	1.0
Fluoride	60.0	30.0	30.0

- 16.4** The limitations set forth in Rule 16.3 apply at the point of discharge to the Sewage Disposal System. The limitations for amenable cyanide, total cyanide and phenols apply to twenty-four-hour composite samples only in those cases where the composite sample is preserved according to EPA approved methods prior to collection. Otherwise, the values set forth for amenable cyanide, total cyanide and phenols or, with the approval of the Utility, any other listed Pollutants shall apply to an instantaneous grab sample taken during prevailing discharge conditions and representative of the facility's discharge in general. The limitations and requirements imposed in Rules 16.1 and 16.2 apply at the point of discharge to the Sewage Disposal System unless specified otherwise.
- 16.5** No Customer shall change substantially the character or volume of the Pollutants discharged to the Sewage Disposal System without prior notification to the Utility.

17. REQUIRED INSTALLATION OF FOOD WASTE DISPOSER

17.1 Except as hereafter provided, no person shall discharge or cause to be discharged any of the following described waters or wastes into any sanitary Sewer: any Garbage that has not been properly shredded through a disposal unit or other shredding device, with no particle greater than one-half (1/2) inch in any dimension.

18. GREASE INTERCEPTOR

18.1 A grease interceptor shall be installed in the waste line leading from sinks, drains and other fixtures or equipment in restaurants, cafes, lunch counters, cafeterias, bars and clubs, hotels, hospitals, factories or school kitchens; or other establishments where grease may be introduced into the drainage or sewage system in quantities that can affect line stoppage or hinder sewage treatment. The characteristics, size and method of installation of the grease interceptor shall meet the requirements imposed by the Indiana Fire Prevention and Building Safety Commission and shall be reviewed and approved by the Utility prior to the commencement of installation. Approval of proposed facilities or equipment does not relieve the person of the responsibility of enlarging or otherwise modifying such facilities to accomplish the intended purpose. A grease interceptor is not required for individual dwelling units or for any private living quarters.

18.2 Where installed, all grease interceptors shall be maintained by the Customer, at his or her sole expense, in continuously efficient operation at all times.

18.3 The Customer shall provide evidence, such as invoices, that grease interceptors are cleaned and maintained regularly. This evidence shall be retained by the customer for a period of at least 24 months. The Utility may discontinue water and/or Sewage Disposal Service to Customers for their refusal to provide evidence that the grease interceptor has been cleaned and regularly maintained.

19. INDUSTRIAL CUSTOMER WASTE DISCHARGE

- 19.1** Neither the Applicant, Customer nor any occupant of the property or Premises shall discharge, or cause to be discharged, into the service pipe or into the collection Sewer any "industrial wastes" consisting of solids, liquids or gaseous wastes resulting from any industrial or manufacturing operation or process, or from the development of any natural resource, without first obtaining written permission for such discharge, or significant increase in such discharge, from the Utility, and from any regulatory authority or governmental unit having jurisdiction over such a discharge of wastes.
- 19.2** Where necessary in the Utility's opinion, the Applicant or Customer shall provide, at the Applicant or Customer's expense, such pretreatment as may be necessary to reduce objectionable characteristics or constituents to within the maximum limits provided for in these Terms and Conditions for Sewage Disposal Service or that may be necessary to ensure that the discharge does not cause or contribute to an exceedance of the Sewage Disposal System's NPDES permit.
- 19.3** Industrial Customers shall comply with all categorical pretreatment standards, found in 40 CFR 403.
- 19.4** Where preliminary treatment facilities are provided for any waters or wastes, they shall be maintained continuously in satisfactory and effective operation by the Customer at the Customer's expense.
- 19.5** Where necessary in the Utility's opinion, the Industrial Customer shall provide, at the Customer's expense, such measures as may be necessary to control the quantities and rates of discharge of waters or wastes. Plans, specifications and any other pertinent information relating to pretreatment or control facilities shall be submitted for approval of the Utility, and no construction of such facilities shall be commenced until approval, in writing, is granted. Where such facilities are provided, they shall be maintained continuously in satisfactory and effective operating order by the Industrial Customer at its expense and shall be subject to periodic inspection by the Utility to determine that such facilities are being operated in conformance with applicable Federal, State, and local laws and permits. The Industrial Customer shall maintain operating records and shall submit to the Utility a monthly summary report of the character of the influent and effluent to show performance of the treatment facilities and for comparison against monitoring records.
- 19.6** The Utility may require a special contract for the discharge of industrial wastes to the system that contains inspection, monitoring, recordkeeping, and reporting requirements deemed necessary by the Utility to implement the provision of these Terms and Conditions.

