

BEFORE THE

INDIANA UTILITY REGULATORY COMMISSION

**PETITION OF CWA AUTHORITY, INC. FOR APPROVAL)
PURSUANT TO INDIANA CODE SECTION 8-1-31.5-13 TO)
CHANGE THE AMOUNT OF ITS SYSTEM INTEGRITY) CAUSE NO. 44990 SIA 2
ADJUSTMENT AND IMPLEMENT A SCHEDULE OF)
RATES AND CHARGES APPLICABLE THERETO)**

**AMENDED COMPLIANCE FILING PROVIDING FOR A REVISED RIDER B IN
ACCORDANCE WITH FINDING PARAGRAPH 5.D. AND ORDERING PARAGRAPH
2 OF THE COMMISSION’S DECEMBER 19, 2018, ORDER IN CAUSE NO. 44990 SIA 2**

Pursuant to finding paragraph 5.D. and ordering paragraph 2 of the December 19, 2018, Order in Cause No. 44990 SIA 2 (the “SIA 2 Order”) as well as subsequent direction from the Commission’s Water/Wastewater Division, CWA Authority, Inc. (“CWA”), by counsel, respectfully submits this amended compliance filing. In support of its amended compliance filing, CWA represents and shows the Commission that:

1. In its SIA 2 Order, the Commission directed CWA to “recalculate its proposed SIA 2 in accordance with this Order by including the required reconciliation and file a revised Rider B, with supporting workpapers, under this Cause for review and approval by the Commission’s Water/Wastewater Division.” The SIA 2 Order further provided that “[a]ny objections to the revised Rider B shall be filed within 10 days, after which the Presiding Officers will schedule an attorneys conference to discuss the procedure for resolving any objections that cannot be resolved by the parties.”

2. CWA submitted its Compliance Filing in this matter on December 20, 2018. As of the date of this filing, CWA is not aware of any objection by a party to its original compliance filing.

3. On December 21, 2018, the Commission’s Water/Wastewater Division informed counsel for CWA that the Water/Wastewater Division does not believe CWA’s compliance filing

complies with the reconciliation methodology directed by the Commission in the SIA 2 Order. Consequently, CWA respectfully submits this amended compliance filing that includes a revised reconciliation, which, as provided in finding paragraph 5.C., was determined by computing, “the difference between the SIA 1 revenues authorized based on the budgeted non-industrial monthly volumes per 1,000 gallons for the seven months of the 12-month reconciliation period less the SIA 1 revenues recorded.” Based on the revised reconciliation, CWA has recalculated its SIA 2 and corresponding Rider B. The revised Rider B, along with supporting workpapers, are attached to this filing for review and approval by the Commission’s Water/Wastewater Division.

4. CWA respectfully requests that the attached Rider B be approved by the Water/Wastewater Division, effective as of January 1, 2019. In the event an objection is made to this amended compliance filing within 10 days of its submission, CWA requests that (1) it be permitted to implement the attached Rider B effective as of January 1, 2019, notwithstanding such objection, subject to refund or collection of any difference between the reconciliation methodology reflected in the attached Rider B of this amended compliance filing and the reconciliation methodology ultimately approved by the Commission; and (2) the Presiding Officers schedule an attorneys conference to discuss the procedure for resolving any objections that cannot be resolved by the parties.

WHEREFORE, CWA respectfully requests the Commission’s Water/Wastewater Division approve the attached Rider B effective as of January 1, 2019.

Respectfully submitted,

/s/ Lauren R. Toppen
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CERTIFICATE OF SERVICE

The undersigned hereby certifies that a copy of the foregoing was served by electronic mail this 27th day of December, 2018, to the following:

Dan Levay
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/s/ Lauren R. Toppen
Lauren R. Toppen

REDLINED TARIFF

CLEAN TARIFF

SUPPORTING WORKPAPERS

CWA Authority, Inc.
System Integrity Adjustment
Cause No. 44990 SIA 2

Line
No.

