

**THE CITY OF EAST CHICAGO, INDIANA**

**INDIANA UTILITY REGULATORY COMMISSION**

**CAUSE NO. 45827-S1**

**SUPPLEMENTAL TESTIMONY**

**OF**

**WINNA GUZMAN**

**SPONSORING ATTACHMENT WG-SUB2**

CITY OF EAST CHICAGO, INDIANA

CAUSE NO. 45827-S1

SUPPLEMENTAL TESTIMONY OF WINNA GUZMAN

1 **Q. Please state your name, occupation, and business address.**

2 A. My name is Winna Guzman. I am Director of the East Chicago Water Department (the  
3 "Water Department," "Department," or "Petitioner"), and my business address is 400  
4 East Chicago Ave. East Chicago, IN 46312.

5 **Q. Please describe your formal education and summarize your experience.**

6 A. I attended Calumet College of St. Joseph in Whiting, Indiana. I have been employed  
7 with the City of East Chicago, Indiana since 1995. This includes holding various  
8 positions, from building commissioner (2010-2017) overseeing day-to-day departmental  
9 operations, to my current position as director of the Water Department (2017-Present).

10 **Q. What are your current duties?**

11 A. I currently serve as Director for the Water Department. In this role, my responsibilities  
12 include overseeing day-to-day departmental operations, supervising and guiding  
13 personnel, overseeing and managing departmental budgets, implementing and enforcing  
14 of policies and procedures, etc. I am also responsible for preparing and presenting  
15 reports and departmental information to governing board and various entities regarding  
16 operations, collections and audit data, etc. as required.

17 **Q. Have you previously provided testimony in this matter?**

18 A. Yes, I provided testimony in the main docket and direct testimony in this subdocket.

19 **Q. Are any updates to your direct testimony in this Subdocket appropriate?**

20 A. Yes.

21 **Q. Please explain.**

1 A. After my direct testimony in this Subdocket was prepared and filed, we received an  
2 email from the State Revolving Fund ("SRF") of the Indiana Finance Authority ("IFA")  
3 confirming the City's eligibility for funding for lead line replacement ("LLR"). A copy  
4 of the email is attached as Exhibit WG-SUB2. The email provides additional clarity as  
5 to funds available for LLR in State Fiscal Year ("SFY") 2025. It indicates that "funding  
6 will be capped at \$5 million per borrower. The initial \$2.5 million will be made  
7 available through a forgivable BAN for communities that qualify as DAC and up to an  
8 additional \$2.5 million will be offered as a 0% loan." The email also notes that  
9 "reallocations may occur as we receive firm commitments from borrowers and the  
10 corresponding forgivable BAN and loan amounts may be adjusted." Testimony in the  
11 main docket assumed \$10M in LLR financing, and my direct testimony in the subdocket  
12 proposed that the City would use any excess or leftover funding for lead line  
13 replacement. Now, based on this communication from SRF, the City anticipates that  
14 LLR financing may occur over a period of years as SFY 2025 funding will be limited to  
15 \$5M, barring any reallocations.

16 **Q. Was this information shared with the OUCC?**

17 A. Yes. It was exchanged in discovery.

18 **Q. Are you sponsoring any exhibits?**

19 A. Yes. Attachment WG-SUB2 is a copy of the SRF email providing details on the  
20 anticipated LLR financing award that was exchanged in discovery.

21 **Q. Are any other updates or corrections to your Subdocket testimony necessary?**

22 A. Yes. At page 4, line 2, there is a reference to State Fiscal Year 2024. This was a  
23 typographical error and the reference should have been to State Fiscal Year 2025 as  
24 discussed above in relation to LLR.

1    **Q.**     **Does this conclude your supplemental testimony?**

2    **A.**     Yes, it does.

**VERIFICATION**

I, Winna Guzman, affirm under penalties of perjury that the foregoing representations are true and correct to the best of my knowledge, information, and belief.

  
Winna Guzman

Date: 8-16-24

## **WG-SUB2**

From: [Riley, Andre](#)  
To: [Wilson, Jane Dall](#)  
Subject: FW: SRF Funding Confirmation - East Chicago LSLR  
Date: Wednesday, July 24, 2024 9:58:07 AM  
Attachments: [image003.png](#)

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**This Message originated outside your organization.**

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**From:** Hilt, Alex <AHilt@ifa.IN.gov>  
**Sent:** Wednesday, July 10, 2024 1:57 PM  
**To:** joe8aces@hotmail.com; jcaruso@cbbel.com; Riley, Andre <Andre.Riley@bakertilly.com>  
**Cc:** Martin, Alison <AlMartin@ifa.IN.gov>; Harkins Jr., William D. <wharkins@ifa.IN.gov>  
**Subject:** SRF Funding Confirmation - East Chicago LSLR

**CAUTION:** This email originated from outside of the organization. Do not click links or open attachments unless you recognize the sender and know the content is safe.

We are pleased to inform you that the City of East Chicago's SRF project, DW22424506 ranks within the Fundable Range for SFY 2025 based on the draft Quarter 1 Project Priority List (PPL).

To best manage resources and equally honor the scheduling commitments of all potential loan participants, a response to this email must be received by **August 1, 2024**. The response should be completed in consultation with the Participant's Financial Advisor and Consulting Engineer *(if this is not your client and you have received this email in error, please respond as soon as possible)*.

**The City of East Chicago commits to:** *(please highlight response)*

- **Closing the above loan in Quarter 1** *(July 1, 2024 – September 30, 2024)*
- **Closing the above loan in Quarter 2** *(October 1, 2024 – December 20, 2024\*)*
- **Closing the above loan in Quarter 3** *(January 1, 2025 – March 30, 2025)*
- **Closing the above loan in Quarter 4** *(April 1, 2025 – June 30, 2025)*
- **Will not be closing the above loan in SFY 2024.**

*\*Please note the final date to close a loan in Quarter 2 is December 20, 2024.*

The loan closing calendar will be filled on a first come, first served basis and SRF will continue to cap the number of financings to no more than 2 loan pre-closings per week. **Targeting a closing date early in a quarter is recommended.** If a project misses its assigned closing

quarter it will not be guaranteed a closing during SFY 2025.

To assist with the SRF's planning, please input anticipated dates for each of these items.

ACTION ITEM	SRF DEADLINE	ANTICIPATED COMPLETION DATE
Open Bids	4 weeks prior to closing	
Due Diligence to SRF	4 weeks prior to closing	
Completed SBOA Audit	4 weeks prior to closing	
Permits Secured	3 weeks prior to closing	
Land Secured	3 weeks prior to closing	
Anticipated Closing	-	

Due to the increasing demand for LSLR funding for SFY 2025 LSLR funding will be capped at \$5 million per borrower. The initial \$2.5 million will be made available through a forgivable BAN for communities that qualify as DAC and up to an additional \$2.5 million will be offered as a 0% loan. Any community or project area that does not qualify as DAC will have access to the full \$5 million in the form of a 0% loan. As in years past, reallocations may occur as we receive firm commitments from borrowers and the corresponding forgivable BAN and loan amounts may be adjusted.

Please provide updated project cost estimates based on current information and the funding caps set out above:

FUNDING SOURCE	AMOUNT

Thank you for your assistance in completing the requested information. As a reminder, **ALL** requests must be received by **August 1, 2024** to guarantee financing in SFY 2025. If you have any questions, please feel free to contact me at [ahilt@ifa.in.gov](mailto:ahilt@ifa.in.gov), or 463.261.6949.

Thank you,  
Alex





**Alex Hilt**

*Director of Finance*  
*State Revolving Fund Loan Program*  
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