20. ACCIDENTAL DISCHARGE

- 20.1** Each Industrial Customer shall provide protection from Accidental Discharge of substances identified in Rule 16 of these Terms and Conditions for Sewage Disposal Service. Facilities to prevent Accidental Discharge shall be provided and maintained at the Customer's own cost and expense. Detailed plans showing facilities and operating procedures to provide this protection shall be available to the Utility for review and approval, as necessary. No Industrial Customer who commences contribution to the Sewage Disposal System shall be permitted to introduce Pollutants into the system until Accidental Discharge procedures are available.

- 20.2** In the case of an Accidental Discharge, it is the responsibility of the Industrial Customer to immediately telephone and notify the Utility of the incident. The notification shall include:
- 20.2.1** Name of Customer;
 - 20.2.2** Location of Accidental Discharge;
 - 20.2.3** Type of waste discharged;
 - 20.2.4** Concentration and volume of waste discharged;
 - 20.2.5** Corrective actions taken to minimize the impact of the discharge to the Sewage Disposal System.
- 20.3** The Industrial Customer shall notify the Utility if it is unable to comply with any requirement of this Rule because of a breakdown of its treatment equipment, accidents caused by human error, or Upsets. The notification should include the information required in Rule 20.2 above.
- 20.4** Within five (5) working days, unless extended by the Utility in writing, the Industrial Customer shall submit to the Utility a detailed written report describing the Accidental Discharge, including:
- 20.4.1** The cause of the Accidental Discharge;
 - 20.4.2** The period of the Accidental Discharge, including exact dates and times or, if not corrected, the anticipated time the noncompliance is expected to continue;
 - 20.4.3** Steps being taken and/or planned to reduce, eliminate or prevent recurrence of the Accidental Discharge.
- 20.5** Such notification shall not relieve the Industrial Customer of any expense, loss, damage or other liability that may be incurred as a result of damage to the Sewage Disposal System or aquatic life, fish kills, or any other damage to persons or property; nor shall such notification relieve the Industrial Customer of any fines, civil penalties or other liability that may be imposed.
- 20.6** A notice shall be permanently posted on the Industrial Customer's bulletin board or other prominent place advising affected employees whom to call in the event of an Accidental Discharge. An Industrial Customer shall ensure that all employees who may cause or suffer such an Accidental Discharge to occur are advised of the emergency notification procedure.

21. MAINTENANCE OF SERVICE PIPE CONNECTIONS

- 21.1** Except as subsequently provided, the Utility shall install and maintain and replace if necessary that portion of the service pipe from the main to the Customer side of the boundary line of the easement, public road, or street, under which such lateral may be located. Provided, however, the Customer shall "rod" and otherwise clean the Utility's portion of the service pipe serving the Customer in the event the same becomes clogged or blocked as a result of debris or waste entering such service pipe from the Customer's Premises, as a result of the Customer's actions.