System Integrity Adjustment (SIA) Calculation
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1	Authorized Revenues from Cause No. 44685	\$ 278,987,725
2	Total Actual Revenues, Twelve Months Ending July 31, 2018	\$ 284,492,311
3	Less Accrued Miscellaneous Revenues SIA	\$ 16,089,525
4	Actual Revenues subject to SIA	<u>\$ 268,402,786</u>
5	Cumulative Deficit / (Excess) (line 1 - line 4)	NA
6	One-Time System Integrity Collar (line 1 * 0.02)	<u>NA</u>
7	Adjustment Amount (line 1 - line 4)	\$ 10,584,939
8	System Integrity Adjustment (line 7 * 0.94)	\$ 9,949,843

Reconcile Actual Cost to Recovery
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9	Budgeted Volumes Authorized from Cause No. 44990	
10	Total for the 7 months January - July 2018, in 1000 gallons	13,845,951
11	SIA1 Rate	\$ 0.2598
12	Authorized SIA Revenues for the 7 months January - July 2018 (line 10 * line 11)	\$ 3,597,178
13	Billed SIA Monthly Revenues for the 7 months January - July 2018	<u>\$ 3,686,788</u>
14	(Over)/Under Recovery of Cause No. 44990 SIA to be included in SIA 2 Rate Adjustment Calculation (line 12 - line 13)	<u>\$ (89,610)</u>

SIA 2 Rate Calculation

15	Total System Integrity Adjustment (line 8 + line 14)	\$ 9,860,233
16	Budgeted Non-Industrial Volumes (1,000 gallons)	<u>22,303,786</u>
17	Cause No. 44990 SIA 2 Rate - per 1,000 gallons (line 15 / line 16)	<u><u>\$ 0.4421</u></u>

CWA Authority, Inc.
Cause No. 44990 SIA 2

CWA FY2019 (October 2018 through September 2019) Budget Volumes Used for Cause No. 44990 SIA 2 Filing

Line No.	Account No.	Customer Class	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Total CCF	Total 1,000 Gallons
1	522110	Residential volume in CCF	1,059,822	1,054,705	1,003,896	1,000,627	970,818	976,776	1,000,017	1,064,303	1,064,156	1,070,763	1,068,664	1,068,155	12,402,702	9,302,026
2	522210	Commercial volume in CCF	966,451	975,414	953,938	971,545	970,892	972,096	974,985	971,004	975,129	977,866	971,743	975,348	11,656,412	8,742,309
3	522510	Multi-Family volume in CCF	237,087	237,569	238,041	237,284	238,169	238,065	237,964	238,658	238,904	238,166	238,874	238,251	2,857,034	2,142,776
4		Subtotal Rate 1 Measured	2,263,360	2,267,687	2,195,875	2,209,456	2,179,879	2,186,938	2,212,967	2,273,965	2,278,189	2,286,795	2,279,282	2,281,755	26,916,148	20,187,111
5	521110	Residential Unmetered - 1 occupant - volume in ccf	30%	9,413	9,413	9,413	9,413	9,413	9,413	9,413	9,413	9,413	9,413	9,413	112,954	84,715
6	521110	Residential Unmetered - 2 occupants - volume in ccf	39%	14,684	14,684	14,684	14,684	14,684	14,684	14,684	14,684	14,684	14,684	14,684	176,208	132,156
7	521110	Residential Unmetered - 3 occupants - volume in ccf	7%	3,953	3,953	3,953	3,953	3,953	3,953	3,953	3,953	3,953	3,953	3,953	47,441	35,580
8	521110	Residential Unmetered - 4 occupants - volume in ccf	24%	18,073	18,073	18,073	18,073	18,073	18,073	18,073	18,073	18,073	18,073	18,073	216,871	162,653
9		Subtotal Rate 1 Residential Unmetered	46,123	46,123	46,123	46,123	46,123	46,123	46,123	46,123	46,123	46,123	46,123	46,123	553,473	415,104
10	521210	Non-Industrial Unmetered - Small - volume in ccf	720	715	715	705	715	710	710	705	715	660	715	710	8,495	6,371
11	521210	Non-Industrial Unmetered - Large - volume in ccf	2,352	2,310	2,366	2,366	2,366	2,380	2,240	2,366	2,394	2,436	2,352	2,310	28,238	21,179
12		Subtotal Rate 1 Non-Industrial Unmetered	3,072	3,025	3,081	3,071	3,081	3,090	2,950	3,071	3,109	3,096	3,067	3,020	36,733	27,550
13	522110	Residential Minimum volume in CCF	91,324	96,307	147,441	143,944	177,384	172,811	149,040	98,597	97,133	95,582	91,077	93,965	1,454,604	1,090,953
14	522510	Multi-Family Minimum volume in CCF	713	713	713	713	713	714	714	714	714	715	715	715	8,564	6,423
15	522210	Commercial Minimum volume in CCF	16,584	16,860	16,741	16,489	17,510	17,248	17,014	16,451	16,132	15,928	15,880	16,372	199,208	149,406
16		Subtotal Rate 1 Minimum volume in CCF	108,620	113,880	164,895	161,146	195,608	190,773	166,767	115,762	113,978	112,224	107,671	111,052	1,662,376	1,246,782
17	523011	Tri County - volume in CCF	28,667	28,667	28,667	28,667	28,667	28,667	28,667	28,667	28,667	28,667	28,667	28,667	344,000	258,000
18	523011	Hamilton Southeastern volume in CCF	1,038	1,004	1,038	1,038	937	1,038	1,004	1,038	1,004	1,038	1,038	1,004	12,219	9,164
19		Subtotal	29,705	29,671	29,705	29,705	29,604	29,705	29,671	29,705	29,671	29,705	29,705	29,671	356,219	267,164
20	525010	Interdepartmental volume in CCF	15,804	17,467	17,467	17,467	17,467	17,467	17,467	17,467	17,467	17,467	17,477	19,329	209,815	157,361
21	522313	Haulers volume in CCF	239	247	263	193	223	260	337	329	519	421	315	273	3,619	2,714
22		Rate 1, 4, and 6 Total Volume in CCF (Ins 4+9+12+16+19+20+21)	2,466,922	2,478,100	2,457,408	2,467,161	2,471,984	2,474,355	2,476,282	2,486,423	2,489,056	2,495,831	2,483,638	2,491,222	29,738,383	22,303,786
23		SIA Eligible Adjustment Amount													\$ 9,860,233	\$ 9,860,233
24		Applicable SIA for Rates 1, 4 and 6 Measured Metered sewage disposal service (In 23 / In 22)													\$ 0.3316	\$ 0.4421
25		Residential Unmetered - 1 occupant Applicable SIA Monthly Amount	3,000	gallons											\$ 1.33	\$ 1.33
26		Residential Unmetered - 2 occupants Applicable SIA Monthly Amount	3,600	gallons											\$ 1.59	\$ 1.59
27		Residential Unmetered - 3 occupants Applicable SIA Monthly Amount	5,400	gallons											\$ 2.39	\$ 2.39
28		Residential Unmetered - 4 occupants Applicable SIA Monthly Amount	7,200	gallons											\$ 3.18	\$ 3.18
29		Non-Industrial Unmetered - Small Customer Applicable SIA Monthly Amount	3,750	gallons											\$ 1.66	\$ 1.66
30		Non-Industrial Unmetered - Large Customer Applicable SIA Monthly Amount	10,500	gallons											\$ 4.64	\$ 4.64