- 21.2** The Customer shall install and maintain and replace if necessary that portion of the service pipe from the end of the Utility's portion into the Premises served.
- 21.3** The Customer shall not allow the Customer's portion of the service pipe to become broken, obstructed, inferior, defective, leaky or imperfect so that sewage or drainage escapes into surrounding soil, adjacent Premises, ground or surface water or other matter enters the Sewage Disposal System. When such conditions are discovered, the Utility reserves the right to discontinue service unless immediate repairs or replacements are made. Such replacements or repairs shall be made by, and at the expense of the Customer or Applicant. Non-compliance with the foregoing requirement exists when any connections or facilities are found by the Utility that will permit storm water, surface water, groundwater, or other non-sanitary sewage drainage to enter into the Sewage Disposal System, regardless of whether actual flow is observed.
- 21.4** The service pipe shall be as specified in the Indiana Plumbing Code. It shall be constructed of materials approved by the Utility and be installed under the inspection of the Utility.
- 21.5** If a Customer requests for his or her convenience or by his or her actions requires that Utility facilities be redesigned, re-engineered, relocated, removed, modified or reinstalled, the Utility will require the Customer to make payment of the full cost of performing such service.

22. INCORPORATION BY REFERENCE

- 22.1** All laws of the United States of America, including the Environmental Protection Agency, the State of Indiana, Rules and Regulations of the Indiana Utility Regulatory Commission and Ordinances of the City of Indianapolis applicable to the rendering of Sewage Disposal Service in the City of Indianapolis, Marion County, Indiana and contiguous areas (including those set forth in Chapters 536 and 672 of the Revised Code of the Consolidated City of Indianapolis, Marion County, Indiana) are hereby incorporated herein by reference.

Tab 2

SEWER RATE NO. 1

RESIDENTIAL SEWAGE DISPOSAL SERVICE

AVAILABILITY:

The Residential rates and charges shall be applied to all Residential Customers of the Utility as defined in Section 1 of the Utility's Terms and Conditions for Sewage Disposal Service. The Monthly Base Charge, together with the variable Treatment Charges, are subject to the Monthly Minimum Charge as noted in the table below.

RATE:

All Residential Customers of the Utility shall pay a fixed Monthly Base Charge per connection and a variable Treatment Charge per 1,000 gallons as shown in the table below.

<u>Monthly Metered Charges</u>	<u>Monthly Base Charge</u>
	<u>Effective 06/01/2017:</u>
Base Charge	\$17.61
Minimum Treatment Charges 0-5,000 gallons	\$38.30
Total Minimum Charges	\$55.91
<u>Treatment Charge per 1,000 Gallons</u>	
All usage over 5,000 gallons	\$7.6590

	<u>Effective 06/01/2017:</u>
<u>Monthly Unmetered Charge</u>	\$86.54

MINIMUM BILL PER MONTH:

Each Residential Customer will pay a Total Minimum Charge if the monthly usage is 5,000 gallons or less. Seasonal customers will receive bills during all Months of the year even when only the Total Minimum Charge is due.

BILLING DURING SUMMER MONTHS:

For the months of May-October, usage billed will be based upon the lesser of actual or 125% of the average winter water usage. Winter average water usage shall be calculated using 125% of each customer's average for the previous November-February. If no reliable history is available, the winter average will equal 9,000 gallons. In no event will the sewer usage billed be less than 5,000 gallons, which is the minimum charge.

PAYMENT:

If the bill is not paid within seventeen days after its date of issue, a Late Payment Charge will be added as provided in Appendix A.

SEWER RATE NO. 2

NON-RESIDENTIAL SEWAGE DISPOSAL SERVICE

AVAILABILITY:

The Non-Residential rates and charges shall be applied to all Non-Residential Customers of the Utility as defined in Section 1 of the Utility's Terms and Conditions for Sewage Disposal Service. The Monthly Base Charge together with the variable Treatment Charges are subject to the Monthly Minimum Charge as noted in the table below.

RATE:

All Non-Residential Customers of the Utility shall pay a fixed Monthly Base Charge per connection and a variable Treatment Charge per 1,000 gallons as shown in the table below.