CWA Authority, Inc.
Cause No. 44990 SIA 2
Billed SIA Revenue

**This workpaper has not
changed since its
submission as a part of
Petitioner's Case-in-Chief
filed September 18, 2018.**

A	B	C
Line No.	Accounting Period	Billed SIA Monthly Revenue 1/, 2/
1	Aug-17	\$ -
2	Sep-17	\$ -
3	Oct-17	\$ -
4	Nov-17	\$ -
5	Dec-17	\$ 60,044
6	Jan-18	\$ 548,037
7	Feb-18	\$ 514,885
8	Mar-18	\$ 500,807
9	Apr-18	\$ 511,600
10	May-18	\$ 557,937
11	Jun-18	\$ 447,980
12	Jul-18	\$ 545,498
13	Total	\$ 3,686,788

1/ - CWA Account 186401.

2/ - December 2017 Accounting period covered meter read dates of December 4, 2017 through January 3, 2018. The SIA 1 rate is effective January 1, 2018. Therefore the \$60,044 reflected in December 2018 above is for prorated billed SIA revenues for January 1 through January 3, 2018.

CWA Authority, Inc.
Cause No. 44990 SIA 2
January 2018 through July 2018 (7-month Reconciliation) Authorized Budgeted Volumes

Authorized Budgeted Volumes in 1,000 gallons from Cause No. 44990

1	January, 2018	1,987,650
2	February, 2018	1,976,269
3	March, 2018	2,032,489
4	April, 2018	2,048,951
5	May, 2018	1,905,549
6	June, 2018	1,942,095
7	July, 2018	1,952,948
8	August, 2018	1,949,162
9	September, 2018	1,942,627
10	October, 2018	1,932,806
11	November, 2018	1,919,018
12	December, 2018	2,045,436
13	Total for the 7 months January - July 2018 (sum of lines 1 through 7)	13,845,951