<u>Meter Size</u>	<u>Monthly Base Charge</u>
	<u>Effective 06/01/2017:</u>
5/8 – 3/4 Inch Meter	\$17.61
1 Inch Meter and Larger	\$43.96

<u>Meter Size</u>	<u>Min Gallons</u>	<u>Monthly Minimum Treatment Charge</u>
		<u>Effective 06/01/2017:</u>
5/8 – 3/4 Inch Meter	5,000	\$55.91
1 Inch Meter and Larger	12,000	\$135.87

	<u>Treatment Charge per 1,000 Gallons</u>
	<u>Effective 06/01/2017:</u>
All Usage over Minimum Gallons	\$7.6590

MINIMUM BILL PER MONTH:

Each Non-Residential Customer will pay a Monthly Minimum Charge consisting of the combined Base Charge and Minimum Treatment Charges if the monthly usage is the applicable minimum gallons or less for the Non-Residential Customer's meter size. Seasonal customers will receive bills during all Months of the year even when only the Monthly Minimum Charge is due.

PAYMENT:

If the bill is not paid within seventeen days after its date of issue, a Late Payment Charge will be added as provided in Appendix A.

APPENDIX A

MISCELLANEOUS NONRECURRING CHARGES

1. INDUSTRIAL CUSTOMER LATE REPORTING CHARGE

In the event that an Industrial Customer fails to submit the report required under Rule 7 of the Utility's Terms and Conditions for Sewage Disposal Service by the twenty-fifth (25th) day of the following Month, the Industrial Customer shall pay late reporting charges according to the following schedule:

Late Reports Filed in any Year	Charge
First late report	No charge
Second late report	No charge
Each subsequent late report	\$100.00

2. LATE PAYMENT CHARGE

A Utility Services bill that has remained unpaid for a period of more than seventeen (17) days following the mailing of the bill shall be considered delinquent in accordance with Rule 5 of the Utility's Terms and Conditions for Sewage Disposal Service. In such event, a Late Payment Charge will be added to the Utility Services bill in the amount of ten percent (10%) of the first three dollars (\$3.00) of Sewage Disposal Service and three percent (3%) on the amount in excess of three dollars (\$3.00).

3. DELINQUENT ACCOUNT TRIP CHARGE

A charge may be made for each visit to the Customer's Premises regarding a delinquent account. Visits may result in the disconnection of service. Such charge to the Customer shall be fourteen dollars (\$14.00).

4. RECONNECTION CHARGE

When Sewage Disposal Service is turned off for non-payment of a bill, or for any reason beyond the control of the Utility, and a reconnection of Sewage Disposal Service is required by any one Customer, a charge will be made by the Utility to cover the cost of reconnection of service; such charge shall be forty-four dollars (\$44.00) per Meter or Customer. The Customer shall pay the Reconnection Charge, along with any Sewage Disposal Service arrears due, and comply with all other requirements set forth in Rule 6 of the Utility's Terms and Conditions for Sewage Disposal Service before Sewage Disposal Service will be reconnected.

5. RETURNED CHECK CHARGE

Each Customer that causes a check for Utility Services to be returned by their financial institution due to their account not having sufficient funds to allow such check to be processed, shall be charged eleven dollars (\$11.00) per check to cover the cost the Utility incurs to re-process the original transaction.

6. RATE FOR TEMPORARY USERS

Sewage Disposal Service furnished to temporary users, such as contractors, shall be charged on the basis of schedules set forth in Rate 1 or Rate 2 depending on the characteristics of the temporary user. The amount of usage shall be estimated and established by the Utility before service is rendered.

MISCELLANEOUS NONRECURRING CHARGES (Cont'd)

7. USAGE INFORMATION CHARGE

A summary of Customer usage by meter for the most recent twenty-four (24) month period may be accessed at www.citizensenergygroup.com. A Usage Information Charge shall be assessed to the Customer for requests for usage summary by meter beyond the twenty-four (24) month period. Such charge to the Customer shall be eighteen dollars (\$18.00) per customer usage summary per meter.

8. EXTENSION, AMENDMENT, OR TRANSFER OF AUTHORITY TO CONNECT TO THE SEWAGE DISPOSAL SYSTEM

The fee for extending the authority granted by the Utility to connect to the Sewage Disposal System beyond 180 days shall be \$30. The fee for amending an application for connection shall be \$30. The fee for transferring the authority granted by the Utility to connect to the Sewage Disposal System, which transfer must be done with the consent of the Utility shall be \$30.

Tab 3

Citizens Wastewater of Westfield
 Revenue Proof
 Based on Approved Base Rates

	(A)	(B)	(C)	(D)
Pro Forma Summary at Current Rates	Approved Rates	Sources	Pro Forma Number & Type of Units	Pro forma Revenues
Sewer Rate 1 - Residential Sewage Disposal Service				
Residential Base Charge Revenue				
1 Residential Base - 5/8" meter	\$ 17.61 /month		127,893 services	\$ 2,252,349
2 Residential Base - 1" meter	\$ 17.61 /month		4,151 services	\$ 73,104
3 Residential Base - 1 1/2" meter	\$ 17.61 /month		12 services	\$ 211
4 Residential Base - 2" meter	\$ 17.61 /month		0 services	\$ -
5 Residential Base - 3" meter	\$ 17.61 /month		0 services	\$ -
6 Residential Base - 4" meter	\$ 17.61 /month		0 services	\$ -
7 Residential Base - 6" meter	\$ 17.61 /month		0 services	\$ -
8 Residential Base - 8" meter	\$ 17.61 /month		0 services	\$ -
9 Total Residential Base Charge Revenue (sum of lines 1 thru 8)			132,056 services	\$ 2,325,665
Residential Volumetric Revenue				
10 First 5,000 gallons	\$ 7.6590 Mgal		660,280 1,000 gal	\$ 5,057,085
11 Over 5,000 gallons	\$ 7.6590 Mgal		95,002 1,000 gal	\$ 727,620
12 Total Residential Volumetric Revenue (line 10 + line 11)			755,282	\$ 5,784,705
13 Unmetered Revenue	\$ 86.54		324	\$ 28,040
14 Total Rate 1 Pro Forma Revenue at Proposed Rates (line 9 + line 12 + line 13)				\$ 8,138,409
Sewer Rate 2 - Non-Residential Sewage Disposal Service				
Non-Residential Base Charge Revenue				
15 Non-Residential Base - 5/8" meter	\$ 17.61 /month		3,205 services	\$ 56,444
16 Non-Residential Base - 1" meter	\$ 43.96 /month		2,380 services	\$ 104,628
17 Non-Residential Base - 1 1/2" meter	\$ 43.96 /month		141 services	\$ 6,199
18 Non-Residential Base - 2" meter	\$ 43.96 /month		2,152 services	\$ 94,605
19 Non-Residential Base - 3" meter	\$ 43.96 /month		0 services	\$ -
20 Non-Residential Base - 4" meter	\$ 43.96 /month		0 services	\$ -
21 Non-Residential Base - 6" meter	\$ 43.96 /month		0 services	\$ -
22 Non-Residential Base - 8" meter	\$ 43.96 /month		48 services	\$ 2,110
23 Total Non-Residential Base Charge Revenue (sum of lines 17 thru 24)			7,926	\$ 263,985
Non-Residential Volumetric Revenue				
24 First 5,000 gallons (5/8" and 3/4" meters)	\$ 7.6590 Mgal		16,290 services	\$ 124,765
25 Over 5,000 gallons (5/8" and 3/4" meters)	\$ 7.6590 Mgal		34,879 services	\$ 267,138
26 First 12,000 gallons (1" and larger meters)	\$ 7.6590 Mgal		57,708 services	\$ 441,986
27 Over 12,000 gallons (1" and larger meters)	\$ 7.6590 Mgal		181,848 services	\$ 1,392,774
28 Total Non-Residential Volumetric Revenue (sum of lines 26 thru 29)			290,725	\$ 2,226,663
29 Total Rate 2 Pro Forma Revenue at Proposed Rates (line 25 + line 30)				\$ 2,490,647
30 Total Pro Forma Revenue at Proposed Rates from base rates				\$ 10,629,057
Miscellaneous Revenue				
31 470010 - Forfeited Discounts				\$ 40,669
32 471010 - Miscellaneous Revenue				\$ 14,681
33 Total Pro forma Revenue at Approved Rates				\$ 10,684,406